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Design, implementation and analysis of keyed hash functions based on chaotic maps and neural networks

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Preface, Motivation and Objectives

Cryptography is the art and science of secret communication. It involves the transformation of information in such a way that it is not possible for people separate from the legitimate source and destination to access the information while it is stored or transferred over insecure networks. This goal is achieved by designing cryptographic algorithms. Indeed, cryptographic algorithms are, in general, classified into three: hash functions, symmetric-key algorithms, and asymmetric-key algorithms. Unlike symmetric and asymmetric algorithms that are revertible, hash functions are one-way functions that produce output values, which are invertible. So, it is impossible to compute the input from its output.

A hash function can be used to map a message of arbitrary length into an output value of fixed length. The values returned by a hash function are called hash values, hash codes, digests, or simply hashes. Basically, the hash functions are used in the construction of hash tables. Additionally, hash functions are used to find similar stretches in the DNA sequences.

Moreover, hash functions are also one of the most useful primitives in cryptography. Indeed, they play an important role in different applications such as Data Integrity [1], Message Authentication [2], Digital Signature [3, 4], Password Protection, Generation of Pseudorandom Numbers, and Authenticated Encryption [5]. In such cases, hash functions are known as cryptographic hash functions.

A secure cryptographic hash function H (addressed as hash functions in the rest of thesis) must verify, in addition to the three main security properties mentioned below, the two implementation properties: Compression and ease of computation.

- 1. Preimage resistance (one-way).
- 2. Second preimage resistance (weak collision resistance).
- 3. Collision resistance (strong collision resistance).

At the highest level, cryptographic hash functions are categorized into two main categories: unkeyed hash functions, called Message Detection Codes (MDCs), and keyed hash functions, named Message

Authentication Codes (MACs). An unkeyed hash function uses an initial value IV to hash a message M and produce a hash value h. On the other hand, a keyed hash function uses, additionally, a secret key K which should be distributed between the sender and the receiver.

MDCs confirm that an input message M has not been tampered with by an attacker or a noisy channel in transition, while MACs confirm that an input message M has not changed and has been sent by a known source to a receiver that shares the same secret key K with the sender. Hence, MDCs verify the integrity of M while MACs verify both the integrity and the authenticity of M. MACs are originally proposed for Data Integrity, Message Authentication, Digital Signature applications, and so on.

As a consequence of the publication of some attacks against many classical hash functions of the *MD-SHA* family [6], the American National Institute of Standards and Technology (*NIST*) initiated a public competition in 2008 called the *SHA-3* contest. This was to determine a new standard for hash functions [7]. This competition ended on August 5, 2015 with the announcement of the winning algorithm, the *KECCAK* function.

Different from conventional cryptography, a new direction in cryptography has been widely developed in the past decade. Many researchers used chaotic dynamic systems and neural network structures, for their important properties, to build new hash functions that achieve the necessary security requirements mentioned above, called Chaotic Neural Network (*CNN*) hash functions [8, 9]. In fact, Chaotic Systems are suitable to be used in cryptographic hash algorithms due to their security features, such as sensitivity to minute changes in initial conditions, random-like behavior, unstable periodic orbits, and confusion diffusion properties. On the other hand, Neural Networks exhibit, by construction, many suitable properties to be used in cryptographic hash algorithms, such as non-linearity, parallel implementation, data diffusion, flexibility, one-way, and compression function. It should be noted that the *CNN* acronym is used for Cellular Neural Network and Convolutional Neural Network, but in our study it is an abbreviation of Chaotic Neural Network.

Thus, the design of secure hash functions is crucial.

Thesis Outline and Contributions

This thesis is organized as follows:

Chapter 1 explains the fundamental characteristics of hash functions. First, we present the diverse classification, the main properties, the essential features and the different applications of hash functions. Second, we introduce the two major categories of hash functions, mainly unkeyed and keyed hash

functions. Subsequently, we present the different methods for building hash functions. Then, we briefly describe the standard *SHA-2* based on the *Merkle-Dåmgard* construction with its three output schemes used to produce the final hash value *h*. Finally, we describe the general model of the *Sponge* construction and the standard *SHA-3*.

Chapter 2 introduces the two chaotic maps, namely Discrete Skew Tent map (*DSTmap*) and Discrete Piecewise Linear Chaotic map (*DPWLCmap*), used in this thesis and their main cryptographic properties. Then, we present the principle of neural networks and their characteristics. These two components are used to construct new hash functions, named Chaotic Neural Network (*CNN*) hash functions. So, we present the related work on chaotic neural network hash functions in the literature, which are based on the two previous components [10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31]. Some works was realized as hashing schemes based only on chaotic maps such as logistic map, high-dimensional discrete map, piecewise linear chaotic map, tent map, sine map and Lorenz map or on 2D coupled map lattices. Other researchers proposed combined hashing and encryption schemes based on chaotic neural network. Furthermore, many scientists have been working on developing hash functions using feed forward-feedback nonlinear filter, shuffle-exchange network, changeable-parameter and self-synchronization, and so on.

Chapter 3 presents our first contribution [32, 33, 34, 35]. It consists of designing and implementing two *KCNN* hash functions based on the *Merkle-Dåmgard* construction. First, we realize the two keyed hash function structures based on chaotic maps and neural networks (*KCNN*). These two structures use the same padding rule, which is applied to the input message of arbitrary length, to obtain a message of fixed size (multiple of 2048 bits), the same chaotic system and chaotic neural network.

The proposed chaotic system is composed of a Discrete Skew Tent map (DSTmap) with one recursive cell (delay equal to 1). This chaotic system takes as input a secret key K of 160-bit length and calculates the necessary samples used to initialize the parameters of the CNN layers. For the first proposed structure, the CNN is formed of a two-layered neural network of eight neurons each. The proposed activation function of the CNN used two coupled chaotic maps, DSTmap and DPWLCmap, connected in parallel. For the second proposed structure, the CNN is composed of a one-layered neural network of eight neurons, followed by a combination of non-linear functions. These non-linear functions, used in the standard SHA-2, improve the hash throughput while maintaining the necessary security requirements by iterating n_r times the output layer. After many experimental tests, we chose the number of rounds n_r equal to 24 for more robustness and equal to 8 for a compromise between robustness and hash throughput.

For these two structures, we implement the three output schemes: CNN-Matyas-Meyer-Oseas, Modified CNN-Matyas-Meyer-Oseas, and CNN-Miyaguchi-Preneel that precede the generation of the final

output hash value *h*. Finally, we evaluate the performance of the two proposed *KCNN* hash functions in terms of security (statistical tests, cryptanalytical attacks) and computation time. The proposed hash functions are as well secure as the other chaos-based hash functions presented in the literature, including the standard Secure Hash Algorithm *SHA-2*. On the other hand, the *NCpB* of structure 2 is better than those of the literature, but a little inferior to that of *SHA-2*.

Chapter 4 presents our second contribution [36]. In the first part of this chapter, we introduce the general model of the unkeyed-Sponge, and we present the three methods used to transform the Sponge function to a keyed-Sponge construction such as Outer keyed-Sponge (OKS), Inner keyed-Sponge (IKS), and Full-State Keyed Sponge (FKS). Second, we describe in detail the two proposed structures of hash functions based on the Sponge construction (KSCNN). For the two proposed structures of KSCNN, we realized two variants of hash value lengths: 256 bits and 512 bits. The bitrate r, the capacity c and the width b are the main characteristics of hash functions that must be initialized right at the beginning. The width b, equal to 1600 bits, determines the length of the intermediate hash values, while the bitrate r and the capacity c specify the lengths of the hash value h: 256 bits (r = 1088, c = 512) and 512 bits (r = 576, c = 1024). These KSCNN use the same chaotic system of chapter 3. The first structure KCNN is composed of two-layer CNN containing five and eight neurons, respectively. The second structure is formed of a one-layer CNN of five neurons followed by a combination of non-linear functions. For the second KSCNN, from many experimental tests, we chose a number of rounds n_r equal to 8 and 24 rounds for the same reasons as elucidated in chapter 3.

In general, the functioning of these two hash functions comprises three phases: Initialization phase, Absorbing phase, and Squeezing phase. In the initialization phase and after adding a suffix 01, a multirate padding rule is applied to the input message. Then, the message is divided into blocks of size r bits. In the absorbing phase, the entire message is absorbed block by block. This phase generates a hash value of length 1600 bits. When the value of the desired length is greater than 1600 bits, the proposed hash functions enters the squeezing phase. The squeezing phase generates the final desired hash value by extracting r-bit from the intermediate hash values, each time. Finally, whatever the used structure, the output layer is iterated seven times to generate the intermediate hash values of 1600-bit length.

In the second part of this chapter, we estimate the performance of two proposed *KSCNN* hash functions in terms of security and computational time. For this purpose, we first perform several statistical tests such as collision resistance, distribution of hash value, sensitivity of hash value to the message, sensitivity of hash value to the secret key, and the diffusion effect. Then, we study the immunity of the proposed *KSCNN* hash functions against many attacks such as preimage, second preimage, collision resistance, length extension, and meet-in-the-middle preimage attacks. Furthermore, we measure the average hashing time, the hash throughput and the number of needed cycles to hash one byte (*NCpB*). We

observed that, globally, the obtained performance is close to those of the standard SHA-3.

Chapter 5 concerns the Duplex construction. For this purpose, we are currently working on the design of a *CNN-DUPLEX* structure which allows the alternation of input and output blocks at the same rate as the *Sponge* construction, similar to a full-duplex communication (one call to the chaotic function per input block). This will later be adapted for using in Authenticated Encryption with Associated Data (*AEAD*).

Finally, we conclude the manuscript by giving a summary of the main new ideas and the contributions of our work in the domain of keyed Chaotic Neural Network hash functions. Moreover, we present future research issues related to our work and the scope to work on them.

Chapter 1

A brief review of standard hash functions SHA-2 and SHA-3

1.1 Introduction

We dedicate this chapter to explain the fundamental concepts of cryptography primitives. Then, we start by providing the generalities, properties, and classification of cryptographic hash functions, namely keyed and unkeyed hash functions. We introduce the general model of *Merkle-Dåmgard* construction, which was used in the design of many popular hash algorithms such as *MD5*, *SHA-1* and *SHA-2*. Next, we explain the current standard hash function *SHA-2* briefly. Furthermore, we introduce the general model of the *Sponge* function, that was used in the construction of the new standard hash function *SHA-3*. Then, we explain the standard hash function *SHA-3* shortly. Finally, we conclude this first chapter.

1.2 Cryptography: foundation and basic concepts

Since the beginning of the writing language, it was necessary to find ways to hide valuable information [37]. Cryptography is the science that concerns the transformation of information so that it is not possible to other people different from the legitimate source and destination to access this information. The Cryptology process requires two different and complementary stages. The first step is cryptography which presents selection of the tools and the framework which guide the concealing of the information. The second one is cryptanalysis which means the evaluation of the transformation system.

Cryptography has mainly been used by the governments and military for the confidentiality of information. The modern cryptography begins with the Shannon theory [38], in which three fundamental goals

must be achieved [39, 40, 41]:

- 1. Confidentiality: it ensures that information is not made available or disclosed to an adversary excepting the authorized persons.
- 2. Integrity: it is the assurance that the information is trustworthy and accurate.
- 3. Availability: it ensures that information are available to authorized people when it is needed.

Authenticity and Non-Repudiation are also essential parts of a secure system. These two terms are explained as follows:

- 1. Authenticity: it confirms that both parties involved are who they claim they are.
- 2. Non-repudiation: it refers to the ability to prove that the sender really sent the message, so the recipient cannot claim that the message was not sent.

There are several ways of classifying cryptographic algorithms. They can be categorized based on the number of keys that are employed, and further defined by their application and use. The three types of cryptographic algorithms are represented as follows:

- 1. Secret Key Cryptography (*SKC*): Uses a single key for both encryption and decryption; also called symmetric encryption. Primarily used for confidentiality.
- 2. Public Key Cryptography (*PKC*): Uses one key for encryption and another for decryption; also called asymmetric encryption. Primarily used for authentication, non-repudiation, and key exchange.
- 3. Hash Functions: Uses a mathematical transformation to irreversibly hash information, providing a digital fingerprint. Primarily used for message integrity and authentication.

1.2.1 Generalities of hash functions

A hash function usually means a function that compresses an input data to produce an output value *h* called hash value or hash-code, shorter than the input [42, 43]. Often, such a function or algorithm takes an input of arbitrary length to generate an output of fixed length. It's kind of generating a signature of this input. When it comes to web development for example, it's common to encounter a scenario where you need to compare if 2 files have the same content [44]. Also, suppose that you have to compare those files frequently. Without hash functions, you probably would need to read all content from the first file and all content from the second file to compare if they match. But you can generate a signature for each file using a hash function and then compare the two signatures. Consequently, this way is more faster due to small size of the generated signatures. Another example, the hashing process used for indexing and locating items in databases accelerate the speed of research because it is easier to find the shorter hash value than the longer original string.

In general, hash functions are divided into two large categories:

- 1. Non-cryptographic hash functions including Cyclic Redundancy Checks (*CRC*) and checksum functions such as, *CRC-64*, *sum32*, *Adler-32*, ...
- 2. Cryptographic hash functions including keyed and unkeyed hash function such as, Message Digest *MD5*, Secure Hash Algorithms *SHA-2* and *SHA-3*, Cipher Block Chaining Message Authentication Code *CBC-MAC*, Hash Message Authentication Code *HMAC*, ...

Non-cryptographic hash functions are used in many parts of life like hash table, a kind of data structure that is used to store key/value pairs. These types of hash functions is out of study in this thesis. On the other hand, cryptographic hash function are used in cryptography and information security, and there are many different types of hash functions, with various security properties. A classical application of cryptographic hash functions is to store the hash value of password instead of the password itself, in databases. In the next sub-section, we bring out the importance of cryptographic hash functions, and explain their implementation and security properties.

1.2.2 Cryptographic hash functions

Cryptographic hash functions play a fundamental role in modern cryptography. The basic idea of cryptographic hash functions is that a hash-value h serves as a compact representative image (sometimes called an imprint, digital fingerprint, or message digest) of an input message M and is used as an uniquely identifiable element (see Fig. 1.1) [42]. For example, computing the hash of a downloaded file from the Internet, and comparing the result to a previously published hash value, can show whether the download has been modified or tampered with. So, the receiver can verify the integrity of the received data sent by the sender. Precisely, a cryptographic hash function H, that requires to be a deterministic process, maps bit-strings of arbitrary finite length |M| to strings of fixed length (u bits), where |M| > u [43]. So, every time if the same input message M is hashed by H, the same hash value h is obtained. H is many-to-one relationship that implies the existence of unavoidable collisions (pairs of input message with identical output hash value) with very small probabilities.

A cryptographic hash function H aims to guarantee a number of properties, which makes it very useful for information security. H must verify at least the following two implementation properties [44]:

- 1. *Compression*: *H* maps an input message *M* of arbitrary finite bit-length to a hash value *h* of fixed bit-length *u* bits.
- 2. Ease of computation: given H and an input message M, H(M) is easy to compute.

Nevertheless, two important requirements are needed to realize the cryptographic hash functions: the *hardness* to find collisions and the appearance of *randomness*. Also, H has the following three security properties (see Fig. 1.2):

1. *Preimage resistance* (*one-way*): for all the pre-specified hash values *h*, it is computationally infeasible to find any message input that is hashed to the chosen hash value.

- 2. Second preimage resistance (weak collision resistance): it is computationally infeasible to find any second input that has the same hash value as a specified input message *M*.
- 3. Collision resistance (strong collision resistance): it is computationally infeasible to find any two distinct message inputs (M, M') hashed to the same hash value, such that H(M) = H(M'). It should be noted that, the users are free to choose both input messages.

We should mention that the notion of computationally infeasible depends on the relationship between the amount of work the designer has to do to secure the system in comparison to the amount of work that the attacker has to do to break it.

At the highest level, cryptographic hash functions are classified into two classes (see Fig. 1.3):

- 1. Modification Detection Codes (MDCs) or unkeyed hash functions.
- 2. Message Authentication Codes (MACs) or keyed hash functions.

The MDCs confirm that an input message M has not been tampered by an attacker or a noisy channel in transition, while MACs confirm that an input message M has not changed and has been sent by a known source to a receiver that shares the same secret key K with the sender. So, MDCs verify the integrity of M, while MACs verify both the integrity and authenticity of M [45]. The MACs, originally proposed to generate the Digital Signature (DS) application, are nowadays used in various information security applications to achieve $Authenticated\ Encryption$ [46].

In this thesis, our work is restricted to keyed cryptographic hash functions (simply called hash functions in the rest of this paper) that are originally proposed to generate the inputs of Digital Signature (DS) application. Later, these hash functions are designed to achieve certain security properties, such as message authentication useful for building cryptosystems. In general, a keyed hash function [47] uses a secret key K. The Merkle-Dåmgard structure, which is unkeyed hash function that uses initial values IV, can be transformed to a keyed hash function by appending a secret key K to the input message M to produce the hash value h.

1.3 Merkle-Dåmgard construction

In cryptography, many structures are used to construct different hash functions [48], such as *Merkle-Dåmgard* [49, 50], *Wide Pipe* [51], *Fast Wide Pipe* [52], *HAIFA* [53], and *Sponge* construction [54]. Indeed, a number of these structures are essential in the design of several popular hash functions. The *Merkle-Dåmgard* construction was used in the design of *MD5* [55], *SHA-1* [2], and *SHA-2* [3] standards. The *Sponge* construction was used in the design of a new secured standard hash algorithm *SHA-3* [7], which will be used when the current standard *SHA-2* will be inevitably compromised. In the following, we introduce the *Merkle-Dåmgard* construction (Fig. 1.4) and the model of *Strengthened Merkle-Dåmgard* (Fig. 1.5).

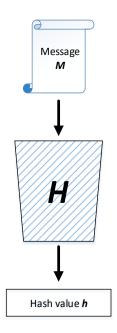


FIGURE 1.1 – Hash function

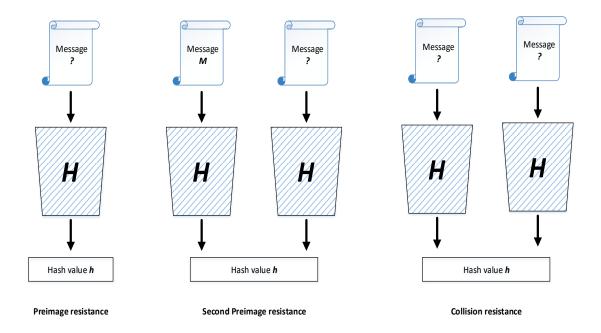


FIGURE 1.2 – Security properties of hash functions

1.3.1 Merkle-Dåmgard construction: preprocessing and compression

Figure 1.4 shows the structure of Merkle-Dåmgard construction where the compression function is defined by :

$$C: \{0,1\}^l \times \{0,1\}^{|M_i|} \to \{0,1\}^l$$
 (1.1)

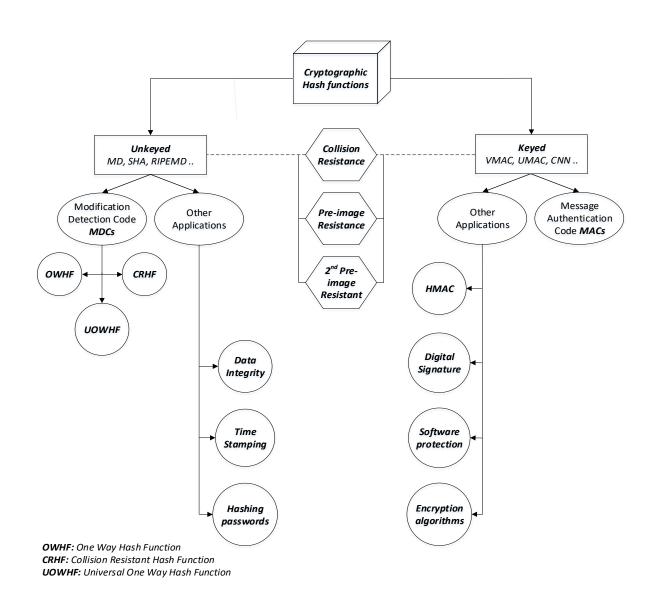
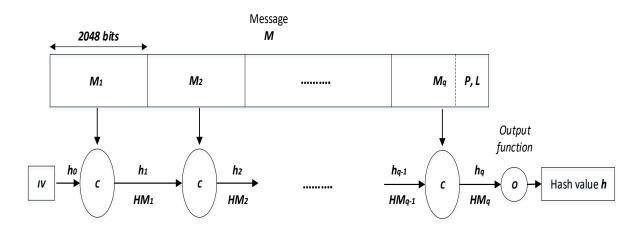


FIGURE 1.3 – Classification of cryptographic hash functions

C takes as inputs a chaining or state variable h_i , (i = 0, ..., q - 1) of size l bits and a message block M_i , (i = 1, ..., q) of size $|M_i|$ bits, to produce the updated chaining variable h_i , (i = 1, ..., q) of size l bits. Thus, to allow the usage of input messages of arbitrary length, the *Merkle-Dåmgard* structure needs a padding, which transforms the input message into a padded message M of length multiple of $|M_i|$ bits. Indeed, a simple padding is insufficient because, in this case, the generated hash value is vulnerable to different attacks due to collision between the latest blocks. We will consider the *Strengthened Merkle-Dåmgard* padding with length strengthening (see Fig. 1.5). It uses a padding function named "is-pad", which appends the binary value of the message length L at the end of the message to generate the padded



P: Padding, **L**: Length of the message **M**, **C**: Message compression function

FIGURE 1.4 – Strengthened Merkle-Dåmgard construction

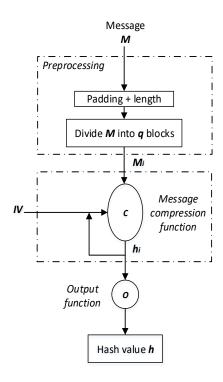


FIGURE 1.5 – Model of Strengthened Merkle-Dåmgard construction

message. Additionally, the *Strengthened Merkle-Dåmgard* construction employs a predefined *initialization vector IV* used as the first state value of the structure. Then, the padded message is processed as a

sequence of message blocks $M_1 \parallel M_2 \parallel ... \parallel M_q$.

The Strengthened Merkle-Dåmgard hash function $SMD_C(M)$ is defined as follow:

Algorithm 1 The *Strengthened Merkle-Dåmgard* hash function $SMD_C(M)$

```
M_1 \parallel M_2 \parallel ... \parallel M_q \leftarrow "is-pad(M)"
h_0 \leftarrow IV

for i = 1 to q do
h_i \leftarrow C(h_{i-1}, M_i)
end for
h \leftarrow O(h_q)
Return h.
```

1.3.2 Three one-way compression functions

In general, the one-way compression functions are often built from block ciphers. Block ciphers take two fixed size inputs (the key and the plaintext) and return one single output which is the same size as the input plaintext. Thus to turn any normal block cipher into a one-way compression function, some methods are used such as, *Davies–Meyer*, *Matyas–Meyer–Oseas*, *Miyaguchi–Preneel* (see Fig. 1.6). These methods are then used with the *Merkle-Dåmgard* construction to build the hash function. These methods are described in detail in the next paragraphs.

Davies–Meyer compression function: The *Davies–Meyer* compression function feeds each block of the message M_i as a key to the block cipher (Fig. 1.6-a). It feeds the previous hash value h_{i-1} as the plaintext. Then, the output value is xored with h_{i-1} to produce the new intermediate hash value h_i . In the first round when there is no previous hash value, it uses an initial value IV. In mathematical notation, the *Davies–Meyer* compression function is represented by the following equation:

$$h_i = C_{M_i}(h_{i-1}) \oplus h_{i-1} \tag{1.2}$$

Matyas–Meyer–Oseas compression function: The *Matyas–Meyer–Oseas* feeds each block of the message M_i as the plaintext (Fig. 1.6-b). Then, the output value is xored with M_i to produce the new intermediate hash value h_i . The previous intermediate hash value h_{i-1} is fed as the key to the block cipher. In the first round when there is no previous hash value, it uses an initial value IV. If the block cipher has different block and key sizes, h_{i-1} will have the wrong size for use as the key. So, it is first fed through the function O to be converted/padded to fit as key for the cipher. In mathematical notation, the Matyas-Meyer-Oseas compression function is represented as follows:

$$h_i = C_{O(h_{i-1})}(M_i) \oplus M_i \tag{1.3}$$

Miyaguchi–Preneel compression function: The *Miyaguchi–Preneel* feeds each block of the message M_i as the plaintext (Fig. 1.6-c). Then, the output value is xored with M_i and with the previous intermediate hash value h_{i-1} to produce the new hash value h_i . h_{i-1} is fed as the key to the block cipher. In the first round when there is no previous hash value, it uses an initial value IV. If the block cipher has different block and key sizes, h_{i-1} will have the wrong size for use as the key. So, it is first fed through the function O to be converted/padded to fit as key for the cipher. In mathematical notation, the *Miyaguchi–Preneel* compression function is represented by the following equation:

$$h_i = C_{O(h_{i-1})}(M_i) \oplus h_{i-1} \oplus M_i$$
 (1.4)

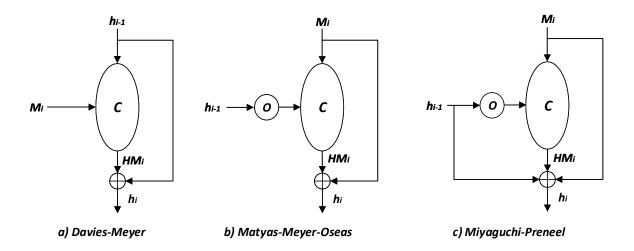


FIGURE 1.6 – The three methods of one-way compression function

1.3.3 Keyed hash functions based on *Merkle-Dåmgard* construction

Generally, hash functions are classified as Unkeyed or keyed. Unkeyed hash functions accept a message M with arbitrary length and produce a hash value h with fixed length u. On the other hand, keyed hash functions accept both a variable length message M and a fixed length key K to produce a fixed length hash value h:

$$H_K: \{0,1\}^{|K|} \times \{0,1\}^* \to \{0,1\}^u$$
 (1.5)

1.4 Secure Hash Algorithm SHA-2

The Secure Hash Algorithm *SHA-2* is a set of cryptographic hash functions designed by the United States National Security Agency (*NSA*). They are built using the *Merkle-Dåmgard* structure. *SHA-2* includes significant changes from its predecessor, *SHA-1*. The *SHA-2* family consists of six hash functions with hash values that are 224, 256, 384 or 512 bits: *SHA-224*, *SHA-256*, *SHA-384*, *SHA-512*, *SHA-512/224*, *SHA-512/256*. *SHA-256* and *SHA-512* are novel hash functions computed with 32-bit and 64-bit words, respectively. They use different shift amounts and additive constants, but their structures are otherwise virtually identical, differing only in the number of rounds. *SHA-224* and *SHA-384* are simply truncated versions of *SHA-256* and *SHA-512* respectively, computed with different initial values. *SHA-512/224* and *SHA-512/256* are also truncated versions of *SHA-512*, but the initial values are generated using the method described in Federal Information Processing Standards (*FIPS*) *PUB 180-4*. *SHA-2* was published in 2001 by the National Institute of Standards and Technology (*NIST*) a U.S. federal standard (*FIPS*).

The SHA-256 operates in the manner of MD4, MD5, and SHA-1. The message to be hashed is first padded with its length in such a way that the result is a multiple of 512 bits long, and then parsed into 512-bit message blocks $M^{(1)}, M^{(2)}, ..., M^{(N)}$. The message blocks are processed one at a time: Beginning with a fixed initial hash value $H^{(0)}$, sequentially compute the following equation:

$$H^{(i)} = H^{(i-1)} + C_{M^{(i)}}(H^{(i-1)})$$
(1.6)

where C is the SHA-256 compression function and + means word-wise addition mod 2^{32} . $H^{(N)}$ is the hash of M. The SHA-256 compression function operates on a 512-bit message block and a 256-bit intermediate hash value. Hence, there are two main components to describe:

- 1. SHA-256 compression function.
- 2. SHA-256 message schedule.

The initial hash value $H^{(0)}$ is the following sequence of 32-bit words, which are obtained by taking the fractional parts of the square roots of the first eight primes: $H_1^{(0)} = 6a09e667$, $H_2^{(0)} = bb67ae85$, $H_3^{(0)} = 3c6ef372$, $H_4^{(0)} = a54ff53a$, $H_5^{(0)} = 510e527f$, $H_6^{(0)} = 9b05688c$, $H_7^{(0)} = 1f83d9ab$ and $H_8^{(0)} = 5be0cd19$. The computation of the hash of a message begins by preparing the message. First, the message is padded in this way: Suppose the length of the message M, in bits, is l. Append the bit 1 to the end of the message, and then v zero bits, where v is the smallest non-negative solution to the equation:

$$l + 1 + v \equiv 448 \bmod 512 \tag{1.7}$$

To this append the 64-bit block which is equal to the length l written in binary. For example, the (8-bit ASCII) message "abc" has a length equal to 8 x 3 = 24 bits. So, it is padded with a one, then 448 - (24 + 1) = 423 zero bits, and then its length to become the 512-bit padded message. The length of the

padded message should now be a multiple of 512 bits. Second, parse the message into N 512-bit blocks $M^{(1)}, M^{(2)}, ..., M^{(N)}$. The first 32 bits of message block i are denoted $M_0^{(i)}$, the next 32 bits are denoted $M_1^{(i)}$, and so on up to $M_{15}^{(i)}$. The big-endian convention throughout is used, so within each 32-bit word, the left-most bit is stored in the most significant bit position. Then, The hash computation proceeds as follows:

Algorithm 2 The Secure Hash Algorithm SHA-256 compression function

```
for i = 1 to N do
   Initialize registers a, b, c, d, e, f, g, h with the (i-1)^{st} intermediate hash value (= the initial hash
   a \leftarrow H_1^{(i-1)}
   b \leftarrow H_2^{(i-1)}
   h \leftarrow H_8^{(i-1)}
    Apply the SHA-256 compression function to update registers a, b, ..., h
   for j = 0 to 63 do
       Compute Ch(e, f, g), Maj(a, b, c), \Sigma O(a), \Sigma I(e), and W_i (see definitions below)
       T_1 \leftarrow h + \Sigma 1(e) + Ch(e, f, g) + K_i + W_i
       T_2 \leftarrow \Sigma 0(a) + Maj(a,b,c)
       h \leftarrow g
       g \leftarrow f
       f \leftarrow e
       e \leftarrow d + T_1
       d \leftarrow c
       c \leftarrow b
       b \leftarrow a
       a \leftarrow T_1 + T_2
   Compute the i^{th} intermediate hash value H^{(i)}
   H_1^{(i)} \leftarrow a + H_1^{(i-1)}

H_2^{(i)} \leftarrow b + H_2^{(i-1)}
  H_8^{(i)} \leftarrow h + H_8^{(i-1)}
H^{(N)} = (H_1^{(N)}, H_2^{(N)}, ..., H_8^{(N)}) is the hash of the message M.
```

Six logical functions are used in *SHA-256*. Each of these functions operates on 32-bit words and produces a 32-bit word as output. Each function is defined as follows:

$$\begin{cases}
Ch(x,y,z) = (x \land y) \oplus (\neg x \land z) \\
Maj(x,y,z) = (x \land y) \oplus (x \land z) \oplus (y \land z) \\
\Sigma 0(x) = S^{2}(x) \oplus S^{13}(x) \oplus S^{22}(x) \\
\Sigma 1(x) = S^{6}(x) \oplus S^{11}(x) \oplus S^{25}(x) \\
\sigma 0(x) = S^{7}(x) \oplus S^{18}(x) \oplus R^{3}(x) \\
\sigma 1(x) = S^{17}(x) \oplus S^{19}(x) \oplus R^{10}(x)
\end{cases}$$
(1.8)

where \wedge : AND logic, \neg : NOT logic, \oplus : XOR logic, \vee : OR logic, R^n : right shift by n bits, S^n : right rotation by n bits. All of these operators act on 32-bit words.

The expanded message blocks $W_0, W_1, ..., W_{63}$ are computed via the SHA-256 message schedule as represented in **Algorithm 3**.

Algorithm 3 The Secure Hash Algorithm SHA-256 message schedule

```
for j = 0 to 15 do W_j = M_j^{(i)} end for for j = 16 to 63 do W_j \leftarrow \sigma 1(W_{j-2}) + W_{j-7} + \sigma 0(W_{j-15}) + W_{j-16} end for
```

A sequence of constant words $K_0, K_1, ..., K_{63}$ is used in *SHA-256*. In hexadecimal, these constants are given by :

```
428a2f98
           71374491
                      b5c0fbcf
                                 e9b5dba5
                                            3956c25b
                                                       59f111f1
                                                                   923f82a4
                                                                              ab1c5ed5
d807aa98
                                                                   9bdc06a7
           12835b01
                      243185be
                                 550c7dc3
                                            72be5d74
                                                       80deb1fe
                                                                              c19bf174
e49b69c1
           efbe4786
                      0fc19dc6
                                 240ca1cc
                                            2de92c6f
                                                       4a7484aa
                                                                   5cb0a9dc
                                                                              76f988da
983e5152
           a831c66d
                      b00327c8
                                 bf597fc7
                                            c6e00bf3
                                                       d5a79147
                                                                   06ca6351
                                                                              14292967
27b70a85
           2e1b2138
                      4d2c6dfc
                                 53380d13
                                            650a7354
                                                       766a0abb
                                                                   81c2c92e
                                                                              92722c85
a2bfe8a1
           a81a664b
                      c24b8b70
                                 c76c51a3
                                            d192e819
                                                       d6990624
                                                                   f40e3585
                                                                              106aa070
19a4c116
           1e376c08
                      2748774c
                                 34b0bcb5
                                            391c0cb3
                                                        4ed8aa4a
                                                                   5b9cca4f
                                                                              682e6ff3
           78a5636f
                      84c87814
                                 8cc70208
                                            90befffa
748f82ee
                                                        a4506ceb
                                                                   bef9a3f7
                                                                              c67178f2
```

These are the first thirty-two bits of the fractional parts of the cube roots of the first sixty-four primes. The *SHA-256* compression function is given in Fig. 1.7.

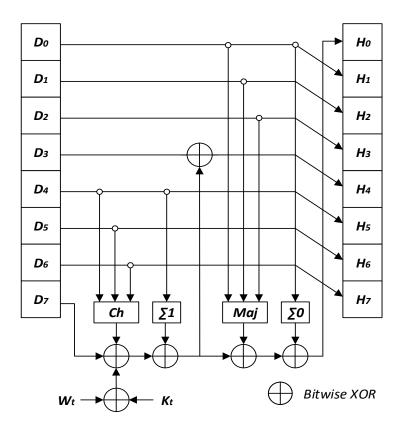


FIGURE 1.7 – One iteration in a SHA-2 family compression function

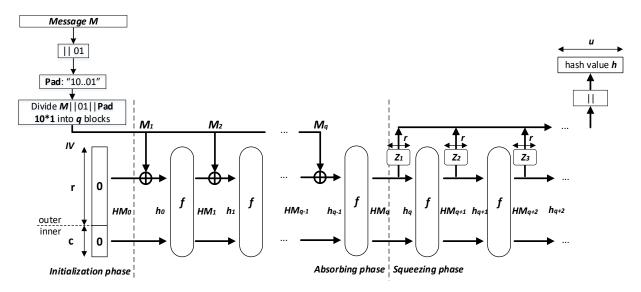
1.5 Sponge construction

In cryptography, a *Sponge* function or *Sponge* construction is any of a class of algorithms with finite internal state that take an input bit stream of any length and produce an output bit stream of any desired length [56]. *Sponge* functions have both theoretical and practical uses. They can be used to model or implement many cryptographic primitives, including Cryptographic Hashes, Message Authentication Codes, Mask Generation Functions, Stream Ciphers, Pseudo-Random Number Generators, and Authenticated Encryption.

In the following, we introduce the *Sponge* construction (Fig. 1.8), the model of *Keyed-Sponge* construction (Fig. 1.9), and the detailed structure of the standard Secure Hash Algorithm *SHA-3* (section 1.6).

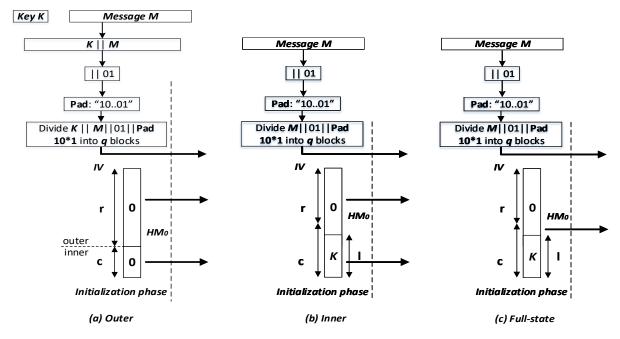
1.5.1 Sponge construction: initialization, absorbing and squeezing

In Fig. 1.8, we give the general structure of the unkeyed *Sponge* construction, which splits into three phases: the Initialization phase, the Absorbing phase, and the Squeezing phase. The unkeyed *Sponge*



IV: Initial Value, *r*: rate, *c*: capacity, *f*: function

FIGURE 1.8 – General structure of the *Sponge* construction



IV: Initialization Vector, r: rate, c: capacity

FIGURE 1.9 – The three types of keyed-Sponge functions

construction builds a hash function, which operates on a state HM_i , $(i \ge 0)$ of size b bits. These states are split into an inner part C of c-bit size named capacity, which is hidden, and an outer part R of r-bit size named bitrate, which is accessible externally. The size of these states named width b-bit is given by b = r + c. In the initialization phase of this construction, the initial value $IV = HM_0$ of b-bit size is initialized to 0, and the input message M is padded then divided into q blocks of r-bit size. Next, the q blocks of the entire message are absorbed message block M_i by message block M_i , (i = 1, ..., q) in the absorbing phase, and the hash value h is squeezed out r-bit block by r-bit block in the squeezing phase.

It should be noted that, the speed of the construction relies partially on the bitrate r, while the security depends partially on the capacity c. Precisely, the absorption process consists of xoring each message block M_i , (i = 1, ..., q) with r-bit size of HM_i , (i = 0, ..., q - 1), which forms the input of the function f to obtain HM_i , (i = 1, ..., q) of b-bit size. Therefore, it is necessary to pad each message block M_i , (i = 1, ..., q) by 0 of c-bit size. If the bitrate r is increased, then more bits are absorbed at once and the construction runs faster. However, the increase of the bitrate r implies decrease in the capacity c, and so there is a trade-off between speed and security.

Thus, a padding rule Pad is needed to ensure that the input message of arbitrary length is padded to a bit-string with length multiple of r bits. Indeed, a simple padding rule with 0 is insufficient because the generated hash value will be vulnerable to different attacks due to the collision between all-zero latest message blocks. Then, as we have already seen from the Sponge construction structure's, the padded message is divided into q blocks and processed as a sequence of message blocks $M_1 \parallel M_2 \parallel ... \parallel M_q$. The Sponge construction algorithm's is defined as follow:

Algorithm 4 The *Sponge* construction *Sponge*[f,Pad,r]

```
Require : r < b
Interface : h = Sponge[f, Pad, r](M, u) with M \in \mathbb{Z}_2^*, integer u > 0, and h \in \mathbb{Z}_2^u
M_1||M_2||...||M_q = M||Pad[r](|M|)
HM_0 = 0^b
for i = 1 to q do
h_{i-1} = HM_{i-1} \oplus (M_i||0^{b-r})
HM_i = f(h_{i-1})
end for
h = (\lfloor HM_q \rfloor)_r
while |h| < u do
HM_{q+1} = f(h_q)
h = h||(\lfloor HM_{q+1} \rfloor)_r
end while
Return (|h|)_u.
```

1.5.2 From unkeyed Sponge to keyed-Sponge construction

Without any structural changes, the unkeyed *Sponge* hash functions, which use an initial value *IV*, are transformed to *keyed-Sponge* hash functions by adding a secret key *K*, as an additional input to the structure. Three types of *keyed-Sponge* functions [57] are used in the literature (see Fig. 1.9):

- 1. The *Outer keyed-Sponge* (*OKS*) [58]: The secret key K is prepended to the message M, i.e., the obtained input message is $K \parallel M$ (Fig. 1.9-a).
- 2. The *Inner keyed-Sponge (IKS)* [59]: The secret key *K* is put in the inner part of the initial value *IV* (Fig. 1.9-b).
- 3. The *Full-State Keyed Sponge* (*FKS*) [60]: The secret key *K* is put in the inner part of the initial value *IV* as *IKS*, but the input message *M* is absorbed over the entire *b*-bit state instead of absorbing it in the *r*-bit outer part only (Fig. 1.9-c).

The first two types of keyed-Sponge were analyzed by Andreeva et al. [61], and Naito and Yasuda [62]. The idea of the third type appeared first in the donkeySponge [63], and an analysis for only one output block was given by Gaži et al. [64]. Then, a complete security analysis of the FKS was given by Mennink et al. [60] and Daemen et al. [65]. From a security perspective, the three modes achieve approximately the same security level of c bits, and there is no reason to take a key K of size |K| bits greater than the capacity c (|K| > c) [57]. However, in terms of the number of permutation evaluations, FKS is more efficient than OKS and IKS: the absorption of b-bit input data at a time rather than r bits (r < b). Intrinsically, FKS has made IKS obsolete: both require adaptation of the unkeyed Sponge algorithm, both take one processing of the permutation function f to absorb the key K, both are approximately equally secure, but FKS is more efficient. The FKS does not necessarily make OKS obsolete, although it is less efficient, OKS does not require an adaptation of the unkeyed Sponge algorithm. So, we restrict our focus to FKS hash functions. The EKS hash functions are used in several applications such as, EKS does not and Bitstream encryption.

For the MAC generation application, the MAC function is given by :

$$MAC_{K,IV}[M]: Z_2^{|K|} \times Z_2^b \times Z_2^L \to Z_2^u$$
 (1.9)

where K is the secret key, IV is the initial value, Z_2 is a binary sequence, and |K|, b, L, and u are the lengths of the secret key K, the initial value IV, the message M, and the desired hash value h, respectively. For the Bitstream encryption application, the STREAM function is given by :

$$STREAM_{K,IV}: Z_2^{|K|} \times Z_2^b \to Z_2^\infty$$
 (1.10)

In the next section, we explain the Secure Hash Algorithm SHA-3.

1.6 Secure Hash Algorithm SHA-3

The Secure Hash Algorithm *SHA-3* is the latest member of the *SHA* family of standards, released by *NIST* on August 5, 2015 [66]. *SHA-3* is internally different from the *MD5*, *SHA-1* and *SHA-2*. *SHA-3* is a subset of the cryptographic primitive family *KECCAK-f* designed by *Bertoni* et al. [67]. The *KECCAK-p* family of permutations is the specialization of the *KECCAK-f* family :

$$KECCAK - p[b, n_r] = KECCAK - f[b]$$
(1.11)

where b is the width and n_r is the number of rounds. Consequently, the KECCAK family is denoted by KECCAK[c](N, d), given as follows:

$$KECCAK[c](N,d) = SPONGE[KECCAK - p[1600, 24], pad10*1, 1600 - c](N,d)$$
 (1.12)

where N is the concatenation of the initial message M with the suffix 01 ($N = M \parallel 01$), d is the hash value length (u = d), and pad10*1 is the used padding rule. As we can see, this equation is restricted to the case b = 1600 bits and $n_r = 24$ rounds, for a given input message M [7]. In the future, additional modes of KECCAK-p may be specified and approved in FIPS publications or NIST special publications.

In particular, the four variants of SHA-3 hash functions are defined from the KECCAK[c](N, d) function as follows:

$$SHA3 - 224(M) = KECCAK[448](M \parallel 01, 224)$$

 $SHA3 - 256(M) = KECCAK[512](M \parallel 01, 256)$
 $SHA3 - 384(M) = KECCAK[768](M \parallel 01, 384)$
 $SHA3 - 512(M) = KECCAK[1024](M \parallel 01, 512)$

In each case, the capacity c is double the hash value length u, i.e., $c = 2 \times u$, and the suffix 01 supports the domain separation; it distinguishes the SHA-3 hash functions from the Extendable-Output Functions (XOFs), such as SHAKE128 and SHAKE256 [66], where its suffix is 1111 ($N = M \parallel 1111$).

The four *SHA-3* hash functions specified in *FIPS 202* supplement the hash functions specified in *FIPS 180-4* [68]: *SHA-1* family and *SHA-2* family. For *XOFs*, the length of the output can be chosen to meet the requirements of user applications.

Keccak's authors have proposed additional uses for the function, not (yet) standardized by *NIST*, including a stream cipher, an authenticated encryption system, a tree hashing scheme for faster hashing on certain architectures [69], and authenticated encryption with associated data algorithms *Keyak* and *Ketje* [70, 71].

SHA-3 uses the Sponge construction, in which data is absorbed into the sponge, then the result is squeezed out.

Message Digest Size	224	256	384	512
Message Size	No max.	No max.	No max.	No max.
Block Size (bitrate r)	1152	1088	832	576
Word Size	64	64	64	64
Number of Rounds	24	24	24	24
Capacity c	448	512	768	1024
Collision Resistance	2^{112}	2^{128}	2^{192}	2^{256}
Second Pre-image Resistance	2^{224}	2^{256}	2^{384}	2^{512}

TABLE 1.1 – Essential parameters of the Secure Hash Algorithm SHA-3

In the absorbing phase, message blocks are xored into a subset of the state equal to r, which is then transformed as a whole using a permutation function f. In the squeezing phase, output blocks are read from the same subset of the state equal to c, alternated with the state transformation function f. The maximum security level is half the capacity [69].

Given an input bit string N, a padding function Pad, a permutation function f that operates on bit blocks of width b, a rate r and an output length u, we have the Sponge construction:

$$Z = Sponge[f, pad, r](N, u)$$
(1.13)

yielding a bit string Z of length u, works as described in the Algorithm 4.

In SHA-3, the state S consists of a 5×5 array of w-bit words. With w = 64, b is equal to $5 \times 5 \times w = 1600$ bits. The KECCAK-f family is also defined for small and intermediate state sizes. Small state sizes (w = 1) can be used to test cryptanalytic attacks, and intermediate state sizes (from w = 8 to w = 32) can be used in practical to design lightweight applications.

For SHA-3-224, SHA-3-256, SHA-3-384, and SHA-3-512 instances, r is greater than u, so there is no need for additional block permutations in the squeezing phase; the leading u bits of the state are the desired hash. However, SHAKE128 and SHAKE256 allow an arbitrary output length, which is useful in applications such as optimal asymmetric encryption padding.

To ensure that the message can be evenly divided into r-bit blocks, padding is required. SHA-3 uses the pattern pad10*1 in its padding function Pad (multi-rate padding): a 1 bit, followed by zero or more 0 bits (maximum r-1) and a final 1 bit. In Table 1.1, we give the essential parameters of the SHA-3.

SHA-3 Iteration Function *f*

For the internal processing within f, the input state variable s is organized as a 5 * 5 * 64 array a. The 64-bit units are referred to as lanes. The notation a [x, y, z] refers to an individual bit with the state array. (Note that the first index x designates a column and the second index y designates a row). Within a lane are labeled z = 0 through z = 63. The mapping between the bits of s and those of a is s [64(5y + x) + z] = a [x, y, z] (see Fig. 1.10).

The block transformation f, which is Keccak-f[1600] for SHA-3, is a permutation that uses XOR, AND and NOT operations, and is designed for easy implementation in both software and hardware. The basic block permutation function consists of 12+2l rounds of five steps. The five step mappings that comprise a round of $KECCAK - p[b, n_r]$ are denoted by θ, ρ, π, χ , and ι . The algorithm for each step mapping takes a state array, denoted by A, as an input and returns an updated state array, denoted by A', as the output. The size of the state is a parameter that is omitted from the notation, because b is always specified when the step mappings are invoked. The ι mapping ι has a second input: an integer called the round index, denoted by ι . The other step mappings do not depend on the round index.

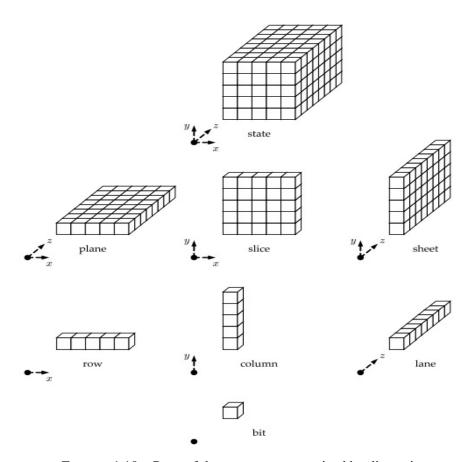


FIGURE 1.10 – Parts of the state array, organized by dimension

Specification of $\theta(A)$:

The specification of $\theta(A)$ step mapping is provided in **Algorithm 5** and shown in Fig. 1.11.

Algorithm 5 Specification of $\theta(A)$

Input state array A.

Output state array A'.

Steps

for all pairs (x, z) such that $0 \le x \le 5$ and $0 \le z \le w$ **do**

$$C[x,z] = A[x,0,z] \oplus A[x,1,z] \oplus A[x,2,z] \oplus A[x,3,z] \oplus A[x,4,z]$$

end for

for all pairs (x, z) such that $0 \le x \le 5$ and $0 \le z \le w$ do

$$D[x,z] = C[(x-1) \bmod 5, z] \oplus C[(x+1) \bmod 5, (z-1) \bmod w]$$

end for

for all triples (x, y, z) such that $0 \le x \le 5$, $0 \le y \le 5$, and $0 \le z \le w$ do

$$A'[x,y,z] = A[x,y,z] \oplus D[x,z].$$

end for

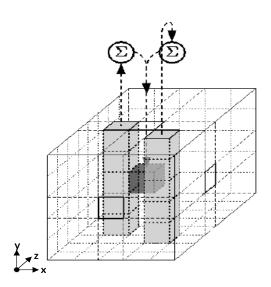


FIGURE 1.11 – Illustration of θ applied to a single bit

Specification of $\rho(A)$:

The specification of $\rho(A)$ step mapping is provided in **Algorithm 6** and shown in Fig. 1.12.

Algorithm 6 Specification of $\rho(A)$

```
Input state array A.

Output state array A'.

Steps

for all z such that 0 \le z \le w do

A'[0,0,z] = A[0,0,z]

end for

(x,y) = (1,0)

for t = 0 to 23 do

for all z such that 0 \le z \le w do

A'[x,y,z] = A[x,y,(z-(t+1)(t+2)/2)mod\ w]

end for

(x,y) = (y,(2x+3y)mod\ 5)

end for

Return A'.
```

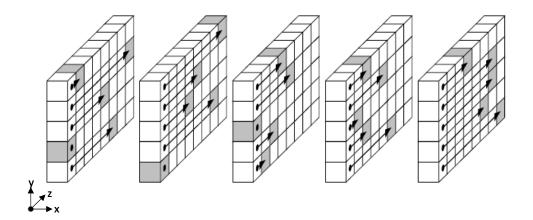


FIGURE 1.12 – Illustration of ρ for b = 200

Specification of $\pi(A)$:

The specification of $\pi(A)$ step mapping is provided in **Algorithm 7** and shown in Fig. 1.13.

Algorithm 7 Specification of $\pi(A)$

Input state array A.

Output state array A'.

Steps

for all triples (x, y, z) such that $0 \le x \le 5, 0 \le y \le 5$, and $0 \le z \le w$ **do**

$$A'[x, y, z] = A[(x+3y) \mod 5, x, z]$$

end for

Return A'.

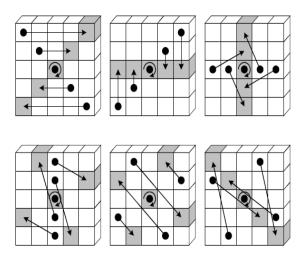


FIGURE 1.13 – Illustration of π applied to a single slice

Specification of $\chi(A)$:

The specification of $\chi(A)$ step mapping is provided in **Algorithm 8** and shown in Fig. 1.14.

Algorithm 8 Specification of $\chi(A)$

Input state array A.

Output state array A'.

Steps

for all triples (x, y, z) such that $0 \le x \le 5, 0 \le y \le 5$, and $0 \le z \le w$ do

$$A'[x,y,z] = A[x,y,z] \oplus ((A[(x+1)mod 5,y,z] \oplus 1) \cdot A[(x+2)mod 5,y,z])$$

end for

Return A'.

Specification of $\iota(A)$:

The t mapping is parameterized by the round index, i_r , whose values are specified in Step 2 of **Algorithm**

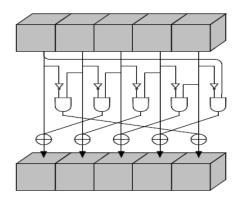


FIGURE 1.14 – Illustration of χ applied to a single row

11 for computing KECCAK-p[b, nr]. Within the specification of t in **Algorithm 10** below, this parameter determines l+1 bits of a lane value called the round constant, denoted by RC. Each of these l+1 bits is generated by a function that is based on a linear feedback shift register. This function, denoted by rc, is specified in **Algorithm 9**.

```
Algorithm 9 rc(t)
  Input integer t.
  Output bit rc(t).
  Steps
  if t \mod 255 = 0 then
     Return 1.
  end if
  Let R = 10000000
  for i = 1 to t mod 255 do
     R = 0||R
    R[0] = R[0] \oplus R[8]
    R[4] = R[4] \oplus R[8]
    R[5] = R[5] \oplus R[8]
    R[6] = R[6] \oplus R[8]
    R = Trunc_8[R]
  end for
  Return R[0].
```

Algorithm 10 $\iota(A, i_r)$

Input state array A.

Input round index i_r .

Output state array A'.

Steps

for all triples (x, y, z) such that $0 \le x \le 5, 0 \le y \le 5$, and $0 \le z \le w$ do

$$A'[x, y, z] = A[x, y, z]$$

end for

Let $RC = 0^w$

for j = 0 to l do

$$RC[2^j - 1] = rc(j + 7i_r)$$

end for

for all z such that $0 \le z \le w$ do

$$A'[0,0,z] = A'[0,0,z] \oplus RC[z]$$

end for

Return A'.

KECCAK-p[b, n_r]:

Given a state array A and a round index i_r , the round function Rnd is the transformation that results from applying the step mappings θ, ρ, π, χ , and ι , in that order, i.e., :

$$Rnd(A, i_r) = \iota(\chi(\pi(\rho(\theta(A)))), i_r)$$
(1.14)

The $KECCAK - p[b, n_r]$ permutation consists of n_r iterations of Rnd, as specified in **Algorithm 11**.

Algorithm 11 KECCAK - p[b, nr](S)

Input string *S* of length *b*.

Input number of rounds n_r .

Output string S' of length b.

Steps

Convert S into a state array A

for
$$i_r = 12 + 2l - n_r$$
 to $12 + 2l - 1$ **do**

$$A = Rnd(A, i_r).$$

end for

Convert A into a string S' of length b

Return S'.

1.7. Conclusion 45

1.7 Conclusion

In this chapter, we introduced briefly the fundamentals concepts of cryptographic hash functions: generalities, properties and classification (unkeyed and keyed hash functions). First, we presented the current standard hash function *SHA-2* based on *Merkle-Dåmgard* construction. Then, we described the future standard *SHA-3* based on the *Sponge* function.

Chapter 2

Main chaos-based hash functions of the literature

2.1 Introduction

In this chapter, first we introduce the main properties of chaotic signals and neural networks. Then, we describe briefly the two discrete chaotic maps used in this thesis, the Discrete Skew Tent map (*DSTmap*) and the Discrete Piece Wise Linear Chaotic map (*DPWLCmap*). Next, we present briefly the state-of-the-art of some chaos-based hash functions of the literature. Finally, we conclude this chapter.

2.2 Chaos properties

Many complex systems can be better understood through Chaos Theory. *Henri Poincaré*, a mathematician, laid the groundwork for Chaos Theory [72]. He was the first to point out that many deterministic systems display a "sensitive dependence on initial conditions". *Poincaré* described this concept in the following way: "It may happen that small differences in the initial conditions produce very great ones in the final phenomena. A small error in the former will produce an enormous error in the latter. Prediction becomes impossible".

Later, in the 1900s, *Edward Lorenz*, MIT meteorologist, discovered by chance what would be called the Chaos Theory. *Lorenz* studied the phenomenon of Chaos Theory in the context of weather systems [73]. When making weather predictions, he noticed that his calculations were significantly impacted by the extent to which he rounded his numbers. The end result of the calculation was significantly different when he used a number rounded to three digits as compared to a number rounded to six digits. His observations on Chaos Theory in weather systems led to his famous talk, which he entitled, "Predictability:

Does the Flap of a Butterfly's Wings in Brazil set off a Tornado in Texas?" [74]. In reference to this talk, Chaos Theory has also been described as the "butterfly effect".

Lorenz had discovered the chaotic behavior of a nonlinear system, that of the weather, but the term Chaos Theory was only later given to the phenomenon by the mathematician *James A. Yorke*, in 1975 [75]. Lorenz also gave a graphic description of his findings using his computer. The figure that appeared was his second discovery: the *attractors*.

Chaos theory is a branch of mathematics that focused on the behaviour of complex dynamic systems [76]. In chaos theory, a chaotic system is a simple, non-linear dynamic process that reflects completely unpredictable behaviour, and hence randomness. Moreover, it is a deterministic system and highly sensitive to initial conditions, such that, if two identical chaotic systems are in two slightly different initial conditions, they will evolve toward amazingly different results [77]. Chaos theory has many applications in several disciplines, including meteorology, physics, computer science, engineering, politics, business, social sciences, economics, philosophy, and biology [78]. Since 1980s, the idea of using chaotic systems to design crypto-systems has attracted more and more attention. It can be traced to Shanon's classical paper on theory of secrecy systems [79]. The good dynamical properties of chaotic systems implies good cryptographical properties of crypto-systems. For that, chaotic sequences has been used in the design of cryptographic primitives including image encryption, pseudo-random number generators, watermarking, steganography, and hash functions [80]. In the following sub-sections, we give the main characteristics of chaotic signals and neural networks suitable to build secure hash functions, and a brief description of *DSTmap* and *DPWLCmap*.

2.2.1 Main characteristics of chaotic systems suitable to build hash functions

A chaotic system is characterized by the following important security features [81, 82, 83, 84, 85]:

- 1. Sensitivity to initial conditions
- 2. Random-like behaviour
- 3. Unstable dense periodic orbits
- 4. Ergodicity
- 5. Nonlinearity
- 6. Unpredictability
- 7. Deterministic Nature

2.2.2 Chaotic maps

In mathematics, a chaotic map is a function which exhibits some sort of chaotic behaviour. It often takes the form of iterated function and occurs in the study of dynamical systems. Chaotic maps may be parametrized by a continuous-time or a discrete-time parameter. According to *Alligood* et al. [86],

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a chaotic map is a function of its domain onto itself, the starting point of the trajectory (the sate from which the system starts) is called the initial condition [87].

Several chaotic maps with one-dimension (1-D), two-dimensions (2-D) and three-dimensions (3-D) are proposed in the literature. In this subsection, we will give a brief description of the two chaotic maps Discrete Skew Tent map and Discrete Piecewise Linear Chaotic Map that will be used in this thesis.

Discrete Skew Tent map

The Discrete Skew Tent map is a one dimensional piecewise map, exhibiting chaotic dynamics. It is a non invertible transformation of the input interval onto itself [88].

The equation of the Discrete Skew Tent function is defined as follows:

$$X(n) = DSTmap(X(n-1), P)$$

$$= \begin{cases} \lfloor 2^{N} \times \frac{X(n-1)}{P} \rfloor & \text{if } 0 < X(n-1) < P \\ \lfloor 2^{N} - 1 \rfloor & \text{if } X(n-1) = P \\ \lfloor 2^{N} \times \frac{2^{N} - X(n-1)}{2^{N} - P} \rfloor & \text{if } P < X(n-1) < 2^{N} \end{cases}$$

$$(2.1)$$

where the dynamical variable X(n) and the control parameter P take an integer value that belongs to the interval $[0, 2^N - 1]$.

Discrete Piecewise Linear Chaotic Map

The Discrete Piecewise Linear Chaotic map is another one dimensional piecewise map, exhibiting chaotic dynamics [89]. The *DPWLCmap* has been often used in data encryption [90].

The equation of the Discrete Piecewise Linear Chaotic function is defined as follows:

$$X(n) = DPWLCmap(X(n-1), P)$$

$$= \begin{cases} \lfloor 2^{N} \times \frac{X(n-1)}{P} \rfloor & \text{if } 0 < X(n-1) \le P \\ \lfloor 2^{N} \times \frac{X(n-1)-P}{2^{N-1}-P} \rfloor & \text{if } P < X(n-1) \le 2^{N-1} \\ \lfloor 2^{N} \times \frac{2^{N}-X(n-1)-P}{2^{N-1}-P} \rfloor & \text{if } 2^{N-1} < X(n-1) \le 2^{N}-P \\ \lfloor 2^{N} \times \frac{2^{N}-X(n-1)}{P} \rfloor & \text{if } 2^{N}-P < X(n-1) \le 2^{N}-1 \\ 2^{N}-1-P & \text{otherwise} \end{cases}$$

$$(2.2)$$

where $X(n) \in [1, 2^N - 1]$ and P is the discrete control parameter and satisfies $0 < P < 2^{N-1}$.

2.3 Neural Networks

Neural Networks are built from simple units called neurons, which work in concurrent manner to realize complex functions in different areas: classification, identification, pattern recognition, speech recognition, automation, and so on.

These units are mimic human nerve system. These units are connected by connections (synapses). Every connection has some weight. Neural networks were learned by modifying the values of weights between units. Learning is realized by presenting a set of inputs and a set of target outputs and the adjusting is based on a comparison between computed output and expected target. This process is repeated until the difference is less than a specify threshold.

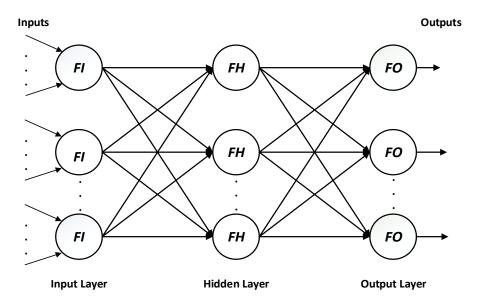
The most known architecture of neural network is a perceptron. A lot of architectures of perceptron were proposed by Rosenblatt [91]. The simple one is a single layer perceptron. The structure of feed-forward neural network can consist of one or several hidden layers of neurons with activation function and one output layer as seen in Fig. 2.1. Hidden layers with nonlinear activation functions realized the confusion and diffusion process between input and output sets. At the beginning, input values are applied to the input neurons. Each neuron computes his output. Computed values are sent to the hidden layer. The output value is obtained after calculation layer by layer and the process is finished when the output layer is achieved. The detailed structure of a neuron i is shown in Fig. 2.2. The output of this neuron is given by the following equation:

$$C_{i} = F\left(\left(\sum_{k=0}^{n} W_{i,k} \times P_{k}\right) + B_{i}\right)$$
(2.3)

2.3.1 Main characteristics of Neural Network suitable to build hash functions

The neural networks are suitable to use in cryptographic hash functions thanks to its interesting properties [92]:

- 1. Nonlinear structure: complex relationships between inputs and outputs and consequently ensure the confusion property by using a nonlinear function as a transfer function.
- 2. Diffusion property: The mixing process is applied at each neuron, while the output is in relation to all the elements of the input as seen in figure 2.2.
- 3. Parallel implementation: the structure of neural networks permit naturally a parallel implementation. Normally, this lead to reduce the execution time.
- 4. Flexibility: the size of input/output (*n* elements) can be changed, which allows to ensure a flexible size of data block.
- 5. One-way compression function.



FI, FH, FO: Input, Hidden, and Output activation functions, respectively

FIGURE 2.1 – General structure of neural networks

2.4 Some chaos-based hash functions of the literature

A new direction in the construction of chaos-based hash functions appeared in 2002. Due to the strong non-linearity of chaotic systems and neural network structures, some designers usually combine these two systems to build secure hash functions. In the following, we present three kinds of chaos-based hash functions, namely Hash functions based on Chaotic maps, Hash functions based on Chaotic maps and Neural Networks, and Specific chaos-based hash functions.

2.4.1 Hash functions based on Chaotic maps

Many researchers developed hashing schemes based on simple chaotic maps, such as logistic map, high-dimensional discrete map, piecewise linear chaotic map, tent map, and *Lorenz* map or on 2D coupled map lattices [12, 27].

In 2002, *Wong* [10] generalized the chaotic cryptographic scheme based on iterating a logistic map with the look-up table updated dynamically. So, that it can perform encryption and hashing to produce the cipher text and the hash value for a given message. In another work, *Xiao* et al. [13] present an algorithm for one-way hash function construction based on the piecewise linear chaotic map with changeable parameter *P*. The Cipher Block Chaining (*CBC*) mode is introduced to ensure that the parameter *P* in each iteration is dynamically decided by the last-time iteration value and the corresponding message bit

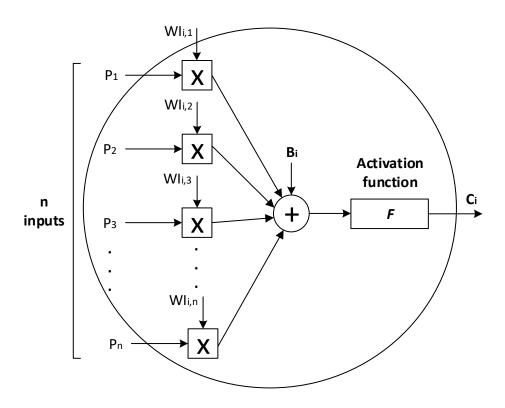


FIGURE 2.2 – Mathematical model of a neuron i

in different positions. Finally, the final Hash value is obtained through the linear transform on the iteration sequence.

In 2005, Yi [14] proposed a new 2*l*-bit iterated hash function based on chaotic tent maps. In 2007, Zhang et al. [17] proposed a novel chaotic keyed hash algorithm using a feed forward-feedback nonlinear filter. Arumugam et al. [20] presented a new approach of applying chaos functions for generating Message Authentication Code with higher security but with smaller key size. They designed an algorithm by using variable Initialization Vectors (*IVs*) instead of a constant *IV*. They proved that the algorithm satisfies the expected properties of the *MAC* to provide security. Also, they show that their proposed algorithm would be useful for authenticating very sensitive information in the areas of military, banking and financial transaction.

In 2007, *Wang* et al. [93] designed an algorithm for one-way hash function construction based on iterating a chaotic map. The total chaotic space is divided into some subspace based on the density distribution function of the chaotic map. Each subspace is associated with a unique bit in a bit sequence. The

value of the chaotic map is dynamically decided by the last-time value and the corresponding message bit in different positions. When the chaotic value is in one subspace, changes the corresponding bit. Finally, the bit sequence is used as the hash value.

In their paper, *Wang* et al. [18] proposed an algorithm for constructing one-way hash function based on spatiotemporal chaos. A two-dimensional coupled map lattices (2D *CML*) with parameters leading to the largest *Lyapunov* exponent is employed. The state of the 2D *CML* is dynamically determined by its previous state and the message bit at the corresponding positions. The hash value is obtained by a linear transform on the final state of the 2D *CML*.

In 2008, *Maqableh* et al. [94] proposed a new hash function (*CHA*-1) based on the Logistic Map. The proposed new hash algorithm (*CHA*-1) produce hash value of 160-bit, accept any message length less than 2⁸⁰ bits, and having security factor of 2⁸⁰. *Akhavan* et al. [95] proposed an algorithm for one-way hash function construction based on piecewise nonlinear chaotic map. In his proposed hash algorithm, message is totally connected to the all parameters, so that the generated hash value is highly sensitive to the message. Also, in order to achieve high security in hash function, they are combining a piecewise nonlinear chaotic map and a one-dimensional chaotic map. Although the combination of these two maps leads to the increased complexity of the hash function. Also, they studied their proposed algorithm in terms of security and speed.

In 2009, *Amin* et al. [26] investigated an algorithm for one-way hash function construction based on chaos theory. A chaotic tent map is chosen, for certain parameter values, this system can display highly complex behaviour and even chaotic phenomena. The hash value is obtained by iterating the tent map. In addition to statistical tests, they studied the immunity of their proposed algorithm against birthday attack and meet-in-the-middle attack. *Zhang* et al. [96] proposed an algorithm for one-way hash function construction based on conservative chaotic system. They use the conservative systems to perform iteration operation instead of dissipative system to overcome the traditional defects, which makes this method of hash function construction has a high security. In their algorithm, the two initial inputs and steps of iterations are generated by last round of iteration. *Wang* et al. [97] proposed a parallel structure of hash function based on the coupled map lattices. Not only the message block but also its position in the whole message block chain are used as the input of the hash round function. The output of round function is generated by iterating the *CML*. The final hash value is the mixed result of all the hash round values.

In 2011, *Liu* [28] proposes a novel one-way Hash function which is based on the Coupled Integer Tent Mapping System and termed as *THA* (*THA*-160, *THA*-256). The algorithm adopts a piecewise message expansion scheme. In his algorithm, the message expansion scheme has enhanced the degree of nonlinear diffusion of the message expansion, and thus increased the computation efficiency.

2.4.2 Hash functions based on Chaotic maps and Neural Networks

In the literature, other researchers proposed to combine chaotic maps and neural networks to build new Chaotic Neural Network hash functions.

In 2004, *Xiao* and *Liao* [11] proposed a combined hashing and encryption scheme by using chaotic neural network. With random chaotic sequences, the weights of neural network are distributed and the permutation matrix *P* is generated.

In 2006, *Lian* et al. [16, 15], based on chaotic neural networks, construct a hash function, which makes use of neural networks' diffusion property and chaos' confusion property. This function encodes the plaintext of arbitrary length into the hash value of fixed length. Then, its security against statistical attack, birthday attack and meet-in-the-middle attack is analyzed in detail. *Xiao* et al. [98] analyzed the cause of vulnerability of their original parallel keyed hash function based on chaotic maps [25] in detail, and then proposed the corresponding enhancement measures. *Liu* and *Xiu* [19] introduced the hysteresis activation function, and proposed a novel hysteretic chaotic neuron model by the function. It is shown that the model may exhibit a complex dynamic behaviour. On the basis of this neuron model, they construct a novel neural network, which can be applied to hysteresis system modeling.

In 2008, *Yang* et al. [24] designed a novel chaotic hash algorithm based on a network structure formed by 16 chaotic maps. The original message is first padded with zeros to make the length a multiple of four. Then, it is divided into a number of blocks each contains 4 bytes. In the hashing process, the blocks are mixed together by the chaotic map network since the initial value and the control parameter of each tent map are dynamically determined by the output of its neighbors. To enhance the confusion and diffusion effect, the cipher block chaining (*CBC*) mode is adopted in the algorithm.

In 2009, *Xiao* er al. [21] proposed an algorithm for parallel keyed hash function construction based on chaotic neural network. The mechanism of changeable-parameter and self-synchronization establishes a close relation between the hash value bit and message, and the algorithm structure ensures the uniform sensitivity of the hash value to the message blocks at different positions. In the same year, *Deng* et al. [22] proposed a novel combined cryptographic and hash algorithm based on chaotic control character. The control character is generated by chaotic iteration. The plaintext is pre-processed in terms of control character, and then encrypted by the look-up index table. At the same time, the chaotic trajectory is changed continuously according to the control character, which can avoid the dynamical degradation of chaos. Besides, the look-up index table is updated by utilizing the control character continuously, and the index item of the final look-up index table can be considered as the hash value of the whole paragraph

of plaintext. Therefore, the proposed algorithm can perform both encryption/decryption and hash in a combined manner.

Deng et al. [23] analyzed in detail the potential flaws in the algorithm proposed in the paper "A chaosbased cryptographic Hash function for message authentication" [12]. Then, the corresponding improving measures are proposed. They enhance the influence that each bit of the final Hash value is closely related to all the bits of the message or key and a single bit change in message or key results in great changes in the final hash value. Li et al. [99] proposed an algorithm for constructing a one-way novel hash function based on two-layer chaotic neural network structure. The Piecewise Linear Chaotic Map (PWLCM) is utilized as transfer function, and the 4-dimensional and One-Way Coupled Map Lattices (4D OWCML) is employed as key generator of the chaotic neural network.

Since 2010, there has been a real turning point in building new secure hash algorithms based on chaotic maps and neural network. *Huang* [100] proposed an enhancement of *Xiao*'s parallel keyed hash function based on chaotic neural network [21]. Indeed, in *Xiao*'s scheme, the secret keys are not nonce numbers, which might produce a potential security flaw.

In 2011, Li et al. [101] proposed and analyzed in their paper a parallel Hash algorithm construction based on chaotic maps with changeable parameters. The two main characteristics of the proposed algorithm are parallel processing mode and message expansion. The algorithm translates the expanded message blocks into the corresponding ASCII code values as the iteration times, iterates the chaotic asymmetric tent map and then the chaotic piecewise linear map, continuously, with changeable parameters dynamically obtained from the position index of the corresponding message blocks, to generate decimal fractions, then rounds the decimal fractions to integers, and finally cascades these integers to construct intermediate Hash value. The final hash value with the length of 128-bit is generated by logical xor operation of intermediate Hash values.

In 2013, *He* et al. [29] designed an algorithm for constructing one-way hash function based on chaotic neural network. The neural network model is initialized by two chaotic maps. Then, the message are divided into blocks with fixed length and inputted to neural network one by one. Since the output feedback model is employed, its output not only depends on the input and parameters of the neural network, but also on its status. This dependence is enhanced by iterating the chaotic map, which is very useful to improve the performance of hash function. The final hash value is extracted from status value of output layer cells. *Li* et al. [102] reconsider and analyze their previous paper "A novel hash algorithm construction based on chaotic neural network" [99]. Then, they present equal-length and unequal-length forgery attacks against its security in detail. Finally, they propose a significantly improved approach by utilizing a method of complicated nonlinear computation to enhance the security of the original hash algorithm.

In 2015, *Abdoun* et al. [32] proposed an efficient algorithm for constructing a secure Hash function based on Chaotic Neural Network structure. The proposed Hash function includes two main operations: Generation of Neural Network parameters using fast and efficient Chaotic Generator and Iteration of the message through the three-layer Chaotic Neural Network.

2.4.3 Specific chaos-based hash functions

In the literature, high-dimensional chaotic maps have also been used in hash functions for higher complexity and better mixing.

Xiao et al. [98] designed a parallel keyed chaos-based hash function, where a mechanism of both changeable-parameter and self-synchronization is used to establish a close relation of the keystream with the algorithm key, the content, and the order of each message block.

In their paper, *Nouri* et al. [103] proposed and analyzed a dynamic Hash algorithm construction based on chaotic maps with controllable parameters. Based on simplest 2-D chaotic maps, a new hash function has been proposed and analyzed. Moreover in this paper, an algorithm for one way hash function construction based on chaos theory is introduced. The proposed hash function operates on messages with arbitrary length to produce 128 bits hash value and can be easily implemented in both hardware and software. The two core characteristics of the recommended algorithm are chaotic behaviours and parallel processing mode. The proposed algorithm contains controllable parameters dynamically obtained from the position index of the corresponding message blocks.

In their paper, *Akhavan* et al. [104] proposed a new efficient scheme for parallel hash function based on high-dimensional chaotic map. In the proposed scheme, the confusion as well as the diffusion effect is enhanced significantly by utilizing two nonlinear coupling parameters.

Jiteurtragool et al. [105] proposed a topologically simple keyed hash function based on circular chaotic sinusoidal map network that uses more complex map, i.e., the Sine map. In 2014, *Teh* et al.[30] introduced a parallel chaotic hash function based on the shuffle-exchange network that runs in parallel to improve hashing speed. *Chenaghlu* et al. [31] published a new keyed parallel hashing scheme based on a new hyper sensitive chaotic system with compression ability. In 2015, *Guesmi* et al. [106] proposed a novel image encryption algorithm based on a hybrid model of deoxyribonucleic acid (*DNA*) masking, a Secure Hash Algorithm *SHA-2* and the Lorenz system. In 2016, *Li* et al. [107] proposed a chaotic hash algorithm based on circular shifts with variable parameters. They exploit piecewise linear chaotic map and one-way coupled map lattice to produce initial values and variable parameters. In their paper, circular shifts are introduced to improve the randomness of hash values.

2.5. Conclusion 57

2.5 Conclusion

In this chapter, we presented the main characteristics of chaos theory and neural networks suitable to build hash functions. Then, we gave an overview of *DSTmap* and *DPWLCmap* used in our contributions. Finally, we presented three categories of some chaos-based hash functions of the literature.

Chapter 3

Design and security analysis of keyed chaotic neural network hash functions based on the Merkle-Dåmgard construction

3.1 Introduction

This chapter proposes two keyed hash functions based on Chaotic Neural Network (*CNN*), and for each one, three output schemes are suggested as presented in Fig. 3.1. The first *CNN* hash function uses two-layer neural network structure (named **Structure 1**), whereas the second hash function uses one-layer neural network followed by a combination of Non-Linear (*NL*) functions (named **Structure 2**). The obtained results of several statistical tests and cryptanalytic analysis highlight the robustness of the proposed keyed *CNN* hash functions, which is fundamentally due to the strong non-linearity of both the chaotic systems and the neural networks. The comparison of the performance analysis with some chaosbased hash functions of the literature and with standard hash functions make the proposed hash functions suitable for data integrity, message authentication, and digital signature applications.

3.2 Chaotic Neural Network structure of the proposed keyed hash functions

In the next sub-sections, we explain the padding rule, the three suggested output schemes based on *Matyas-Meyer-Oseas* [108, 109, 110] and *Miyaguchi-Preneel* [111, 112, 113, 114]. Next, we describe

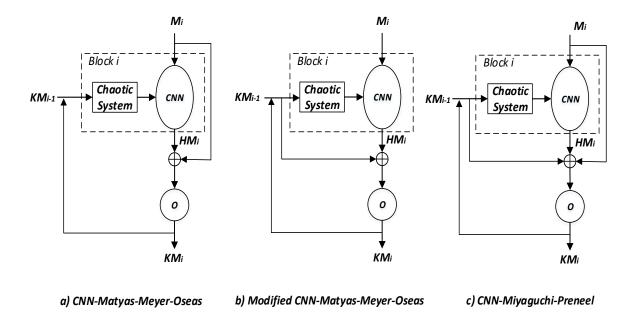


FIGURE 3.1 – The proposed Merkle-Dåmgard compression functions based on CNN with output schemes

the proposed Chaotic System used to generate the parameters of *CNN* compression function. Then, we present in detail the two proposed *CNN* hash functions : **Structure 1** and **Structure 2**.

3.2.1 Padding rule

The message M is padded with the bit pattern 00...0 of length v bits, as shown in equation (3.1) (see Fig. 3.2). The remaining 64 bits is used by the padding function "is-pad" to denote L (see sub-section 1.3.1).

$$v = |M_i| - mod[(L+64), |M_i|]$$
(3.1)

It should be noted that, if L exceeds 2^{64} , then $L \mod 2^{64}$ is taken as the message length instead of L [43]. In general, we have 3 cases of padding :

case $a : mod(|M|, |M_i|) < |M_i| - 64$.

case $b : mod(|M|, |M_i|) = 0$.

case $c : mod(|M|, |M_i|) > |M_i| - 64$.

Now, let's take a look at the three cases of padding where $|M_i| = 2048$ bits (Fig. 3.2), which is as follows:

case
$$a$$
: if $L = 6066$ bits:
 $v = 2048 - mod[(6066 + 64), 2048] = 14$ bits.
case b : if $L = 6144$ bits:
 $v = 2048 - mod[(6144 + 64), 2048] = 1984$ bits.
case c : if $L = 6086$ bits:
 $v = 2048 - mod[(6086 + 64), 2048] = 2042$ bits.

Then, the padded message is processed as a sequence of message blocks $M_1 \parallel M_2 \parallel ... \parallel M_q$.

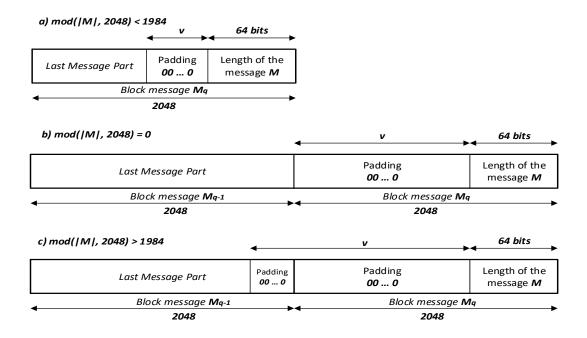


FIGURE 3.2 – The padding of input message in the proposed hash functions

3.2.2 Suggested output schemes

Matyas-Meyer-Oseas (MMO) output scheme

In this output scheme, the message block M_i is xored with the chaining variable HM_i , which is the output of the CNN that takes as inputs M_i and the output of the Chaotic System (Fig. 3.1-a). The state value KM_{i-1} is the key of the Chaotic System. Due to the possible different bit-length, an output function O precedes the generation of the final output KM_i , which represents the key of the next block, which is as

follows:

$$KM_i = O(HM_i \oplus M_i) \tag{3.2}$$

where i: the block index; $1 \le i \le q$. $for i = 1 : KM_0 = K$: the secret key. $for i = q : KM_q = h$: the final hash value.

Modified Matyas-Meyer-Oseas (MMMO) output scheme

This output scheme is similar to MMO output scheme except for the xor operation. Indeed in this case, HM_i is xored with KM_{i-1} (Fig. 3.1-b), where the final output KM_i is defined by :

$$KM_i = O(HM_i \oplus KM_{i-1}) \tag{3.3}$$

where i: the block index; $1 \le i \le q$. $for i = 1 : KM_0 = K$: the secret key. $for i = q : KM_q = h$: the final hash value.

Miyaguchi-Preneel (MP) output scheme

This output scheme can be considered as an extension of the MMO output scheme, where KM_{i-1} is also added to the xor operation between M_i and HM_i (Fig. 3.1-c). The final output KM_i is defined by :

$$KM_i = O(HM_i \oplus M_i \oplus KM_{i-1}) \tag{3.4}$$

where i: the block index; $1 \le i \le q$. $for i = 1 : KM_0 = K$: the secret key. $for i = q : KM_q = h$: the final hash value.

3.2.3 Detailed description of the proposed Chaotic System

The proposed Chaotic System is used to generate the parameters concerning the *CNN* compression function (Fig. 3.1). It comprises the *DSTmap* with one recursive cell (delay equal to 1) (Fig. 3.3). Its outputs are defined as follows:

$$KSs(n) = DST map(KSs(n-1), Q1)$$

$$= \begin{cases} 2^{N} \times \frac{KSs(n-1)}{Q1} & \text{if } 0 < KSs(n-1) < Q1\\ 2^{N} - 1 & \text{if } KSs(n-1) = Q1\\ 2^{N} \times \frac{2^{N} - KSs(n-1)}{2^{N} - Q1} & \text{if } Q1 < KSs(n-1) < 2^{N} \end{cases}$$
(3.5)

where KSs(n) and KSs(n-1) are the outputs of DSTmap at the n^{th} and $(n-1)^{th}$ iterations, respectively. Q1 is the control parameter of DSTmap, and N is the finite precision equal to 32 bits. KSs(n), KSs(n-1), and Q1 range between 1 to $2^N - 1$.

The secret key K, used for the first message block M_1 , is composed of the necessary parameters and initial conditions of the simplified version of the Chaotic Generator patent [115] and it is given by the following equation:

$$K = \{KSs1(0), Ks1, KSs1(-1), Us, Q1\}$$
(3.6)

where KSs1(0) and KSs1(-1) are the initial values, Us is an additional initial value used only to generate the first sample, Ks1 is the coefficient, and Q1 is the control parameter of the Chaotic System. The components of K are samples of 32 bits length and its size is given as follows:

$$|K| = |KSs(0)| + |Ks| + |KSs(-1)| + |U_s| + |Q1| = 160 \text{ bits}$$
 (3.7)

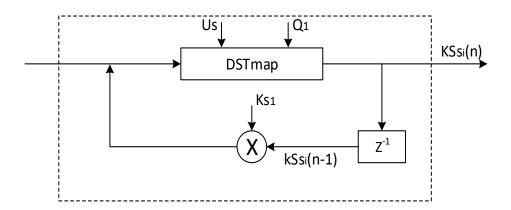


FIGURE 3.3 – The structure of the Chaotic System

3.2.4 Keyed hash functions based on two-layer CNN structure (Structure 1)

The general architecture of the proposed keyed hash function is composed of the defined Chaotic System and two-layer CNN (Fig. 3.4) [33]. Each layer is composed of 8 neurons, where each one uses a chaotic activation function (Figures 3.5 and 3.7). The chaotic activation function consists of two xored chaotic maps: a Discrete Skew Tent map (DSTmap) and a Discrete Piecewise Linear Chaotic map (DPWLCmap) [115, 116, 117]. Each map is iterated T times (by experiment, we choose the transient phase tr = 30 for **Structure 1** and tr = 20 for **Structure 2**), before generating the first useful sample for

maintaining the randomness of the output. The outputs of the *DPWLCmap* are defined as follows:

$$KSp(n) = DPWLCmap(KSp(n-1), Q2)$$

$$= \begin{cases} 2^{N} \times \frac{KSp(n-1)}{Q2} & \text{if } 0 < KSp(n-1) \leq Q2 \\ 2^{N} \times \frac{KSp(n-1) - Q2}{2^{N-1} - Q2} & \text{if } Q2 < KSp(n-1) \leq 2^{N-1} \\ 2^{N} \times \frac{2^{N} - KSp(n-1) - Q2}{2^{N-1} - Q2} & \text{if } 2^{N-1} < KSp(n-1) \leq 2^{N} - Q2 \\ 2^{N} \times \frac{2^{N} - KSp(n-1)}{Q2} & \text{if } 2^{N} - Q2 < KSp(n-1) \leq 2^{N} - Q2 \\ 2^{N} \times \frac{2^{N} - KSp(n-1)}{Q2} & \text{if } 2^{N} - Q2 < KSp(n-1) \leq 2^{N} - 1 \\ 2^{N} - 1 - Q2 & \text{otherwise} \end{cases}$$

$$(3.8)$$

where KSp(n) and KSp(n-1) are the outputs of DPWLCmap at the n^{th} and $(n-1)^{th}$ iterations, respectively. Q2 is the control parameter. N is the finite precision and is equal to 32 bits. KSp(n), KSp(n-1), and Q2 range between 1 to 2^{N-1} .

It should be noted that in the proposed structures, the padded message M is divided into q blocks, where M_i , $(1 \le i \le q)$ is the i^{th} input block of the message M, KM_i , $(0 \le i \le q - 1)$ is the i^{th} key, and HM_i , $(1 \le i \le q)$ is the i^{th} hash value of block M_i , $(1 \le i \le q)$. For the first block M_1 , $K = KM_0$ is the secret key [116]. For the final block M_q , h is the final hash value of the entire message M (Fig. 3.6).

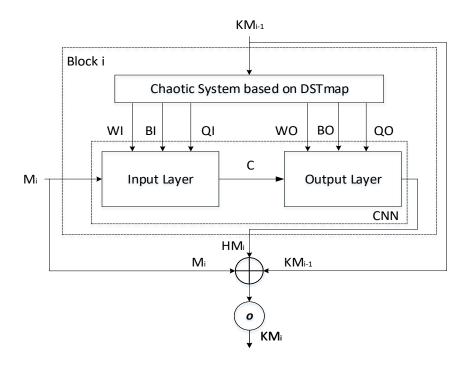


FIGURE 3.4 – The structure of the i^{th} block in the proposed keyed hash function based on two-layer *CNN* with *MP* output scheme

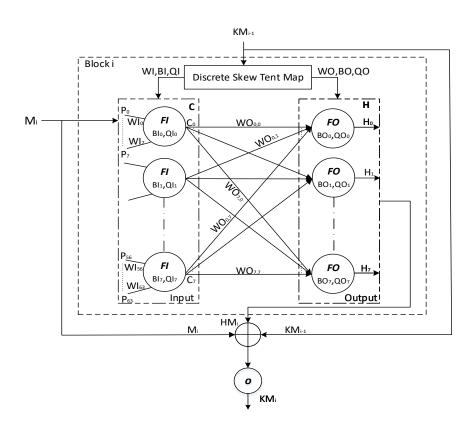


FIGURE 3.5 – A detailed structure of the i^{th} block in the proposed keyed hash function based on two-layer *CNN* with *MP* output scheme

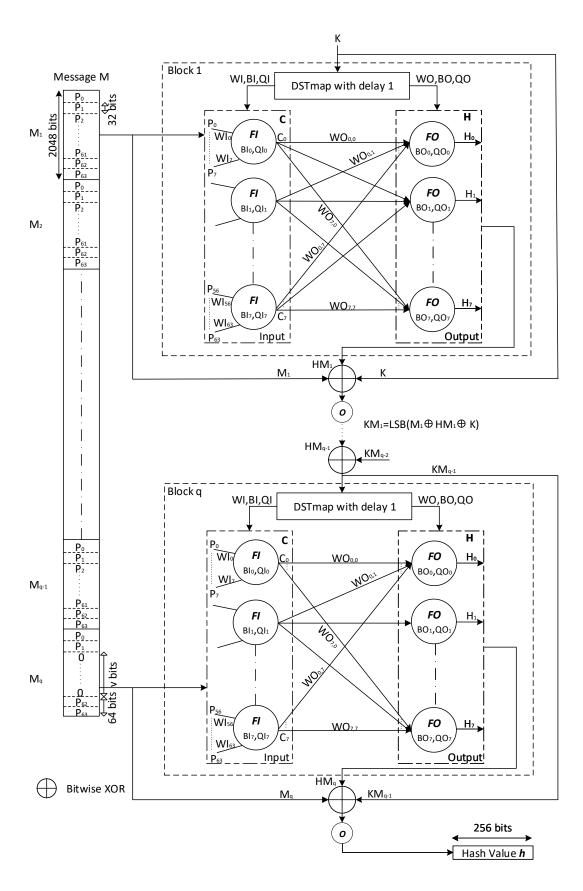


FIGURE 3.6 – The proposed keyed hash function based on two-layer CNN with MP output scheme

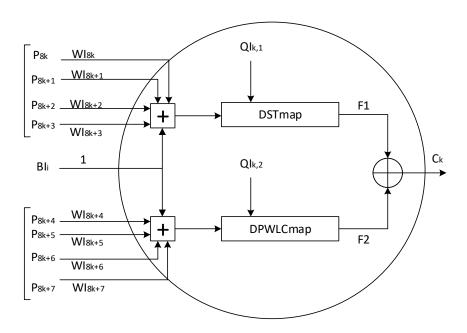


FIGURE 3.7 – A detailed structure of the k^{th} neuron in input layer of the two proposed hash functions

Detailed description of the two-layer CNN hash function:

The detailed structure of the i^{th} block in the proposed two-layer *CNN* hash function using *Miyaguchi-Preneel* output scheme, as an example, is given in Fig. 3.5. Each of the input and output layers has 8 neurons. For each block M_i at the input layer, each neuron has 8 input-data : P_j , (j = 0, ..., 7) for neuron 0, P_j , (j = 8, ..., 15) for neuron 1 and so on until reaching P_j , (j = 56, ..., 63) for neuron 7. Each P_j , (j = 0, ..., 63) is weighted by WI_j , (j = 0, ..., 63), where both are the samples (integer values) of 32 bits length. The Chaotic System generates the necessary samples (Key Stream (KS)) to supply the *CNN* of each block i, which is as follows:

$$KS = \{WI, BI, QI, WO, BO, QO\}$$

$$(3.9)$$

and its size is written as:

$$|KS| = |WI| + |BI| + |QI| + |WO| + |BO| + |QO| = 176$$
 samples (3.10)

where |WI| = 64 samples, |BI| = 8 samples, |QI| = 16 samples, |WO| = 64 samples, |BO| = 8 samples, and |QO| = 16 samples, each of the 32 bits length.

The chaotic activation function of each neuron k(k = 0,...,7) for the input layer is now explained as an example, (the activation function for the output layer has similar description). As we can see in Fig. 3.7, the first four inputs P_j , (j = 8k,...,8k + 3) are weighted by the WI_j , (j = 8k,...,8k + 3) and then

added together with the bias BI_k (weighted by 1) to form the input of DSTmap. The second four inputs P_j , (j = 8k + 4, ..., 8k + 7) are weighted by WI_j , (j = 8k + 4, ..., 8k + 7) and then added together with the same bias BI_k to form the input of DPWLCmap. $QI_{k,1}$ and $QI_{k,2}$ are the control parameters of DSTmap and DPWLCmap, respectively. The biases BI_k are necessary in case the input message is constant.

The outputs of the chaotic activation function are denoted C_k for the input layer, which is given by equation 3.11, and H_k for the output layer, which is given by equation 3.12.

$$C_{k} = mod\{[F1 + F2], 2^{N}\} \text{ where}$$

$$\begin{cases} F1 = DSTmap\{mod([\sum_{j=8k}^{8k+3} (WI_{j} \times P_{j})] + BI_{k}, 2^{N}), QI_{k,1}\} \\ F2 = DPWLCmap\{mod([\sum_{j=8k+4}^{8k+7} (WI_{j} \times P_{j})] + BI_{k}, 2^{N}), QI_{k,2}\} \end{cases}$$
(3.11)

$$H_{k} = mod\{[G1 + G2, 2^{-}]\} \text{ where}$$

$$\begin{cases} G1 = DST map\{mod([\sum_{j=0}^{3} (WO_{k,j} \times C_{j})] + BO_{k}, 2^{N}), QO_{k,1}\} \\ G2 = DPWLC map\{mod([\sum_{j=4}^{7} (WO_{k,j} \times C_{j})] + BO_{k}, 2^{N}), QO_{k,2}\} \end{cases}$$
(3.12)

where k = 0, 1, ..., 7.

The outputs C_k of the input layer, weighted by $WO_{k,k}$, (k = 0,...,7), and the output biases BO_k , (k = 0,...,7), weighted by 1, are the inputs of the activation function of the output layer. Both $WO_{k,k}$ and BO_k are samples of 32 bits length. For each neuron, DSTmap and DPWLCmap are iterated once. The output HM_i , (i = 1,...,q) of each block is the concatenation vector of H_k , (k = 0,...,7) (Fig. 3.6). Then, the final hash value of length 256 bits is given by the following equation:

$$h = O[KM_{q-1} \oplus HM_q \oplus M_q] = O[(KM_{q-2} \oplus HM_{q-1} \oplus M_{q-1}) \oplus HM_q \oplus M_q]$$

$$= \dots = O[(K \oplus HM_1 \oplus M_1) \oplus HM_2 \oplus M_2 \oplus \dots \oplus HM_q \oplus M_q]$$
(3.13)

where O is the Least Significant Bit (LSB) output function.

3.2.5 Keyed hash functions based on one-layer *CNN* with Non-Linear output layer (Structure 2)

Thus, to efficiently increase the hash throughput while keeping the necessary security requirements, we replace the output layer neural network of Fig. 3.5 by a combination of non-linear functions used in the standard SHA-2. However in our implementation, the round constant K_i , (i=0,...,63) and the message schedule array W_i , (i=0,...,63) are not useful (Fig. 3.8). As we can see in the figure 3.8, the non-linear functions take 8 32-bit inputs D_k , (k=0,...,7) and generates 8 32-bit outputs H_k , (k=0,...,7). The four boxes (Ch, Maj, $\Sigma 0$, and $\Sigma 1$) combine the input data in non-linear ways to generate H_0 and

 H_4 , while the other outputs $H_k(k=1,2,3,5,6,7)$ are connected directly to D_k , which is as follows: $H_k = D_{k-1}$ (k=1,2,3,5,6,7). These non-linear functions are defined as follow [3]:

$$\begin{cases} Ch(D_{4}, D_{5}, D_{6}) = (D_{4} \wedge D_{5}) \oplus (\neg D_{4} \wedge D_{6}) \\ Maj(D_{0}, D_{1}, D_{2}) = (D_{0} \wedge D_{1}) \oplus (D_{0} \wedge D_{2}) \oplus (D_{1} \wedge D_{2}) \\ \Sigma 0(D_{0}) = ROTR^{2}(D_{0}) \oplus ROTR^{13}(D_{0}) \oplus ROTR^{22}(D_{0}) \\ \Sigma 1(D_{4}) = ROTR^{6}(D_{4}) \oplus ROTR^{11}(D_{4}) \oplus ROTR^{25}(D_{4}) \\ ROTR^{n}(x) = (x \gg n) \vee (x \ll (32 - n)) \end{cases}$$
(3.14)

where \land : AND logic, \neg : NOT logic, \oplus : XOR logic, \lor : OR logic, \gg : Binary Shift Right operation, and \ll : Binary Shift Left operation.

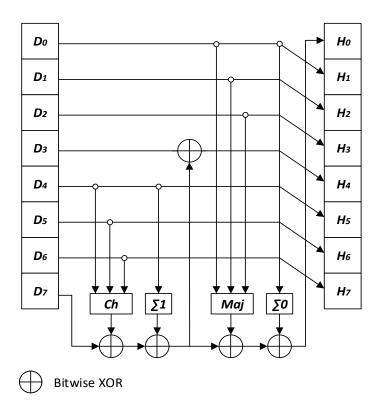


FIGURE 3.8 – Non-linear functions

Detailed description of One-Layer CNN followed by NL functions :

The structure of the proposed CNN is given in Fig. 3.9. To supply the CNN, the Chaotic System generates

the necessary samples (Key Stream (KS)) of each block i, which are as follows:

$$KS = \{WI, BI, QI, WO\} \tag{3.15}$$

and its size is given as follows:

$$|KS| = |WI| + |BI| + |QI| + |WO| = 96 \text{ samples}$$
 (3.16)

where |WI| = 64 samples, |BI| = 8 samples, |QI| = 16 samples, and |WO| = 8 samples, each of 32 bits length. The outputs C_k , (k = 0, ..., 7) of the chaotic activation function given by equation 3.11 are weighted by $WO_{k,k}$, (k = 0, ..., 7) to form the inputs of the NL layer. The outputs H_k , (k = 0, ..., 7) are given by equation 3.17.

$$\begin{cases} H_{0} = Ch(D_{4}, D_{5}, D_{6}) \oplus D_{7} \oplus \Sigma 1(D_{4}) \oplus Maj(D_{0}, D_{1}, D_{2}) \oplus \Sigma 0(D_{0}) \\ H_{1} = D_{0}, H_{2} = D_{1}, H_{3} = D_{2} \\ H_{4} = Ch(D_{4}, D_{5}, D_{6}) \oplus D_{7} \oplus \Sigma 1(D_{4}) \oplus D_{3} \\ H_{5} = D_{4}, H_{6} = D_{5}, H_{7} = D_{6} \end{cases}$$

$$(3.17)$$

We iterate the non-linear functions until the necessary security requirements are met. From experimental results (given in performance analysis paragraph), the number of rounds n_r equals to 8, which is sufficient. The final hash value h of length 256 bits is given in equation 3.13.

3.3 Performance analysis

To evaluate the performance, in terms of cryptanalysis and hash throughput, of the two proposed structures for each suggested output schemes, we perform the following experiments and analysis. Then, we compare their performance with most chaos-based hash functions in the literature and *SHA-2*. First, the one-way property (preimage resistance) is showed and then the statistical tests, the brute force, and cryptanalytical attacks of the proposed hash functions are analyzed (Fig. 3.10).

3.3.1 One-way property

In the two proposed structures, we will show that it is extremely difficult to compute the message M and the secret key K when only the hash value h is known. For the first structure, the hash H is written in a general form, which is as follows (equations 3.11 and 3.12):

$$H = G[(WO \times C + BO), QO] = G[(WO \times F((WI \times P + BI), QI), QO)]$$
(3.18)

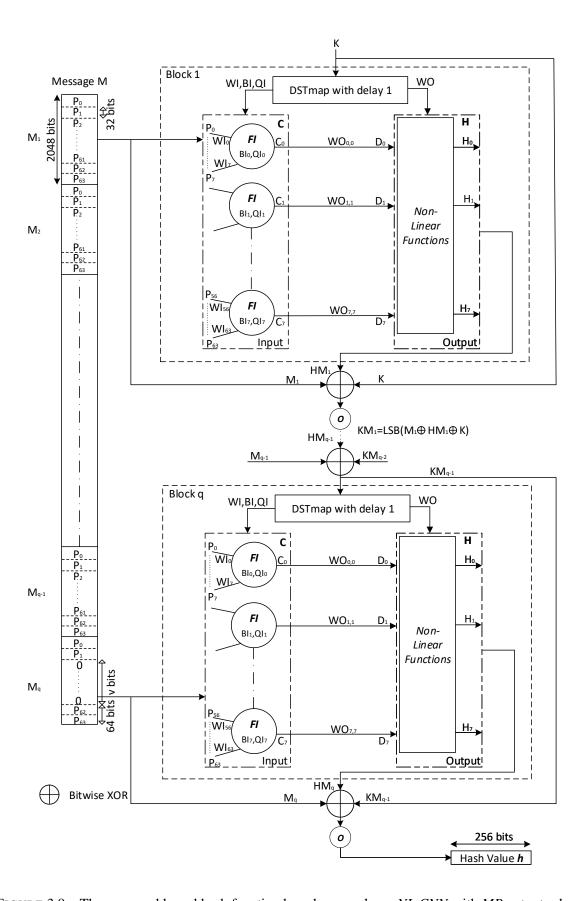


FIGURE 3.9 – The proposed keyed hash function based on one-layer NL CNN with MP output scheme

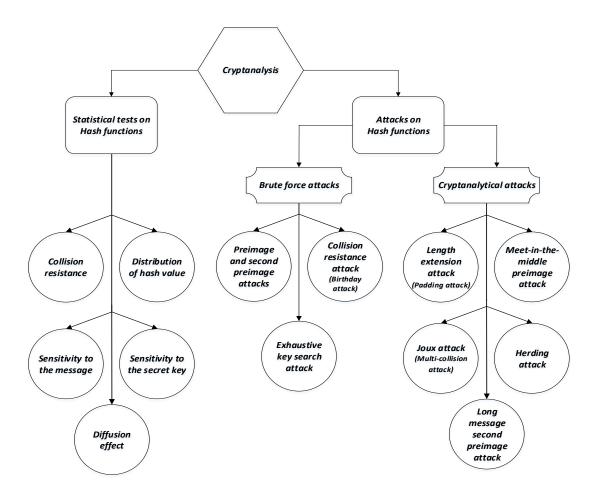


FIGURE 3.10 – Cryptanalysis: Statistical tests and attacks on hash functions

For the second structure, the hash H can be written as follows:

$$H = NL^{r}(WO \times C) = NL^{r}[WO \times F((WI \times P + BI), QI)]$$
(3.19)

A brute force attack, as defined in sub-section 3.3.3.1, tries for a given secret key K to find a message M, of which its hash is equal to a given hash value. The attacker needs to try, on average, 2^{u-1} values of M, to find the desired hash value h. As u is the length of the hash value equal to 256 bits in the two proposed structures, then according to today's computing ability, this attack is infeasible [13, 14, 15, 16].

3.3.2 Statistical tests

This paragraph lists down the analysis of the following tests: Collision resistance, Distribution of hash value, Sensitivity of hash value h to the message M, Sensitivity of hash value h to the secret key K, and Diffusion effect.

3.3.2.1 Analysis of collision resistance

This test is usually conducted to evaluate the quantitative analysis of collision resistance [10, 13]. First, the hash value h of a random message is generated and stored in the ASCII format. Next, a bit in the message is randomly selected, toggled, and then a new hash value h' is generated and stored in the ASCII format. The two hash values are represented by : $h = \{c_1, c_2, ..., c_s\}$ and $h' = \{c'_1, c'_2, ..., c'_s\}$, where c_i and c'_i are the i^{th} ASCII character of the two hash values h and h', respectively. The size s of the hash value in the ASCII code is equal to $s = \frac{u}{k=8} = 32$ characters. The two hash values are compared with each other and the number of characters with the same value at the same location, namely the number of hits ω , is counted according to the following:

$$\omega = \sum_{i=1}^{s=32} f(T(c_i), T(c_i'))$$

$$where f(x, y) = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{if } x \neq y \end{cases}$$
(3.20)

where the function T(.) converts the entries to their equivalent decimal values.

For J independent experiments and under the assumption of uniform and random distribution of hash value, the theoretical number of tests denoted by $W_J(\omega)$ with a number of hits $\omega = 0, 1, 2, ..., s$, is given by [17]:

$$W_{J}(\omega) = J \times Prob\{\omega\} = J \frac{s!}{\omega!(s-\omega)!} (\frac{1}{2^{k}})^{\omega} (1 - \frac{1}{2^{k}})^{s-\omega}$$
(3.21)

Thus, to find the optimal number of round n_r for **Structure 2**, we calculate, using the equation 3.20, the number of hits ω according to n_r ($n_r = 1, 2, 4, 8, 16, 24$) in the worst case, where the number of tests J = 2048 tests.

As we can see from the results obtained in Table 3.1, with MMO output scheme, as an example, for n_r = 8 rounds, there are zero hits for 1825 tests, one hit for 207 tests, two hits for 15 tests, and three hits for 1 test. For n_r = 24 rounds, there are zero hits for 1817 tests, one hit for 225 tests, and two hits for 6 tests. Similar results are obtained for other output schemes as well. The number of rounds n_r equals 8, whereas 24 seems to be adequate for the three output schemes. We choose n_r = 24, for more robustness and the number n_r = 8 is a compromise between robustness and hash throughput.

Table 3.2 represents the number of obtained hits ω , for the proposed structures for the three output schemes, with J = 2048 tests and for $n_r = 8$, 24 rounds for **Structure 2**. We remark that, for $n_r = 8$ rounds, the obtained results with **Structure 2** are similar to the results obtained with **Structure 1**, irrespective of the considered output scheme. For $n_r = 24$ rounds, the obtained results with **Structure 2**, as are slightly bit better than that of **Structure 1**.

Thus, to evaluate the influence of the test number J (J = 512, 1024, and 2048 tests) on the number of hits, we calculate ω for the proposed structures with MP output scheme, and for $n_r = 8$, 24 rounds for the second structure. The obtained results presented in Table 3.3 for **Structures 1** and **2** with $n_r = 8$ rounds

are similar, while with $n_r = 24$ rounds of **Structure 2**, the number of hits is smaller than that of the other cases. We remark that the number of hits increases with the number of tests J. These results are in sync with the theoretical values of $W_J(\omega)$ calculated from equation 3.21 and are represented in Table 3.4. The collision resistance is also quantified by the absolute difference d of two hash values given by equation 3.22. We evaluated and presented the mean, mean/character, minimum, and maximum of d for the two proposed hash functions in Tables 3.5 and 3.6.

$$d = \sum_{i=1}^{s=32} |T(c_i) - T(c_i')|$$
(3.22)

From the results given in Table 3.5 for J = 2048 tests, we observe that the mean/character value with the *MMO* output scheme for **Structure 1** (mean/character = 85.04) and **Structure 2** - n_r = 24 rounds (mean/character = 85.81) are close to the expected value 85. $\bar{3}$ given in equation 3.23. The results presented in Table 3.6 with J (J = 512, 1024, and 2048 tests) show that, when J is increasing, the mean/character converge to the expected value *E*. For two hash, i.e., $h = \{c_1, c_2, ..., c_s\}$ and $h' = \{c'_1, c'_2, ..., c'_s\}$, with independent and uniformly distributed ASCII character having equal probabilities, the expected value of the mean/character is calculated by [118]:

$$E[T(c_i) - T(c_i')] = \frac{1}{3} \times L = 85.\overline{3}$$
(3.23)

where $T(c_i)$ and $T(c_i') \in \{0, 1, 2, ..., 255\}$ and L = 256 (L is the number of levels).

3.3.2.2 Distribution of hash value

A hash function H should produce uniform distribution of hash value h. To verify this property, we perform the following test: for a given message M, "With the wide application of Internet and computer technique, information security becomes more and more important. As we know, hash function is one of the cores of cryptography and plays an important role in information security. Hash function takes a message as input and produces an output referred to as a hash value. A hash value serves as a compact representative image (sometimes called digital fingerprint) of input string and can be used for data integrity in conjunction with digital signature schemes.", we calculate its hash value h, for the proposed Structure 1 with MP output scheme, before drawing two-dimensional graphs. The first graph shows the ASCII values of the message according to their index positions (Fig. 3.11a). The second graph exhibits the hexadecimal values of the hash value h according to their index positions (Fig. 3.11b). As we can see, the distribution of original message is mostly localized around a small area, while the distribution of hexadecimal values spreads around the entire area. This property of hash value h must be true under the worst case of constant input message such as "00...0" (Figures 3.11c and 3.11d). Similar results are obtained for the two proposed hash functions with their different output

					Nu	mb	er of	hits (υ			
		0	1	2	3	4	16	17	24	25	26	28
	number of rounds											
	n_r											
Output schemes												
MMO	1	1778	240	24	1	0	0	0	5	0	0	0
	2	1784	248	11	0	0	5	0	0	0	0	0
	4	1790	243	14	1	0	0	0	0	0	0	0
	8	1825	207	15	1	0	0	0	0	0	0	0
	16	1811	222	14	1	0	0	0	0	0	0	0
	24	1817	225	6	0	0	0	0	0	0	0	0
MMMO	1	1757	232	11	0	0	0	0	45	1	2	0
	2	1725	259	15	1	0	45	3	0	0	0	0
	4	1828	206	14	0	0	0	0	0	0	0	0
	8	1800	237	10	1	0	0	0	0	0	0	0
	16	1801	233	14	0	0	0	0	0	0	0	0
	24	1810	230	7	1	0	0	0	0	0	0	0
MP	1	1744	238	17	1	0	0	0	46	0	1	1
	2	1773	215	11	1	0	45	3	0	0	0	0
	4	1783	251	13	1	0	0	0	0	0	0	0
	8	1817	215	16	0	0	0	0	0	0	0	0
	16	1813	218	16	1	0	0	0	0	0	0	0
	24	1815	226	7	0	0	0	0	0	0	0	0

TABLE 3.1 – Number of hits ω according to the number of rounds n_r of **Structure 2** for 2048 tests

schemes.

3.3.2.3 Sensitivity of hash value h to the message M

An efficient hash function H should be extremely sensitive to any input message M, which means that any slight change in the input message should produce a completely different hash value h_i . To verify this property, we calculate, for a given secret key K, the hash value h_i in hexadecimal format, the number of bits changed $B_i(h,h_i)$ (bits), and the sensitivity of the hash value h to the original message M measured by Hamming Distance $HD_i(h,h_i)$ (%) is given as follows:

$$B_i(h, h_i) = \sum_{k=1}^{|h|} [h(k) \oplus h_i(k)] \ bits$$
 (3.24)

$$HD_i(h, h_i)_{\%} = \frac{B_i(h, h_i)}{|h|} \times 100\%$$
 (3.25)

The message variants are obtained under the following conditions:

Condition 1: The original message M is the one given in Section 3.3.2.2.

	Output schemes	Number of hits ω			
		0	1	2	3
Structure 1	MMO	1833	200	15	0
	MMMO	1799	237	12	0
	MP	1803	232	13	0
Structure 2	MMO	1825	207	15	1
$n_r = 8$	MMMO	1800	237	10	1
	MP	1817	215	16	0
Structure 2	MMO	1817	225	6	0
$n_r = 24$	MMMO	1810	230	7	1
	MP	1815	226	7	0

TABLE 3.2 – Number of hits ω regarding the proposed structures with the three output schemes for 2048 tests

	Number of tests	Number of hits a			ω
		0	1	2	3
Structure 1	512	444	64	4	0
	1024	905	111	8	0
	2048	1803	232	13	0
Structure 2	512	446	62	4	0
$n_r = 8$	1024	899	117	8	0
	2048	1817	215	16	0
Structure 2	512	452	58	2	0
$n_r = 24$	1024	905	116	3	0
	2048	1815	226	7	0

TABLE 3.3 – Number of hits ω of the proposed structures with MP output scheme for J = 512, 1024, and 2048 tests

				ω		
		0	1	2	3	32
J	512	451.72	56.68	3.44	0.13	4.42×10^{-75}
	1024	903.45	113.37	6.89	0.27	8.84×10^{-75}
	2048	1806.91	226.74	13.78	0.54	1.76×10^{-74}

TABLE 3.4 – Theoretical values of the number of hits ω according to the number of tests J

	Output schemes	Mean	Mean/character	Minimum	Maximum
Structure 1	MMO	2721.43	85.04	1736	3723
	MMMO	2764.05	86.37	1829	3757
	MP	2633.17	82.28	1471	3779
Structure 2	MMO	2616.94	81.77	1559	3574
$n_r = 8$	MMMO	2854.76	89.21	1845	4195
	MP	2861.93	89.43	1707	3951
Structure 2	MMO	2746.07	85.81	1696	3807
$n_r = 24$	MMMO	2856.03	89.25	1545	3981
	MP	2615.44	81.73	1540	3671

TABLE 3.5 – Mean, Mean/character, Minimum, and Maximum of the absolute difference d for the proposed structures with the three output schemes and J = 2048 tests

	Number of tests	Mean	Mean/character	Minimum	Maximum
Structure 1	512	2637.00	82.40	1471	3779
	1024	2637.99	82.43	1471	3779
	2048	2633.17	82.28	1471	3779
Structure 2	512	2872.23	89.75	1828	3872
$n_r = 8$	1024	2868.04	89.62	1707	3951
	2048	2861.93	89.43	1707	3951
Structure 2	512	2603.32	81.35	1764	3671
$n_r = 24$	1024	2620.85	81.90	1626	3671
	2048	2615.44	81.73	1540	3671

TABLE 3.6 – Mean, Mean/character, Minimum, and Maximum of the absolute difference d for the proposed structures with MP output scheme and J = 512, 1024, and 2048 tests

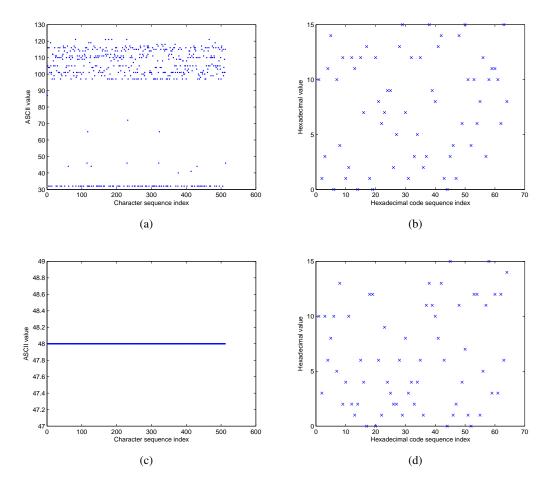


FIGURE 3.11 – Distribution of hash value for **Structure 1** with MP output scheme

Condition 2: We change the first character W in the original message to X.

Condition 3: We change the word **With** in the original message to **Without**.

Condition 4: We change the **dot** at the end of the original message to **comma**.

Condition 5: We add a **blank space** at the end of the original message.

Condition 6: We exchange the first message block M_1 , "With the wide application of Internet and computer technique, information security becomes more and more important. As we know, hash function is one of the cores of cryptography and plays an important role in information security. Hash function takes a mes", with the second message block M_2 , "sage as input and produces an output referred to as a hash value. A hash value serves as a compact representative image (sometimes called digital fingerprint) of input string and can be used for data integrity in conjunction with digital signature schemes".

In Tables 3.7, 3.8, and 3.9, we present the obtained results of h_i , B_i , and $HD_i(\%)$ under each condition for the two proposed hash functions with their output schemes, i.e., MMO, MMMO, and MP.

	Message variants	Hexadecimal hash values	B_i	$HD_i\%$
Structure 1	1	bedf7967520105d114e2cdf3399f52394a53e276bb104307345bacf93e317ef6	-	-
	2	48def8102016f2e3a5f8e7d8dc782b5b4e3e930cc207f925176ab87f380ad03d	125	48.82
	3	d486760a20882b71746704d35ffdcd0f07c5ffe23cad86bd8117737205dd163c	127	49.60
	4	b8bdc0f41686695f582a4d2e5b37f9b98813ab9c1cc42ba64024ee1769b422e7	113	44.14
	5	e82980358f548044d0328f613a640fe23d1cb8465325dc223a7881ae65ef360d	136	53.12
	6	76e33a9b2f6599542c557bdac7bee94f25dddbc615b222653201fd484ae8ce1c	130	50.78
	Average	-	126.2	49.29
Structure 2	1	1d6238873699dd1c252e02c88e1d2a380d9b5ea8e6c09c788fa4d3955b959975	-	-
$n_r = 8$	2	6ea75e2045e994639a7d547ece06a6a399397a6cc501f52ffe4d4727030bedb2	128	50
	3	72dcdf42b4c6d47352b75a7f2a7bbb3d9144c519e99e10cdd1a04237433730bf	131	51.17
	4	308f5d0ce0c0a7b140cc7c179ae4697fba8ea270433c50b015095877c2047267	141	55.07
	5	ebeac17eb7b2d842ef21971f6c9da59771f7a0e0612ecd96e37a97691eb0c1cd	135	52.73
	6	1e56b053ebe94fc4eb36f8ed74981da9c01a861cdbe93b3c176ecfab8102a336	122	47.65
	Average	-	131.4	51.32
Structure 2	1	af5e7ca7c83a72c77f0e9b7d47df11b0f66cadc862d6f522d592dc5ad9bae938	-	-
$n_r = 24$	2	46f051a065a716de24405e782adaccb29b3a85b0b75b34a9ba0757644bcdcc33	127	49.60
	3	4f9c3863d40a2a1094d8d7483acc0724cbd9f2b68648db7fe8c0609327c8f318	130	50.78
	4	2b4ff84285427b479d6948d20dd00eb389956dd325894d6036e510b99b20055d	126	49.21
	5	15e6695fca52780d8694f83b0bba7b5fb43bc29329e78018287bd87776cdf459	132	51.56
	6	d5a2f663581034f865ba7a2bc93d29232b0f57f99f8d33a8ef50e1070c84ae88	133	51.95
	Average	-	129.6	50.62

TABLE 3.7 – Sensitivity of hash value to the message for the proposed structures with MMO output scheme

In Table 3.10, we reassessed the obtained results and even for a single test, the results were inside the normal range. Therefore, the proposed hash functions have high message sensitivity. These results were in sync with precision in the diffusion test, which was realized over a large number of tests.

3.3.2.4 Sensitivity of hash value h to the secret key K

Thus, to evaluate the sensitivity of hash value h to the secret key K, hash simulation experiments were conducted under five different conditions (the original input message M is fixed), which are as follows: Condition 1: The original secret key K is used.

In each of these conditions, we flip the LSB in the afore-mentioned initial conditions and parameters.

Condition 2: We change the initial condition KSs(0) in the secret key.

Condition 3: We change the parameter Ks in the secret key.

Condition 4: We change the initial condition KSs(-1) in the secret key.

Condition 5: We change the control parameter QI in the secret key.

In Tables 3.11, 3.12, and 3.13, we present the obtained results of h_i , B_i , and $HD_i(\%)$ under each condition for the two proposed structures with their output schemes, i.e., MMO, MMMO, and MP.

In Table 3.14, we reassessed the obtained results and even for a single test, the results are inside the normal range. Therefore, the proposed hash functions have high key sensitivity.

	Message variants	Hexadecimal hash values	B_i	$HD_i\%$
Structure 1	1	719adf0e0cdf5b149edc54efdbc09bb6df5a0ce3d3ac9bccc39ac5a64ea65531	-	-
	2	a9472c054759a85c0c172e27bc1b957f09488c40329424c48aac1d1141dd8297	132	51.56
	3	1bee2969559824929f8d53fda2c541288a4a04491a0a11670b3b907fa0d5dd91	119	46.48
	4	27c29f1e040d922b31559e0e3f4e36edc9bdad55cf058d7f0eaa7a9f9eda6d98	124	48.43
	5	65489772dff489621f3188237c1ff84c8bf686d7a4f5c6ff1e114b740c72c922	133	51.95
	6	64755b1267f7243f2dbf243d698db2dd40ff63df7375f645886d064b2d05fdb2	135	52.73
	Average	-	128.6	50.23
Structure 2	1	a594a994aa162adca654e889dea0e6344190aa02328302465570df8f0084f5e6	-	-
$n_r = 8$	2	9d698ca7855b104a526a075a36cbf158da31c872257db0d8d589502f60a8115f	135	52.73
	3	fe77f2939687110cc6f383ed0ac2990e89b513ed1425c2a2ded04ce8ab26331e	129	50.39
	4	d906ae7eaf90974ce664e8adb535e71b798873bfdc77827e3715715bb6b5cbb5	113	44.14
	5	f1ea83b16b7fecd5d523573d35f52a424e35a8dc38af6e013f9d2020f0825c35	136	53.12
	6	29e7a1e00480ff09b86d357982d28ab641758c071cee1a2095452cb583740194	121	47.26
	Average	-	126.8	49.53
Structure 2	1	6abbd825d6b17184a5fc558670f9f78d91b3812c899c8a062ef855507b4a81e5	-	-
$n_r = 24$	2	c7c8654da6fd4fb838f8f9bea4baa223b8298a1c1e0cda2181a23e612cbb8446	122	47.65
	3	0fe4ee2f96a9092f539a4fd229466b381a794db148da178e635022d9a690eabf	130	50.78
	4	9b01f686addb2e2f6dbd7046b985b4ae1b5b39a7da3aec544ecb6c8efd310a00	128	50.00
	5	9901ff0d69138df2f70a5930ede63447875c859830bc87e4164a83b083a6a193	131	51.17
	6	c5035924044140a2009837907fba710d05efbcbe12ff9c1d14d9090961bd054e	113	44.14
	Average	-	124.8	48.75

TABLE 3.8 – Sensitivity of hash value to the message for the proposed structures with MMMO output scheme

	Message variants	Hexadecimal hash values	B_i	$HD_i\%$
Structure 1	1	a005e50f9673ecee6e80c07c550e53f8a950cb4a91176a2a340b5822ec2f28c4	-	-
	2	d4ecfadcc796f46d63762eb8f0c7af6233ded0d61ea901541db1f8890f999755	141	55.07
	3	3f8b28e72a453ad31e798a60ec46b64ab4eb3e95674b28d535a5d2feb8a7cdd8	139	54.29
	4	b40f8be0ee3c28fc7c76578d6e8b49f56ea25aa0c2944475691746a7c2f23387	129	50.39
	5	c0f0b6c0fee17303c94ab30ad6d7b1ecd50d9606e4fab176e726b20a3c229b5d	139	54.29
	6	551eb7f04ec0ae2f0ceec2bb451a2b67682305697a0ffef418e221bdaad4a09c	129	50.39
	Average	-	135.4	52.89
structure 8	1	31882869cce69d7734f0078d29f297841b99d3f9786a1cf522688de9561826ee	-	-
$n_r = 8$	2	d8da2ae1aacca231e26931237f8ba1388aef0faf2372dde8876d329564bb4f39	129	50.39
	3	0b43925c8865869e7dde5c67cfd976f839bd8f5c8fda2814c2c61ce4c926b380	130	50.78
	4	d9e813e6f36a7a960664ab422b1eb1892be71f43a28229399bdcf51a5ab0df8d	131	51.17
	5	d0f1dcbf0670f8a3ef2771d0f0d8404c6068ab43b303d1aa9e335d9a757ddb6b	149	58.20
	6	1441805beb1753d9c81bd16d9059f3f2e57752732c1f2e539ec606555f2d9042	137	53.51
	Average	-	135.2	52.81
Structure 2	1	a86e4c2ff1450a08a173b2d9ef27d941fcb9a06f76ad1e70108192ce3cd02a16	-	-
$n_r = 24$	2	22e2025f1d0bdb5b20098e8f2d81a63b27e722c9e2eb521e87e00943f7af1dbe	132	51.56
	3	366d73069aa3e7238773a6ba39bbfc29203f28ffd05f8fec06060ececc54fc2e	113	44.14
	4	cd1fcb9c2c9a1caab20b4c8bf1ff18493533b42004d9f7741f957ab1850831db	128	50.00
	5	a0ef7aa8c7200a711f30101de786e2450f7a7f1e884a44831aba30c77f46b478	122	47.65
	6	bbf12b6acb919c42edb035fe0945b414bf0809b666bbb536976139bee4ea9bdd	124	48.43
	Average	-	123.8	48.35

TABLE 3.9 – Sensitivity of hash value to the message for the proposed structures with MP output scheme

	Output scheme	B_i	$HD_i\%$
Structure 1	MMO	126.2	49.29
	MMMO	128.6	50.23
	MP	135.4	52.89
Structure 2	MMO	131.4	51.32
$n_r = 8$	MMMO	126.8	49.53
	MP	135.2	52.81
Structure 2	MMO	129.6	50.62
$n_r = 24$	MMMO	124.8	48.75
	MP	123.8	48.35

TABLE 3.10 – A comparison of average B_i and $HD_i(\%)$ for message sensitivity

	Message variants	Hexadecimal hash values	B_i	$HD_i\%$
Structure 1	1	bedf7967520105d114e2cdf3399f52394a53e276bb104307345bacf93e317ef6	-	-
	2	60f63ae88faea074964bc5e71022d77003f61ed4dddd8b027c7826e8f31725ff	116	45.31
	3	3e7a24001b11a0a5376d55d073e5910e1bb3b98e4736793ca8bcdf4b5da27b41	127	49.60
	4	fd8fe49f2c5013871f1e291d6c74ceefeb9c4eead9a236d6b923bb04da3c7f4b	135	52.73
	5	054c289004f47fde2fd041e5e830cd4a74d9b586ba2b79835fb5ee13c7289717	139	54.29
	Average	-	129.25	50.48
Structure 2	1	1d6238873699dd1c252e02c88e1d2a380d9b5ea8e6c09c788fa4d3955b959975	-	-
$n_r = 8$	2	aab2bfb971b64b4349a5045d277421df6ee299dc209b0bf0ce9bfccff8bbbe8b	138	53.90
	3	c5667f505bcb289ec52be2fce9a168b72ad0de3fae396b7654f34cf419309b0f	123	48.04
	4	54b21e25c1ee818897c54e84eca15d2ddbd7b505ef81ba2c099a5c852db33b51	121	47.26
	5	f6e6702867e3c3ee86a4d86a6153b1266f58847a704665417fbc66fc39d8179f	132	51.56
	Average	-	128.5	50.19
Structure 2	1	af5e7ca7c83a72c77f0e9b7d47df11b0f66cadc862d6f522d592dc5ad9bae938	-	-
$n_r = 24$	2	f922e9e31c36e932ffb098930fa2726b29a1ce91c5c62b1f16981609b9b2453b	125	48.82
	3	3566ab26fff9c3a232368b624267c3397ab1099ba744ff5f6ec97a7cbc483fa5	126	49.21
	4	3b6a773dfe06e246ab3f53c3c9a0af08123346bb8a0e58a17caf6046992e08a7	130	50.78
	5	40ed183aa3cfb41d9d6f7e304d9ab05a0007044b0db84f039f4315c046051641	146	57.03
	Average	-	131.75	51.46

TABLE 3.11 – Sensitivity of hash value to the secret key for the proposed structures with MMO output scheme

	Message variants	Hexadecimal hash values	B_i	$HD_i\%$
Structure 1	1	719adf0e0cdf5b149edc54efdbc09bb6df5a0ce3d3ac9bccc39ac5a64ea65531	-	-
	2	f2d4772a5a605c729e8ad2c3db016a20135f617b98c4366bb9b44cea418afe92	114	44.53
	3	23c5a8b268979416f80a32c7aa272c23cd293e20fe3547f8a621815276b3ebab	130	50.78
	4	75c848fa05415217403dbc2235da6d8fa7fa18b7526b376e4fbb89497303c340	120	46.87
	5	22c9b90204e4522181389ccff6ab7d24547415b87c8cbd3425c83929c3221024	118	46.09
	Average	-	120.50	47.07
Structure 2	1	a594a994aa162adca654e889dea0e6344190aa02328302465570df8f0084f5e6	-	-
$n_r = 8$	2	96ebc3ab71912e96b77b6c0db2ad2b0b300484abec4c326bbf10e7b5263ba545	127	49.60
	3	67d10bee9dedd7e06d58ee10aca74ca3336000f1984a54591d4f9e33face2a1a	138	53.90
	4	d2db99f2d01e0b5933c37fd86f8983577893b03f490abe2683e2e11870d1df69	123	48.04
	5	6 d5 b6 1 d7 4 e7 5 cd 983 b4 f0 bf 3913211 dd 991 aa 35 f378842 bb 187 d734 f708 a49 db	126	49.21
	Average	-	128.5	50.19
Structure 2	1	6abbd825d6b17184a5fc558670f9f78d91b3812c899c8a062ef855507b4a81e5	-	-
$n_r = 24$	2	8741188aadde9edba0310e69541c85936202a4c7ef4de93e9906bdd970931948	149	58.20
	3	e10308d6126ebaef0ed5982b03e0c27a521060a570aa0a2cf692e63d2d149336	137	53.51
	4	e8818d36b227e849ed6e3a121745f8d8803bf9425384745fba6a2b1b7adbe32c	119	46.48
	5	26354f0bc5a4e6385ac23c715acccf65c2d2b28785e504a4a2966f21189b8fde	132	51.56
	Average	-	134.25	52.44

TABLE 3.12 – Sensitivity of hash value to the secret key for the proposed structures with *MMMO* output scheme

	Message variants	Hexadecimal hash values	B_i	$HD_i\%$
Structure 1	1	a005e50f9673ecee6e80c07c550e53f8a950cb4a91176a2a340b5822ec2f28c4	-	-
	2	27de6d91694c777474b94f2a4ec3ed8c5b5b0da8c38fed5b4c75e2e2bf97972f	143	55.85
	3	3fa8a997b46131a1429d0006b6c03f181898632313a64f3da8143d1cadd66925	122	47.65
	4	f670f60cfc1daecb0c81988735b736c8c18851cebe5b94a6f1234f49bd4d5209	117	45.70
	5	7c68bc63287bfe02badbceb99cdde6a0ef5e9e7429d1dc3d2a9bf90b34a6402c	123	48.04
	Average	-	126.25	49.31
Structure 2	1	31882869cce69d7734f0078d29f297841b99d3f9786a1cf522688de9561826ee	-	-
$n_r = 8$	2	0b840b10ffda4c9feb4dabf4ab2f642ffe55f730386b8d295534368af526fa33	136	53.12
	3	2f65ed46a3cb9b0ebb1cf7cd52558de58e2ebc7474b01f169a6b30067e20e5a5	134	52.34
	4	cf524afe65de3a8123e43e61540a28180f0be21669a3ca4b4d62fdca34f538b5	139	54.29
	5	27d7a12c3a95c9f52148b43d60c7dbd3acd0b774c885d712bf2bb7673b77443e	131	51.17
	Average	-	135	52.73
Structure 2	1	a86e4c2ff1450a08a173b2d9ef27d941fcb9a06f76ad1e70108192ce3cd02a16	-	-
$n_r = 24$	2	37235dea611e13421ca8545078d0ec3a88654cfbc4e24bd64dd110ce2ed4ea3e	121	47.26
	3	7f60df23e3570ba37890a0b199e891835757fabc67b96e2cbbd02d0f64629cb7	120	46.87
	4	d3bd1e2064cecd5851624b61019a097a00eca137bd1cff0d50b1af161185581e	127	49.60
	5	149bb7e22e3a018254a5cfb711e192471971857c96663e6ec189762548f09ca3	139	54.29
	Average	-	126.75	49.51

TABLE 3.13 – Sensitivity of hash value to the secret key for the proposed structures with MP output scheme

	Output scheme	B_i	$HD_i\%$
Structure 1	MMO	129.25	50.48
	MMMO	120.50	47.07
	MP	126.25	49.31
Structure 2	MMO	128.5	50.19
$n_r = 8$	MMMO	128.5	50.19
	MP	135	52.73
Structure 2	ММО	131.75	51.46
$n_r = 24$	MMMO	134.25	52.44
	MP	126.75	49.51

TABLE 3.14 – A comparison of average B_i and $HD_i(\%)$ for key sensitivity

3.3.2.5 Statistical analysis of diffusion effect

Since confusion and diffusion were first proposed by Shannon [4] in 1949, they have been extensively used to evaluate the security of cryptographic primitives. In the context of hash functions, confusion is defined as the complexity of the relation between the secret key K and the hash value h for a given message M, whereas diffusion is defined as the complexity of the relationship between the message M and the hash value h for a given key K. The confusion effect is naturally obtained in hash functions and it is very strong in chaos-based hash functions, due to the inherent properties of chaos. In cryptographic hash functions, strong diffusion is required. The ideal diffusion effect is obtained when any single bit change in the message causes a change with a 50% probability for each bit of a hash value (binary format). This is often referred to the *avalanche effect* in literature [119].

To evaluate the performance of the two proposed structures with different output schemes, i.e., *MMO*, *MMMO*, and *MP*, we performed the following diffusion test:

the previous defined message M is chosen and a hash value h is generated. Next, a bit in the message is randomly selected and toggled and a new hash value is generated. Then, the number of bits changed B_i between the two hash values is calculated. This test is performed at J-time, where J = 512, 1024, and 2048 tests. The six statistical values concerning this test are calculated as follows:

1. Minimum number of bits changed:

$$B_{min} = min(\{B_i\}_{i=1,...,J})$$
 bits

2. Maximum number of bits changed:

$$B_{max} = max(\{B_i\}_{i=1,...,J})$$
 bits

3. Mean number of bits changed:

$$\bar{B} = \frac{1}{I} \sum_{i=1}^{J} B_i \ bits$$

4. Mean changed probability (mean of $HD_i(\%)$):

$$P = (\frac{\bar{B}}{256}) \times 100 \%$$

5. Standard variance of the changed bit number:

		Ou	tput schen	nes
		MMO	MMMO	MP
Structure 1	B_{min}	98	98	100
	B_{max}	158	158	154
	$ar{B}$	127.98	127.90	127.95
	P	49.99	49.96	49.98
	ΔB	8.01	8.12	8.03
	ΔP	3.13	3.17	3.13
Structure 2	B_{min}	99	98	103
$n_r = 8$	B_{max}	157	154	157
	$ar{B}$	128.31	128.18	127.97
	P	50.12	50.07	49.99
	ΔB	8.03	8.17	8.01
	ΔP	3.13	3.19	3.13
Structure 2	B_{min}	101	103	100
$n_r = 24$	B_{max}	155	156	157
	$ar{B}$	127.81	127.70	127.88
	P	49.92	49.88	49.95
	ΔB	8.23	8.06	7.94
	ΔP	3.21	3.15	3.10

TABLE 3.15 – Diffusion statistical-results for the two proposed structures

$$\Delta B = \sqrt{\frac{1}{J-1}\sum_{i=1}^{J}(B_i - \bar{B})^2}$$

6. Standard variance of the changed probability :

$$\Delta P = \sqrt{\frac{1}{J-1} \sum_{i=1}^{J} (\frac{B_i}{256} - P)^2} \times 100 \%$$

The obtained statistical results of diffusion presented in Table 3.15 with 2048 tests demonstrates that the diffusion effect is close to the expected one. Indeed, irrespective of the used structure and the output schemes, both \bar{B} and P are very close to the ideal values (128 bits and 50%, respectively), while ΔB and ΔP are very low, which indicates that the diffusion is extremely stable. These results, presented in Table 3.16, are also confirmed through the tests with J = 512 and 1024, for **Structures 1** and **2** with MP output scheme.

In addition, we draw the histogram B_i (Fig. 3.12) of **Structure 1** with MP output scheme to show that the values of B_i are centered on the ideal value 128 bits. Similar results are obtained for the other proposed hash functions as well.

3.3.3 Cryptanalysis

The attackers make use of some general attack methods that are available to them, which can be applied to any Unkeyed or Keyed hash functions (Fig. 3.10). These attacks depend only on the hash value length u for the unkeyed hash function and on the hash value length u and the secret key length

		Nu	mber of t	ests
		512	1024	2048
Structure 1	B_{min}	100	100	100
	B_{max}	149	152	154
	$ar{B}$	128.11	128.22	127.95
	P	50.04	50.08	49.98
	ΔB	8.11	8.17	8.03
	ΔP	3.16	3.19	3.13
Structure 2	B_{min}	104	104	103
$n_r = 8$	B_{max}	150	151	157
	$ar{B}$	127.98	127.88	127.97
	P	49.99	49.95	49.99
	ΔB	7.92	7.98	8.01
	ΔP	3.09	3.12	3.13
Structure 2	B_{min}	100	100	100
$n_r = 24$	B_{max}	153	153	157
	$ar{B}$	127.85	127.96	127.88
	P	49.95	49.98	49.95
	ΔB	8.22	8.10	7.94
	ΔP	3.21	3.16	3.10

TABLE 3.16 – Diffusion statistical-results for the two proposed structures with MP output scheme

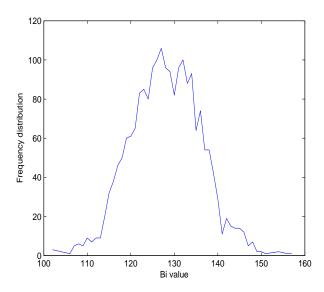


FIGURE 3.12 – Histogram of B_i

|K| for the keyed hash function. If the cryptanalyst can find a method to retrieve K, the system is entirely compromised (during the key life time) [51, 120].

3.3.3.1 Brute force attacks

A brute-force attack on a keyed hash function is more difficult than a brute-force attack on an unkeyed hash function. There are two possible types of attacks, which are as follows:

- 1. Attacks on the hash value h, namely Preimage attack, second preimage attack, and collision resistance attack.
- 2. Attack on the secret key *K*, namely *Exhaustive key search attack*.

For the first type of attacks, for a given secret key K, the fastest way to compute a first or second preimages and collision resistance is through a brute force attack that consists of randomly selecting values of M and try each value until a collision occurs. For exhaustive key search attack, the attacker requires known {message, hash} pairs.

Preimage and second preimage attacks [121]

In a preimage attack, given only the hash value h, the attacker tries to find the original message M in a way such that H(M) = h without attempting to recover the secret key K. For example, in an authentication security service, a website stores {username, H(password)} in its database instead of {username, password}. When a user tries to access the website in question, the website verifies the authenticity of the user by comparing H(input) with the stored hash H(password) (Fig. 3.13). Now, suppose this database is compromised and an attacker succeeds in accessing a given hash value, then he can try to generate the corresponding message using a preimage attack.

In a second preimage attack, the adversary has more information. Specifically, he knows the hash value h for a given message M and he tries to find another message M' that produces the same hash value h. For example, in digital signature scheme for data integrity security service, the attacker has access to both document M and its hash h and tries to find a new document M', such that H(M') = h, so that he can send the signed new document M' as the original signed document M (Fig. 3.14).

For the first and second preimage attacks, the adversary would have to try, on average, 2^{u-1} values of M to find one that generates the given hash value h. Our proposed structures produce hash values of length 256 bits, so that the minimum amount of work required by an attacker to violate the preimage or second preimage resistance property should be 2^{256-1} operations, which is considered very high. Thus, the proposed hash functions are robust against first and second preimage attacks.

Collision resistance attack (*Birthday attack*) [122]

In the collision resistance attack, the attacker tries to find two messages (M, M') that collide with the same hash value h. The minimum amount of work required by an attacker to violate the collision resistance property is approximately $2^{u/2}$ operations. This required effort is proven by a mathematical result referred to as the birthday paradox, which is detailed in the example below.

Let us take the situation whether any two students in a class have the same birthday. Suppose that the

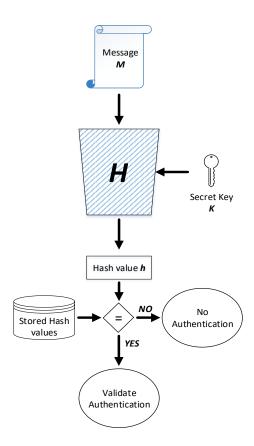


FIGURE 3.13 – General scheme of hash authentication

class has 23 students. If a teacher specifies a day (say August 11), then the probability that at least one student has the same birthday as any other student is $(1 - \frac{(365 \times 364 \times ... \times 343)}{365^{(23)}}) = 50.73\%$. Birthday attack is widely exploited for finding any two messages M and M', such that H(M) = H(M'), then the couple (M, M') is named a collision. If the length of h is u and hash values are random with a uniform distribution, an adversary can expect to find a collision (M, M') with a 50% probability within $\sqrt{2^u} = 2^{u/2}$ attempts. Yuval [123] proposed the following strategy in DS application (Fig. 3.14) to exploit the birthday paradox in a collision resistant attack without attempting to recover the secret key K:

- 1. The sender is prepared to sign a legitimate message *M* by appending the appropriate ciphered *u*-bit hash code using its private key.
- 2. The attacker generates $2^{u/2}$ minor variations δM of the message M, where all of them essentially convey the same meaning along with storing these messages and their hash values in a table.
- 3. The attacker tries to find a fraudulent message M' that has the same sender's signature which was generated using the second preimage attack.
- 4. The attacker generates $2^{u/2}$ minor variations $\delta M'$ of M', where all of them essentially convey the

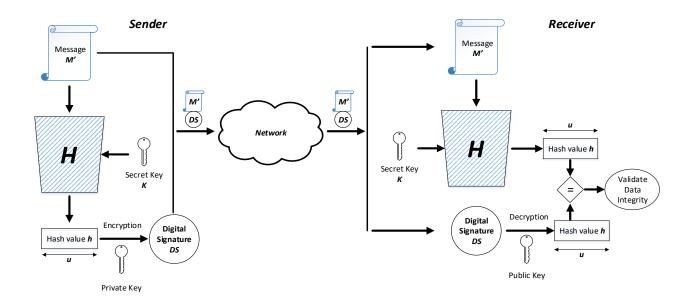


FIGURE 3.14 – Second preimage attack on Digital Signature scheme

same meaning. For each $\delta M'$, the attacker computes $H(\delta M')$, checks for matches with any of the $H(\delta M)$ values, and continues until a match is found, $H(\delta M') = H(\delta M)$.

5. Then, the attacker gives the valid fraudulent message $\delta M'$ to the sender for signature and this signature can then be attached to the fraudulent message for transmission to the intended receiver. Thus, the attacker is assured of success even though the encryption key is not known.

Another practical example is when the attacker finds a collision between a valid Microsoft Windows security patch and a malware. Then, the attacker sends his malware to sign it, in any certificate company, and ship it to Microsoft Windows users around the world. Later, when a user tries to download the new patch, his computer gets infected.

Also, for collision resistance attack, the length of hash value h determines the security and the proposed hash functions are secure against these kinds of attacks because an attacker needs, on average, 2^{128-1} tries.

Exhaustive key search attack [118, 124]

In keyed *CNN* hash functions, if the attacker has access to a pair (*message*, *digest*), then normally the key can be found by exhaustive searching and, on average, the attacker needs $2^{|K|-1}$ tries, where |K| is the length of the secret key K. Thus, the level of effort for brute force attack on keyed hash functions can be expressed as $min(2^{|K|}, 2^u)$. As |K| = 160 bits, consequently, the proposed hash functions are immune against these kinds of attacks.

3.3.3.2 Cryptanalytical attacks

Cryptanalytic attacks seek to exploit some properties of the keyed hash function to perform some attacks other than brute force attacks. An ideal keyed hash function should require a cryptanalytic effort greater than or equal to the brute force effort. Far less research has been conducted on developing such attacks. A useful survey of some methods for specific keyed hash functions is developed in [125]. In the following paragraphs, we apply the main cryptanalytic attacks of the literature on the proposed hash functions, which are listed below:

- 1. Length extension attack (Padding attack)
- 2. Meet-in-the-middle preimage attack
- 3. *Joux attack* (*Multi-collision attack*)
- 4. Long message second preimage attack
- 5. Herding attack

Length extension attack [126, 127]

In cryptography and computer security, a length extension attack is a type of attack where an attacker can use H(M) and the length of M to calculate H(M||EM) for an attacker-controlled extended message EM. The following attack is applied on $Merkle - D\mathring{a}mgard$ structure that is transformed on keyed hash functions by adding the secret key K in the beginning of the message M (MAC). This attack allows the inclusion of extra message (EM) into a signed message, but needs to know the length of secret key K. Algorithms like MD5, SHA-1, and SHA-2 that are based on the $Merkle - D\mathring{a}mgard$ construction are vulnerable to these kinds of attacks. However, HMAC is not vulnerable to the length extension attacks [128].

The attacker can perform the following steps. Suppose *Alice* sends (message M, hash value h) as a pair to Bob. Let us assume that the attacker has access to the message and its hash, then, he can easily calculate, from this pair, a new hash value h', which is as follows:

- 1. Pad the message *M* with an arbitrary extended message *EM* with a length equal or multiple of a size block.
- 2. Set the digest *h* as the secret key.
- 3. Calculate the new hash value h' corresponding to (M||EM). This means that h is used as the key for the added block(s) of (M||EM).
- 4. Substitute (M, h) pair by (M||EM, h') and send it to *Bob* as a valid signature (Fig. 3.15).

In our proposed hash functions, the secret key K is not pre-pended to the message M but used as an input for the Chaotic System to produce the necessary supplies to CNN. Then, such an attack can not be conducted.

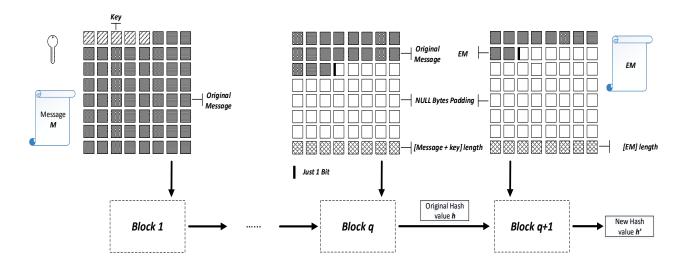


FIGURE 3.15 – Hash length extension attack

Meet-in-the-middle preimage attack (MITM)[129, 130]

The meet-in-the-middle preimage attack is a generic cryptanalytic approach that is originally applied to the cryptographic systems based on block ciphers (Chosen plain-text attack). In 2008, *Aoki* and *Sasaki* [130] noticed that the *MITM* attack could be applied to hash functions, to find preimage, second preimage, or collision for intermediate hash chaining values instead of the hash value *h*. This attack has successfully broken several designs: the *MD* hash family includes *MD5* [131], round-reduced *SHA-0*, and *SHA-1* [130], round-reduced *SHA-2* [132], some *Davies-Meyer* hash constructions, e.g., *Tiger* [133], reduced *HAS-160* [134] and *HAVAL* [135]. The steps of *MITM* attack, illustrated in Fig. 3.16 for a given secret key *K*, can be explained as follows:

- 1. Use the hash function H to calculate the hash value h of a message M that is divided into q fixed-size blocks.
- 2. Split the chain hash function in two parts, where the first part includes q-2 blocks and the second part includes the last two blocks q-1 and q.
- 3. Choose a message Q of length q-2 in the form $\{Q_1, Q_2, ..., Q_{q-2}\}$.
- 4. Compute the hash value KQ_{q-2} of the chosen message using H.
- 5. Generate $2^{u/2}$ random blocks B_X . For each generated block B_{X_i} (instead of M_{q-1}), start computing (from the splitting point) to generate the chaining hash value : $KQ_{q-1,i} = C(B_{X_i}, KQ_{q-2})$, $i = 1, 2, ..., 2^{u/2}$, which forms a list L_{B_X} containing all the computed chaining values $(KQ_{q-1,i})_X$, $i = 1, 2, ..., 2^{u/2}$

..., $2^{u/2}$ at the matching point.

- 6. Generate $2^{u/4}$ random blocks B_Y . For each generated block B_{Y_j} , $j=1,...,2^{u/4}$ (instead of M_q), start calculating $KQ_{q,k}$ ($k=1,2,...,2^{u/4}$) with $KQ_{q,k}=C(B_{Y_j},KQ_{q-1,k})$ ($k=1,2,...,2^{u/4}$). Then form a list $L_{B_{Y_{j,k}}}$ containing the chaining values of $(KQ_{q-1,j,k})_Y$ ($k=1,2,...,2^{u/4}$). Then, L_{B_Y} is compared to L_{B_X} to find a collision at the matching point.
- 7. If a collision is found, then form the message $\{Q_1,Q_2,...,Q_{q-2},B_{X_i},B_{Y_j}\}$ that gives the desired hash value h and, therefore, use it to produce the same digital signature. Otherwise, repeat the above six steps with a different chosen message $\{Q_1,Q_2,...,Q_{q-2}\}$.

The probability that one element $\{KQ_{q-1,j,k}\}_Y$ from L_{B_Y} matches one element $\{KQ_{q-1,k}\}_X$ from L_{B_X} is equal to $\frac{1}{2^{u/2}}$. Otherwise, the probability is $(1-\frac{1}{2^{u/2}})$. For all the elements of L_{B_Y} , the probability that none of them are equal to an element of B_X , is $(1-\frac{1}{2^{u/2}})^{2^{u/2}}$. Given that, $(1-x) \le e^{-x}$, the previous expression can be approximated by : $(e^{-1/2^{\frac{u}{2}}})^{2^{u/2}} = e^{-1}$. Then, the probability that one intermediate matching value occurs is :

$$P = 1 - e^{-1} = 0.632 (3.26)$$

As our hash functions are preimage resistant, the effort to succeed the meet-in-the-middle attack with probability 0.632 is $2^{u/2}$.

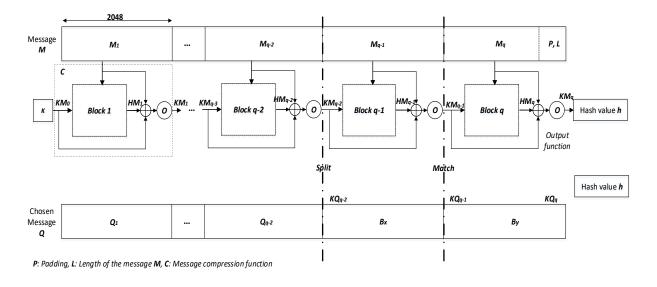


FIGURE 3.16 – Meet-in-the-middle preimage attack

Joux attack [136]

A collision attack takes time of order $2^{u/2}$ (sec. 3.3.3.1). A multi-collision attack means that a set of messages that all have the same hash value h. In 2004, Joux showed that searching multi-collisions is not so hard when it comes to finding ordinary collision. Indeed, he demonstrated that finding 2^t collisions cost only about t times a single collision attack, $t \times 2^{u/2}$ instead of $2^{u(2^t-1)/2^t}$ evaluations [51]. To illustrate this relation, let we show how 4 collisions (t = 2) can be obtained with only two calls of a collision finding machine. This collision finding machine uses birthday attack algorithm. For a given secret key K, a first call to the collision finding machine generates two different blocks M_1 and M'_1 that yield a collision : $KM_1 = C(M_1, K) = C(M'_1, K)$. Then, a second call to the same collision finding machine locates two other blocks M_2 and M'_2 such that $C(M_2, KM_1) = C(M'_2, KM_2)$. When putting these two steps together, we obtain the following 4 collisions :

$$C(M_2, C(M_1, K)) = C(M'_2, C(M_1, K))$$

= $C(M_2, C(M'_1, K)) = C(M'_2, C(M'_1, K)).$

C: Message compression function

Jour claimed that this basic idea can be extended to much larger collisions by using more calls to the collision finding machine. More precisely, using t calls, we can build 2^t -collision for a given hash function H. All of the 2^t hashing processes go through $KM_1, KM_2, ..., KM_t$. A schematic representation of these 2^t blocks together with their common intermediate hash values is drawn in Fig. 3.17.

Furthermore, Joux observed that, for two independent hash functions H and G and a given message M with H(M) = h and G(M) = g, the concatenation of the two obtained hash values (h||g) is not more secure against collision attacks, preimage resistance attack, and second preimage attack than any of the two hash functions taken separately.

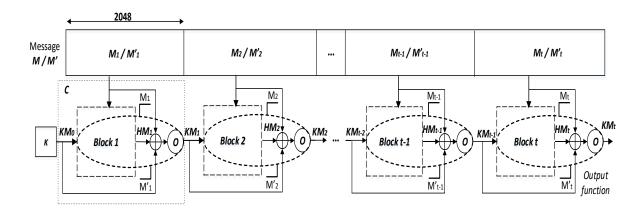


FIGURE 3.17 – Joux attack

Message	ssage Structure 1				ture 2 - n,	- = 8	Struct	Structure 2 - $n_r = 24$			
length	HT	HTH	NCpB	HT	HTH	NCpB	HT	HTH	NCpB		
513	8.60	57.37	43.70	4.47	112.02	22.71	6.73	73.21	34.20		
1024	15.24	64.98	38.75	8.18	124.18	20.79	8.02	124.17	20.30		
2048	27.02	72.66	34.33	13.82	143.44	17.56	15.11	132.90	19.20		
4096	51.13	76.50	32.46	25.73	153.06	16.34	26.99	146.33	17.13		
10^{4}	122.15	78.18	31.76	60.16	159.42	15.64	62.30	153.79	16.20		
10^{5}	1211.30	79.14	31.49	590.16	162.70	15.34	626.89	154.21	16.29		
10^{6}	11972.02	79.73	31.12	5910.81	162.14	15.36	6185.43	155.61	16.08		

TABLE 3.17 – Hashing time, hashing throughput, and the number of cycles per Byte for **Structures 1** and **2** with *MMO* output scheme and 2048 random tests

Long message second preimage and Herding attacks [137]

The Long message second preimage attack [138] and the Herding attack [139] are closely related to the *Joux* attack. For the first kind of attack, the attacker can find a second preimage for a message M of 2^b blocks with $b \times 2^{u/2+1} + 2^{u-b+1}$ effort. For the second attack, the needed work by the attacker to find 2^t collisions is $2^{u-t-1} + 2^{u/2+t/2+2} + t \times 2^{u/2+1}$.

3.3.4 Speed analysis

We evaluated the computing performance of the two proposed hash functions with their output schemes for different message lengths. For this purpose, we calculated the average hashing time *HT* (micro second), the average hashing throughput *HTH* (MBytes/second) and the needed number of cycles to hash one Byte *NCpB* (cycles/Byte).

$$HTH \; (MBytes/s) = \frac{Message \; size(MBytes)}{Average \; hashing \; time(s)}$$
 (3.27)

$$NCpB (cycles/Byte) = \frac{CPUspeed(Hz)}{HTH(Byte/s)}$$
 (3.28)

We used a computer with a 2.6 GHZ Intel core i5-4300M CPU with 4 GB of RAM running Ubuntu Linux 14.04.1 (32-bit). In Tables 3.17, 3.18, and 3.19, the average HT, the average HTH, and the average NCpB for the two structures with their output schemes are presented. It was observed that, irrespective of the output schemes, the computing performance of **Structure 2** is approximately twice better than the computing performance of **Structure 1**, even for $n_r = 24$ rounds. To focus more on these results, the HTH for the two structures with their output schemes 3.18 were drawn in figure 3.18.

The variation of computing performance according to the size of the message is due to the transition phase of both chaotic system and chaotic activation function of a neuron. Indeed, the cost of the transition phase is approximately equal $2 \times \text{tr} \times 4 = 240 \text{ Bytes}$ for **Structure 1** (tr = 30) and 160 Bytes for **Structure 2** (tr = 20) in our implementation.

Message	ge Structure 1			Struc	ture 2 - n,	. = 8	Struct	Structure 2 - $n_r = 24$			
length	HT	HTH	NCpB	HT	HTH	NCpB	HT	HTH	NCpB		
513	8.53	57.72	43.34	5.16	99.80	26.21	6.89	71.12	35.02		
1024	15.11	65.65	38.42	7.78	127.88	19.77	8.03	124.46	20.40		
2048	27.21	72.30	34.56	13.47	145.78	17.11	14.32	137.94	18.19		
4096	51.71	75.81	32.83	25.40	154.57	16.13	26.67	147.56	16.93		
10^{4}	122.50	78.05	31.85	59.71	160.27	15.52	63.25	152.32	16.44		
10^{5}	1216.68	78.70	31.63	603.15	159.79	15.68	632.82	153.17	16.45		
10^{6}	11935.23	79.97	31.03	6015.73	160.38	15.64	6272.66	153.96	16.30		

TABLE 3.18 – Hashing time, hashing throughput, and the number of cycles per Byte for **Structures 1** and **2** with *MMMO* output scheme and 2048 random tests

Message	Str	ucture 1		Struct	ture 2 - <i>n</i> ,	r = 8	Struct	Structure 2 - $n_r = 2$			
length	HT	HTH	NCpB	HT	HTH	NCpB	HT	HTH	NCpB		
513	8.67	57.19	44.04	4.45	111.99	22.61	6.76	73.19	34.36		
1024	14.77	66.84	37.55	7.72	128.94	19.62	7.94	124.42	20.19		
2048	27.05	72.73	34.35	13.81	143.17	17.55	16.03	127.37	20.36		
4096	51.52	76.12	32.71	27.42	145.93	17.41	28.16	141.84	17.88		
10^{4}	122.12	78.32	31.75	59.73	160.25	15.53	63.87	151.23	16.60		
10^{5}	1232.16	78.32	32.03	585.29	163.83	15.21	631.08	153.34	16.40		
-10^{6}	11866.13	80.42	30.85	5864.95	163.29	15.24	6250.05	154.55	16.25		

TABLE 3.19 – Hashing time, hashing throughput, and the number of cycles per Byte for **Structures 1** and **2** with *MP* output scheme and 2048 random tests

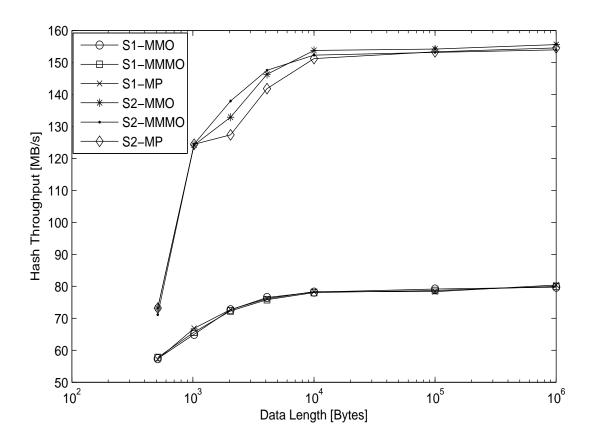


FIGURE 3.18 – Comparison of *HTH* for **Structure 1** and **Structure 2** - n_r = **24 rounds** with *MMO*, *MMMO*, and *MP* output schemes

3.3.5 Performance comparison with other Chaos-based hash functions of literature and standards hash functions

We compared the performance of the proposed hash functions with some hash functions of literature in terms of statistical analysis and NCpB. Table 3.20 presents the comparison with chaos-based hash function in terms of collision resistance for MP output scheme with 2048 tests. As we can see, except Li et al. [101] our obtained results are more close to the expected values. Table 3.21, additionally, presents the comparison of statistical results of diffusion. We observed that the obtained results for all cited references are closed to the expected values. It should be noted that besides the two references [105, 31], all the other references in Tables 3.20 and 3.21 present structures that work with hash value h = 128 bits. For comparison purposes, we took the 128 LSB hash values.

Tables 3.22 and 3.23 present the comparison of the proposed chaos-based hash functions with standard hash function in terms of collision resistance and diffusion. Aside the values of **Structure 2** - $n_r = 8$ rounds, the obtained results are similar to those obtained by standard hash functions.

The speed performance, in terms of the number of cycles to hash one Byte (NCpB), of the proposed

3.4. Conclusion 97

Hash function	Num	ber of	hits	ω		Absolute difference d					
	0	1	2	3	Mean	Mean/character	Minimum	Maximum			
Xiao et al. [13]	-	-	-	-	1506	94.12	696	2221			
Xiao et al. [21]	1926	120	2	0	1227.8	76.73	605	1952			
Deng et al. [23]	1940	104	4	0	1399.8	87.49	583	2206			
Yang et al. [24]	-	-	-	-	-	93.25	-	-			
Xiao et al. [25]	1915	132	1	0	1349.1	84.31	812	2034			
Li et al. [27]	1901	146	1	0	1388.9	86.81	669	2228			
Wang et al. [93]	1917	126	5	0	1323	82.70	663	2098			
Huang [100]	1932	111	5	0	1251.2	78.2	650	1882			
Li et al. [99]	1928	118	2	0	1432.1	89.51	687	2220			
Li et al. [101]	1899	124	25	0	1367.6	85.47	514	2221			
Li et al. [102]	1920	124	4	0	1319.5	82.46	603	2149			
He et al. [29]	1926	118	4	0	1504	94	683	2312			
Xiao et al. [98]	1924	120	4	0	1431.3	89.45	658	2156			
Yu-Ling et al. [140]	1928	117	3	0	1598.6	99.91	796	2418			
Xiao et al. [141]	1932	114	2	0	1401.1	87.56	573	2224			
Li et al. [142]	1920	122	6	0	-	-	-	-			
Li et al. [143]	1905	135	8	0	1335	83.41	577	2089			
Structure 1	1931	114	3	0	1291.64	80.72	480	2038			
Structure 2 - $n_r = 8$	1929	114	5	0	1426.23	89.13	730	2213			
Structure 2 - $n_r = 24$	1942	106	0	0	1338.85	83.67	629	2071			

TABLE 3.20 – Comparison in terms of collision resistance of the proposed structures with MP output scheme with some chaos-based hash functions

keyed chaos-based hash functions is compared to that of some chaos-based hash functions of literature and with the main standards of the unkeyed and keyed hash functions, which are presented in Tables 3.24 and 3.25, respectively. We observed that the *NCpB* of the **Structure 2** is approximately twice as fast as the best *NCpB* obtained by [30], but it is a little bit slower than the SHA-2's *NCpB* and approximately four times slower than the main keyed hash functions.

3.4 Conclusion

We realized and analyzed the security and computation performance of the two keyed chaotic neural network hash functions, based on *Merkle-Dåmgard* construction with three output schemes *MMO*, *MMMO*, and *MP*. The obtained results quantified the robustness of the proposed hash functions for using them in data integrity, message authentication, and digital signature applications. The very good performance is due to the strong one-way property of the combined chaotic system with neural network structure. Indeed, the neuron's activation functions are based on a secure and efficient chaotic generator. Compared to some chaos-based hash functions of literature, the proposed *CNN* hash functions are more robust and show good results in terms of computation performance.

Hash function	B_{min}	B_{max}	$ar{B}$	P(%)	ΔB	$\Delta P~\%$
Xiao et al. [13]	-	-	63.85	49.88	5.78	4.52
Lian et al. [15]	-	-	63.85	49.88	5.79	4.52
Zhang et al. [17]	46	80	63.91	49.92	5.58	4.36
Wang et al. [18]	-	-	63.98	49.98	5.53	4.33
Xiao et al. [21]	-	-	64.01	50.01	5.72	4.47
Deng et al. [22]	-	-	63.91	49.92	5.58	4.36
Deng et al. [23]	-	-	63.84	49.88	5.88	4.59
Yang et al. [24]	-	-	64.14	50.11	5.55	4.33
Xiao et al. [25]	-	-	64.09	50.07	5.48	4.28
Amin et al. [26]	-	-	63.84	49.88	5.58	4.37
Li et al. [27]	45	81	63.88	49.90	5.37	4.20
Wang et al. [93]	-	-	63.90	49.93	5.64	4.41
Akhavan et al. [95]	42	83	63.91	49.92	5.69	4.45
Huang [100]	-	-	63.88	49.91	5.75	4.50
Li et al. [99]	-	-	63.80	49.84	5.75	4.49
Wang et al. [97]	44	82	64.15	50.11	5.76	4.50
Li et al. [101]	-	-	63.56	49.66	7.42	5.80
Li et al. [102]	-	-	63.97	49.98	5.84	4.56
He et al. [29]	45	83	64.03	50.02	5.60	4.40
Jiteurtragool et al. [105]	43	81	62.84	49.09	5.63	4.40
Teh et al. [30]	-	-	64.01	50.01	5.61	4.38
Chenaghlu et al. [31]	-	-	64.12	50.09	5.63	4.41
Akhavan et al. [104]	43	82	63.89	49.91	5.77	4.50
Nouri et al. [103]	-	-	64.08	50.06	5.72	4.72
Xiao et al. [98]	47	83	63.92	49.94	5.62	4.39
Yu-Ling et al. [140]	-	-	64.17	50.14	5.74	4.49
Xiao et al. [141]	-	-	64.18	50.14	5.59	4.36
Li et al. [142]	-	-	64.07	50.06	5.74	4.48
Li et al. [143]	-	-	63.89	49.91	5.64	4.41
Ren et al. [144]	-	-	63.92	49.94	5.78	4.52
Guo et al. [145]	-	-	63.40	49.53	7.13	6.35
Yu et al. [146]	45.6	81.8	63.98	49.98	5.73	4.47
Zhang et al. [147]	-	-	64.43	49.46	5.57	4.51
Jiteurtragool et al. [105]	101	153	126.75	49.51	7.98	3.12
Chenaghlu et al. [31]	101	168	128.08	50.03	8.12	3.21
Structure 1	45	86	64.05	50.03	5.65	4.41
Structure 2 - $n_r = 8$	42	84	63.88	49.91	5.66	4.42
Structure 2 - $n_r = 24$	43	85	63.90	49.92	5.60	4.37

TABLE 3.21 – Comparison of the statistical results of diffusion for the proposed structures with MP output scheme with some chaos-based hash functions

3.4. Conclusion 99

Hash function	Num	ber of	hits	ω	Absolute difference d						
	0	1	2	3	Mean	Mean/character	Minimum	Maximum			
SHA2-256 [3]	1817	220	11	0	2707.10	84.59	1789	3819			
Structure 1	1803	232	13	0	2633.17	82.28	1471	3779			
Structure 2 - $n_r = 8$	1817	215	16	0	2861.93	89.43	1707	3951			
Structure 2 - $n_r = 24$	1815	226	7	0	2615.44	81.73	1540	3671			

TABLE 3.22 – Comparison in terms of collision resistance of the proposed structures with MP output scheme and SHA2-256

Hash function	B_{min}	B_{max}	$ar{B}$	P(%)	ΔB	$\Delta P~\%$
SHA2-256 [3]	104	154	128.01	50.00	7.94	3.10
Structure 1	100	154	127.95	49.98	8.03	3.13
Structure 2 - $n_r = 8$	103	157	127.97	49.99	8.01	3.13
Structure 2 - $n_r = 24$	100	157	127.88	49.95	7.94	3.10

TABLE 3.23 – Comparison of the statistical results of diffusion for the two proposed structures with MP output scheme and SHA2-256

Hash	5	Structure 1	1	Stru	Structure 2 - $n_r = 8$			Structure 2 - $n_r = 24$			Akhavan[95]	Teh[30]
function	MMO	MMMO	MP	MMO	MMMO	MP	MMO	MMMO	MP			
NCpB	31.12	31.03	30.85	15.36	15.64	15.24	16.08	16.30	16.25	122.4	105.5	28.45

TABLE 3.24 – Comparison of NCpB of the proposed structures with three output schemes with some chaos-based hash functions

Hash function	Structure 1			Structure 2 - $n_r = 8$			Structure 2 - $n_r = 24$			SHA2-256
	MMO	MMMO	MP	MMO	MMMO	MP	MMO	MMMO	MP	
NCpB	31.12	31.03	30.85	15.36	15.64	15.24	16.08	16.30	16.25	11.87
Hash function	VMAC	HMAC	GCM	CMAC	DMAC	CBC-MAC	BLAKE 2			
NCpB	0.42	14.42	0.42	4.41	4.40	2.88	2.58	-		

TABLE 3.25 – Comparison of *NCpB* of the proposed hash functions with the unkeyed and keyed standards

Chapter 4

Design and security analysis of keyed chaotic neural network hash functions based on the sponge construction

4.1 Introduction

Since 2009, many researchers have used *Sponge* construction to build new cryptographic hash functions. In 2010, *Aumasson* et al. proposed *QUARK* as a novel design philosophy for lightweight hash functions in order to minimize memory requirements [148]. *Guo* et al. proposed a lightweight hash function family *PHOTON*, based on the *Advanced Encryption Standard* (*AES*) design with the new mixing layer method [149]. It achieves excellent area/throughput trade-offs and very acceptable performances with simple software implementation. *Bogdanov* et al. proposed another family of lightweight hash functions called *SPONGENT* with hash output sizes varying from 88 bits (for preimage resistance only) to 256 bits [150]. However, each of the three lightweight functions has unique characteristics, and none seems to dominate on all aspects [151]. For example, *PHOTON* and *SPONGENT* build the permutation function *f* on highly optimized block cipher, and have slightly lower memory footprints. Whereas, *QUARK* is inspired by the stream cipher *GRAIN*, and the block cipher *KATAN*. However, *SPONGENT* has a significantly lower throughput than *QUARK* and *PHOTON*, while *PHOTON* appears to have a lower security margin. Thus, the necessity of a new hash function based on *Sponge* construction, with strong level security and high throughput, has arisen [36]. With *Sponge* construction, hash value length can vary, based on user demand [152].

In this chapter, we propose two robust keyed hash functions based on Sponge construction that contains a Chaotic System (CS) and a CNN, where the input message M is hashed to a hash value h of fixed bit

length equal to 256 and 512 bits. The combining of *CNN* with *Sponge* construction increases the security of the proposed hash function. Our proposed structures use an efficient *CS* [115], which generates pseudo-chaotic samples used to initialize the parameters of the neural network. Also, the proposed activation function of neural network is composed of two chaotic maps connected parallel to each other. The two introduced elements make our proposed hash functions more secure against different attacks, compared to existing hash functions based on *Sponge* construction. Indeed, the theoretical analysis, statistical tests and experimental simulations, presented in detail in this chapter, demonstrate that the proposed hash functions have very good statistical properties, strong collision resistance, high message sensitivity, high key sensitivity and are immune against preimage, second preimage and collision attacks.

4.2 Proposed keyed-Sponge Chaotic Neural Network hash functions

The proposed *keyed-Sponge* hash functions introduce the Chaotic functions Cf_i , $(i \ge 1)$ that contain a CS and a CNN. These Chaotic functions use a padded block message $M_i \parallel 0^c$, (i = 1, ..., q) of size b-bit, a secret key KM_0 of length |K| = 160 bits and subkeys KM_i , $(i \ge 1)$ of length 128 bits to produce hash values with two variant lengths 256 and 512 bits, depending on the values of r and c (see Fig. 4.1). In these structures, we use the CS proposed in section 3.2.3 of chapter 3.

The first *CNN* hash function uses two-layered Neural Network named **Structure 1**, whereas the second hash function uses one-layered Neural Network followed by a combination of Non-Linear (*NL*) functions named **Structure 2**.

In the next sub-section, we describe the general structure of the two proposed *keyed-Sponge CNN* hash functions.

4.2.1 Description of the general structure of the two proposed keyed-Sponge CNN hash functions

The general architecture of the proposed *keyed-Sponge CNN* hash functions ($KSCNN[c](M \parallel 01, u)$) is composed of three phases: Initialization phase, Absorbing phase, and Squeezing phase (see Fig. 4.1).

Initialization phase

This phase determines the values of r and c according to Table 4.1, and initializes the initial value $IV = HM_0$ to 0, and the secret key $K = KM_0$. Also, in this phase, the input message M is appended by the suffix 01, padded using the function Pad (explained below), and divided into q blocks M_i , (i = 1, ..., q) of r-bit size, each block.

For both structures (1 and 2), we adopt the same values of r and c as the standard SHA-3: for 256-bit hash value, c equal to 512 bits (like SHA3-256), and for 512-bit hash value, c equal to 1024 bits (like SHA3-256).

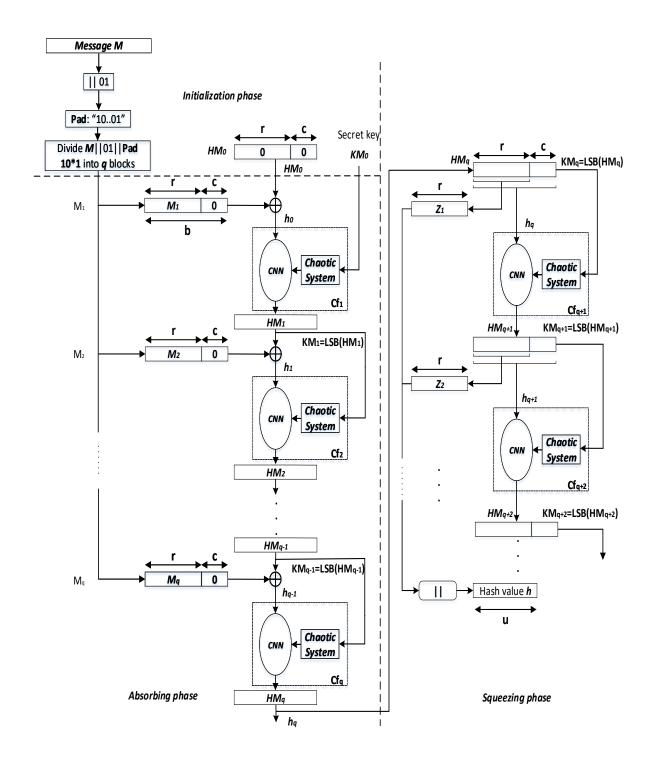


FIGURE 4.1 – General structure of the two proposed keyed-Sponge CNN hash functions

Chapter 4 – Design and security analysis of keyed chaotic neural network hash functions based on the sponge construction

Hash function	Characteristics						
	Definition	rate r (bits)	Capacity c (bits)	Output size (bits)			
Structure 1-256(M)	<i>KSCNN</i> [512](M 01, 256)	1088	512	256			
Structure 2-256(M)							
Structure 1-512(M)	KSCNN[1024](M 01, 512)	576	1024	512			
Structure 2-512(M)							

TABLE 4.1 – Main characteristics of the two proposed keyed-Sponge CNN hash functions

In our proposed hash functions, we use the multi-rate padding Pad, which appends a bit sequence 10^*1 of length v+2 bits (a bit 1 followed by the minimum number v of 0, and a last bit 1), as shown in equation (4.1):

$$v = r - mod[((L+2)+2), r]$$
(4.1)

where L = |M|.

In general, we have 3 cases of padding (Fig. 4.2):

case
$$a : mod(|M+2|, r) \le r - 2$$
.
case $b : mod(|M+2|, r) = 0$.
case $c : mod(|M+2|, r) > r - 2$.

Now, let's take a look at the three cases of padding where r = 1088 bits, which is as follows:

case a: if
$$L = 3248$$
 bits:
 $v = 1088 - mod[(3248 + 2) + 2, 1088] = 12$ bits.
case b: if $L = 3262$ bits:
 $v = 1088 - mod[(3262 + 2) + 2, 1088] = 1086$ bits.
case c: if $L = 3261$ bits:
 $v = 1088 - mod[(3261 + 2) + 2, 1088] = 1087$ bits.

Then, the padded message is divided into q blocks, and processed as a sequence of message blocks:

$$M \parallel 01 \parallel pad10^*1 = M_1 \parallel M_2 \parallel \dots \parallel M_q \tag{4.2}$$

Absorbing phase

In this phase, the q blocks of the entire message are absorbed message block M_i , by message block M_i , (i = 1, ..., q) of r-bit size. Each block M_i , (i = 1, ..., q) is padded by 0^c , and the obtained blocks

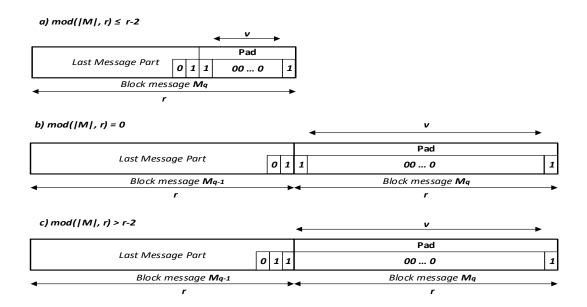


FIGURE 4.2 – Padding rule of the input message M in the two proposed keyed-Sponge CNN hash functions

 $M_i \parallel 0^c$, (i=1,...,q) of b-bit size are xored with the intermediate hash values HM_{i-1} , (i=1,...,q), where $HM_0 = IV$ was defined in the Initialization phase. The obtained values h_{i-1} , (i=1,...,q) of 1600-bit size from the xor operation are the inputs of Cf_i , (i=1,...,q) with the subkeys KM_i , (i=1,...,q-1) of 128-bit size. The outputs of Cf_i , (i=1,...,q) are the chaining variables HM_i , (i=1,...,q) of size b bits (b=1600 bits) for every r-bit input message block M_i , (i=1,...,q). For the first Chaotic function Cf_1 , $KM_0 = K$ is the secret key of size 160 bits [116]. For the other Chaotic functions Cf_i , $(i \ge 2)$, the subkeys KM_i , (i=1,...,q-1) are obtained from the Least Significant Bit (LSB) of HM_i , (i=1,...,q-1), $(KM_i = LSB(HM_i), (i=1,...,q-1))$. These subkeys are used by the CS, to generate the necessary parameters and initial conditions for CNN. For the final Chaotic function Cf_q , HM_q forms the final hash value h_q of b-bit size produced by the absorbing phase for the input message M. The pseudo-code of the absorbing phase is given below:

Algorithm 12 The absorbing phase

```
Require : r < b
M_1 \parallel M_2 \parallel ... \parallel M_q \leftarrow Pad(M \parallel 01)
HM_0 \leftarrow 0^b
for i = 1 to q do
h_{i-1} \leftarrow HM_{i-1} \oplus (M_i \parallel 0^c))
HM_i \leftarrow Cf_i(KM_{i-1}, h_{i-1})
end for
Return (\lfloor h_q \rfloor)_u.
```

Squeezing phase

When the desired hash value length u is greater than the width b (u > b), the squeezing phase is used. In this case, the hash value h_q of b-bit size produced by the absorbing phase is used as a unique input to the squeezing phase, and the obtained hash values HM_i , ($i \ge q$) are sequentially forwarded to Cf_i , ($i \ge q + 1$). For each HM_i , ($i \ge q$), the *Most Significant r* bits are extracted to Z_j , ($j \ge 1$), and the *Least Significant* 128 bits are extracted to form the key KM_i , ($i \ge q$) for the CS of each Cf_i , ($i \ge q + 1$). Finally, the concatenation of all obtained values Z_j , ($j \ge 1$) of r-bit size constitute the final hash value h of the desired length u bits, as given by the following equation:

$$h = Z_1 ||Z_2||Z_3||... = (\lfloor HM_q \rfloor)_r ||(\lfloor HM_{q+1} \rfloor)_r ||(\lfloor HM_{q+2} \rfloor)_r ||...$$
(4.3)

Below, we give the pseudo-code of the squeezing phase:

Algorithm 13 The squeezing phase

```
Require: u > b
Z_1 \leftarrow (\lfloor HM_q \rfloor)_r
j \leftarrow 2
h \leftarrow Z_1
for i = q+1, ... do
while u > |h| do
h_{i-1} \leftarrow HM_{i-1}
HM_i \leftarrow Cf_i(KM_{i-1}, h_{i-1})
Z_j \leftarrow (\lfloor HM_i \rfloor)_r
h \leftarrow h \parallel Z_j
j \leftarrow j+1
end while
end for
Return (\lfloor h \rfloor)_u.
```

In the next sub-section, we describe the proposed CS used in the Chaotic functions Cf_i , $(i \ge 1)$ to generate the necessary parameters and initial conditions for CNN.

4.2.2 Keyed-Sponge hash functions based on two-layer CNN structure (Structure 1)

The structure of the Chaotic function Cf_i for KSCNN[512] and KSCNN[1024] is shown in Fig. 4.3. It contains a CNN input layer of five neurons, and a CNN output layer of eight neurons. To supply both these layers, the CS generates the necessary samples (Key Stream (KS)), composed as follows:

$$KS = \{WI, BI, QI, WO, BO, QO\}$$

$$(4.4)$$

Its size must be:

$$|KS| = |WI| + |BI| + |QI| + |WO| + |BO| + |QO| = 129$$
 samples (4.5)

where |WI| = 50 samples, |BI| = 5 samples, |QI| = 10 samples, |WO| = 40 samples, |BO| = 8 samples and |QO| = 16 samples, each of 32 bits length.

Indeed, the neurons of the two layers adopt the same activation function with different inputs. For each h_i , (i = 0, ..., q - 1) at the input layer, each input neuron has 10 input data (Fig. 4.3 and Fig. 4.4). For each neuron (k = 0, ..., 4), the first five inputs P_j , (j = 10k, ..., 10k + 4) are weighted by the WI_j , (j = 10k, ..., 10k + 4) and then added together with the bias BI_k (weighted by 1) to form the input of DSTmap. The second five inputs P_j , (j = 10k + 5, ..., 10k + 9) are weighted by WI_j , (j = 10k + 5, ..., 10k + 9) and then added together with the same bias BI_k to form the input of DPWLCmap. All inputs P_j , weights WI_j

and biases BI_k are samples (integer values) of 32 bits length. The biases BI_k , (k = 0, ..., 4) are necessary in case the input message is constant (see Fig. 4.4). $QI_{k,1}$ and $QI_{k,2}$ are the control parameters of DSTmap and DPWLCmap, that are defined by equations 3.5 and 3.8 in chapter 3, respectively.

After computation, the two outputs of chaotic maps DSTmap and DPWLCmap are xored together to generate the output of neuron denoted by C_k , (k = 0, ..., 4), which is given by equation (4.6):

$$C_{k} = mod\{[F1 + F2], 2^{N}\} \text{ where}$$

$$\begin{cases}
F1 = DSTmap\{mod([\sum_{j=10k}^{10k+4} (WI_{j} \times P_{j})] + BI_{k}, 2^{N}), QI_{k,1}\} \\
F2 = DPWLCmap\{mod([\sum_{j=10k+5}^{10k+9} (WI_{j} \times P_{j})] + BI_{k}, 2^{N}), QI_{k,2}\}
\end{cases}$$
(4.6)

For the output layer, each neuron has 5 input data : $WO_{k,j} \times C_j$, (k=0,...,7; j=0,...,4), where k represents the index of output neuron, j represents the index of input neuron, C_j , (j=0,...,4) are the outputs of input layer, and $WO_{k,j}$, (k=0,...,7; j=0,...,4) are the weights associated with the connections between input and output layers. C_j , (j=0,...,4) and $WO_{k,j}$, (k=0,...,7; j=0,...,4) both are samples (integer values) of 32-bit length. As shown in Fig. 4.5, the first three inputs C_0 , C_1 and C_2 are weighted by $WO_{k,j}$, (k=0,...,7, j=0,...,2) and then added together with the bias BO_k , (k=0,...,7) (weighted by 1) to form the input of DSTmap. The last two inputs C_3 and C_4 are weighted by $WO_{k,j}$, (k=0,...,7; j=3,4) and then added together with the same bias BO_k , (k=0,...,7) to form the input of DPWLCmap. After computation, the two outputs of chaotic maps DSTmap and DPWLCmap are xored together to generate the output of neuron, given by equation (4.7):

$$H_{k} = mod\{[G1 + G2, 2^{N}]\} \text{ where}$$

$$\begin{cases} G1 = DSTmap\{mod([\sum_{j=0}^{2} (WO_{k,j} \times C_{j})] + BO_{k}, 2^{N}), QO_{k,1}\} \\ G2 = DPWLCmap\{mod([\sum_{j=3}^{4} (WO_{k,j} \times C_{j})] + BO_{k}, 2^{N}), QO_{k,2}\} \end{cases}$$

$$(4.7)$$

Here also, the biases BO_k , (k = 0,...,7) and the control parameters $QO_{k,1}$, $QO_{k,2}$, (k = 0,...,7), used by DSTmap and DPWLCmap, are samples of 32 bits length.

The output layer is iterated 7 times to generate the intermediate hash values of length $b = \lfloor 7 \times 8 \times 32 \rfloor$ (by concatenating the values of the output vector H_k , (k = 0, ..., 7)).

4.2.3 Keyed-Sponge Hash functions based on one-layer CNN and one Non-Linear output layer (Structure 2)

The structure of the second proposed *keyed-Sponge CNN* hash function uses the same input *CNN* layer of **Structure 1**, and a *NL* output layer formed by some *NL* functions used in *SHA-2* (See Fig. 4.6).

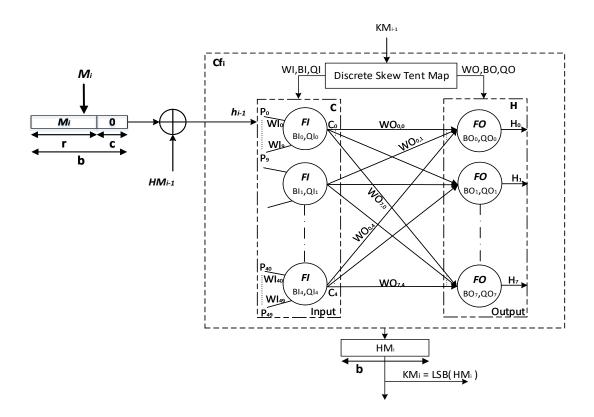


FIGURE 4.3 – Detailed structure of the i^{th} Chaotic function in the proposed keyed-Sponge two-layered CNN hash function

To supply the *CNN* of each Cf_i , $((i \ge 1))$, the *CS* generates the necessary samples :

$$KS = \{WI, BI, QI, WO\} \tag{4.8}$$

with,

$$|KS| = |WI| + |BI| + |QI| + |WO| = 70 \text{ samples}$$
 (4.9)

Here, |WO| = 5 samples instead of 40 samples are needed for the **Structure 1** (see Fig. 4.7). The outputs of the input layer C_k , (k = 0, ..., 4) given by equation (4.6) are weighted by $WO_{k,k}$, (k = 0, ..., 4) to form the inputs D_k , (k = 0, ..., 4) of the *NL* output layer : $D_k = WO_{k,k} \times C_k$, (k = 0, ..., 4). The

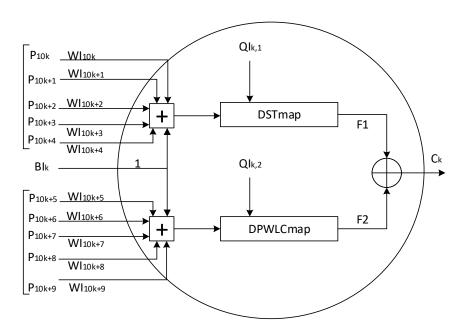


FIGURE 4.4 – Detailed structure of the k^{th} neuron in input layer of the two proposed *keyed-Sponge CNN* hash functions

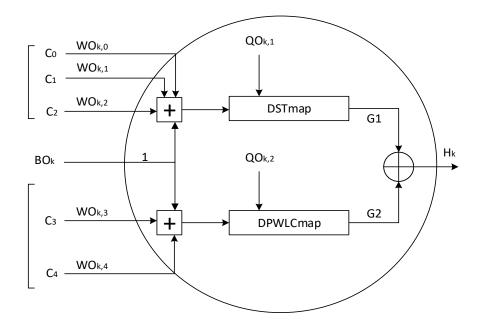


FIGURE 4.5 – Detailed structure of the k^{th} neuron in output layer of the proposed keyed-Sponge two-layered CNN hash functions

outputs H_k , (k = 0,...,7) are given by equation (4.10):

$$\begin{cases} H_{0} = D_{0} \oplus t1 \oplus Maj(D_{1}, D_{2}, D_{3}) \oplus \Sigma 0(D_{1}) \\ H_{1} = t1 \oplus D_{0} \\ H_{2} = D_{0} \oplus D_{1}, H_{3} = D_{1} \oplus D_{2}, H_{4} = D_{2} \oplus D_{3} \\ H_{5} = D_{0} \oplus D_{1} \oplus t1 \\ H_{6} = D_{1} \oplus D_{2} \oplus t1 \\ H_{7} = D_{2} \oplus D_{3} \oplus t1 \\ where \ t1 = Ch(D_{1}, D_{2}, D_{3}) \oplus D_{4} \oplus \Sigma 1(D_{3}) \end{cases}$$

$$(4.10)$$

 D_k , (k = 0, ..., 4) are truncated to 32-bit length and and H_k , (k = 0, ..., 7) are values of 32-bit length. The four NL functions $(Ch, Maj, \Sigma 0 \text{ and } \Sigma 1)$ are defined as follows:

$$\begin{cases} Ch(D_{1}, D_{2}, D_{3}) = (D_{1} \wedge D_{2}) \oplus (\neg D_{1} \wedge D_{3}) \\ Maj(D_{1}, D_{2}, D_{3}) = (D_{1} \wedge D_{2}) \oplus (D_{1} \wedge D_{3}) \oplus (D_{2} \wedge D_{3}) \\ \Sigma 0(D_{1}) = ROTR^{2}(D_{1}) \oplus ROTR^{13}(D_{1}) \oplus ROTR^{22}(D_{1}) \\ \Sigma 1(D_{3}) = ROTR^{6}(D_{3}) \oplus ROTR^{11}(D_{3}) \oplus ROTR^{25}(D_{3}) \\ ROTR^{n}(x) = (x \gg n) \vee (x \ll (32 - n)) \end{cases}$$

$$(4.11)$$

where \land : AND logic, \neg : NOT logic, \oplus : XOR logic, \lor : OR logic, \gg : Binary Shift Right operation and \ll : Binary Shift Left operation.

To calculate the intermediate hash values, first we iterate the output layer n_r times, with $n_r = 1, 2, 4, 8, 16, 24$, depending on the needed security level. The obtained results given in the performance section indicate that $n_r = 8$ is sufficient. Then, with fixed n_r , we again iterate the output layer 7 times to obtain the desired length of the intermediate hash values as done in **Structure 1**.

4.3 Performance analysis

In order to evaluate the performance in terms of security and number of needed cycles per Byte of *KSCNN*[512] and *KSCNN*[1024], we applied the same required experiments and analysis as done in chapter 3. Also, the obtained performances are compared with the standard *SHA-3*. First, the one-way property (preimage resistance) of the proposed structures is analyzed. Then, statistical tests such as, the collision resistance, the distribution of hash value, the sensitivity of hash value to the message and to the secret key, and the diffusion effect are evaluated. Also, the immunity of these structures against the brute-force and cryptanalytical attacks is studied. A detailed description of these tests is provided in chapter 3. For that, in this section we just resume the necessary test description to interpret its obtained results.

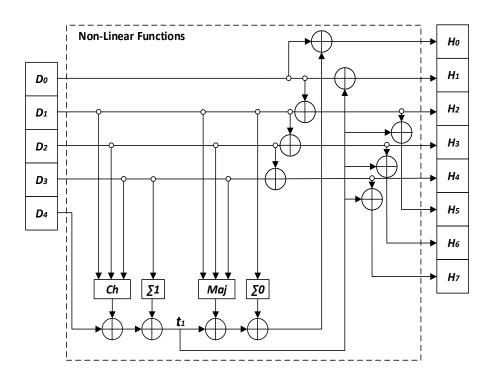


FIGURE 4.6 – Detailed structure of NL Functions block

4.3.1 One-way property

On average, an attacker needs 2^{u-1} values of the message, to retrieve the hash value h of length u equal to 256 or 512 bits. With such lengths, nowadays, this attack is infeasible [13, 14, 15, 16].

4.3.2 Statistical tests

In this sub-section, we perform and analyze the following statistical tests.

4.3.2.1 Analysis of collision resistance

The theoretical number of tests with a number of hits $\omega = 0, 1, 2, ..., s$, are represented in Tables 4.2 and 4.3 for 256 and 512 bits hash value lengths, respectively.

The obtained results in Table 4.4, for the two lengths of hash values, the number of rounds $n_r = 8$ and $n_r = 24$ give the best results. Indeed, for 256-bit hash value length with $n_r = 8$, there are zero hits for 1787 tests, one hit for 244 tests, and two hits for 17 tests. For $n_r = 24$, there are zero hits for 1824 tests, one hit for 213 tests, and two hits for 11 tests. Similar behavior is obtained for 512-bit hash value length with a slight increase in the number of hits. In Table 4.5, we resume the obtained number of hits $\omega = 0$, 1, 2, 3, 4 for the two proposed structures. As expected, we obtain comparable results.

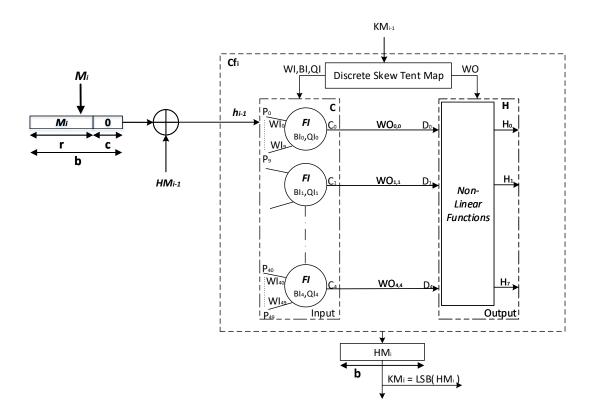


FIGURE 4.7 – Detailed structure of the i^{th} Chaotic function in the proposed keyed-Sponge hash function based on one-layered NL CNN

We also calculate the mean, mean/character, minimum, and maximum of the absolute difference *d* of two hash values. The results are represented in Table 4.6.

From the obtained results, we observe that the mean/character values are close to the expected values that are equal to 85.33 for 256-bit hash value length (L = 256) and equal to 170.66 for 512-bit hash value length [118].

4.3.2.2 Distribution of hash value

We evaluate the hash value h of the same message given in chapter 3, for Structures 1 and 2 with 256-bit and 512-bit hash value lengths. In Fig. 4.8, we show the ASCII values of the message M (Fig. 4.8a), and its hexadecimal hash value h (Fig. 4.8b) according to their index positions.

As expected, the distribution of hexadecimal hash value looks like a mess, while the distribution of the original message is located around a small area. Even under the worst case of constant input message such as "00...0" (Fig. 4.8c), the distribution of the hash value h (Fig. 4.8d) is also verified. Similar results are obtained for the two proposed structures with their two variant hash output lengths.

				ω		
		0	1	2	3	32
J	512	451.72	56.68	3.44	0.13	4.42×10^{-75}
	1024	903.45	113.37	6.89	0.27	8.84×10^{-75}
	2048	1806.91	226.74	13.78	0.54	1.76×10^{-74}

TABLE 4.2 – Theoretical values of the number of hits ω according to the number of tests J for 256-bit length of hash values

			ω								
		0	1	2	3	4	64				
J	512	398.55	100.02	12.35	1.00	0.05	7.14×10^{-57}				
	1024	797.10	200.05	24.71	2.00	0.11	1.42×10^{-56}				
	2048	1594.20	400.11	49.42	4.00	0.23	2.85×10^{-56}				

TABLE 4.3 – Theoretical values of the number of hits ω according to the number of tests J for 512-bit length of hash values

4.3.2.3 Sensitivity of hash value h to the message M

Under each condition (see chapter 3), we give in Table 4.7, the obtained results of h_i , B_i , and $HD_i(\%)$ for 256-bit hash value length. Similar results are obtained for hash value length equal to 512 bits.

In Table 4.8, we compare the obtained results for the two structures with their two lengths 256 and 512 bits. All these results are close to the expected values, proving the high message sensitivity of the two proposed structures.

4.3.2.4 Sensitivity of hash value h to the secret key K

We calculate, under each of the five conditions (given in paragraph 3.3.2.4 in chapter 3), for the two proposed structures with their two variants of hash value length 256 and 512 bits, the hash value h_i (hexadecimal), the number of bits changed $B_i(h,h_i)$ (bits), and the sensitivity of the hash value h to the secret key K measured by Hamming Distance $HD_i(h,h_i)(\%)$.

Table 4.9 presents the obtained results of h_i , B_i , and $HD_i(\%)$ for 256-bit hash value length. Similar results are obtained for hash value length equal to 512 bits.

In Table 4.10, we compare the obtained results for the two structures with their two lengths 256 and 512 bits. All these results are close to the expected values, demonstrating the high key sensitivity of the two proposed structures.

4.3.2.5 Statistical analysis of diffusion effect

The optimal value of diffusion effect is obtained when flipping any bit in the message M causes a change of each output bit in the hash value (binary format) with a probability of one half (50%) [79].

		Number of hits ω					
		0	1	2	3	4	5
	number of rounds						
	n_r						
Length of hash values							
256	1	1814	220	14	0	0	0
	2	1815	224	8	1	0	0
	4	1802	232	13	1	0	0
	8	1787	244	17	0	0	0
	16	1825	214	8	1	0	0
	24	1824	213	11	0	0	0
512	1	1598	396	52	1	1	0
	2	1552	439	52	5	0	0
	4	1594	401	44	6	3	0
	8	1607	371	67	3	0	0
	16	1602	395	47	4	0	0
	24	1600	359	46	2	1	0

TABLE 4.4 – Number of hits ω according to the number of rounds n_r of **Structure 2** for 2048 tests

	Length of hash values	Number of hits ω				
		0	1	2	3	4
Structure 1	256	1806	229	13	0	0
	512	1572	419	51	6	0
Structure 2	256	1787	244	17	0	0
$n_r = 8$	512	1607	371	67	3	0
Structure 2	256	1824	213	11	0	0
$n_r = 24$	512	1600	399	46	2	1

TABLE 4.5 – Number of hits ω regarding the proposed structures with the two length of hash values for 2048 tests

To quantify the performance of the two proposed structures with their variants of hash output lengths 256, and 512 bits, we compute the six following statistical tests:

- 1. Minimum number of bits changed (bits).
- 2. Maximum number of bits changed (bits).
- 3. Mean number of bits changed (bits).
- 4. Mean changed probability (mean of $HD_i(\%)$) (%).
- 5. Standard variance of the changed bit number.
- 6. Standard variance of the changed probability (%).

and the obtained results given in Table 4.11 with 2048 tests demonstrate that the diffusion effect is close to the expected one.

	Length of hash values	Mean	Mean/character	Minimum	Maximum
Structure 1	256	2715.39	84.85	1695	3831
	512	5414.34	169.19	3911	7062
Structure 2	256	2584.51	80.76	1654	3759
$n_r = 8$	512	5478.30	171.19	3874	6871
Structure 2	256	2665.24	83.28	1642	3784
$n_r = 24$	512	5233.34	163.54	3767	6606

TABLE 4.6 – Mean, mean/character, minimum, and maximum of the absolute difference d for the proposed structures with the two lengths of hash values and J = 2048 tests

	Message variants	Hexadecimal hash values	B_i	$HD_i\%$
Structure 1	1	d53280d1f7a652977e7943472ea34a343746f09f6c8ea084f0b9d5009fecf467	-	-
	2	2081268dee082e8b2a9cbaaa8156fad0595d6fbd83aea9a92a5c649d9e53a82e	139	54.29
	3	9c0f5327df3f01a4311283caae6051a7780ca06d81d69dbfdfed57dec4a67db4	128	50.00
	4	c0a1b6e48295f620c2c42e1ed101023cbefecf6eca5d505d3355604fb8bb2db0	142	55.46
	5	e3edfd704f2befe9b54c6d000b1116316112b98cf0b6432f68ddf0ee6b829fcf	133	51.95
	6	29f9cf09e3d0764b53c4a67a5450fc828fc78e12af51de43b6b77f978292cdb3	146	57.03
	Average	-	137.60	53.75
Structure 2	1	d3a15d8621f3fec42dca5abf7077091f96275130fcef4e21a1521d81470245ae	-	-
$n_r = 8$	2	346dd0bf7ac39dd0992e27b4fdef79e6aacda0d29733324ef3f26c1ca4d0b528	133	51.95
	3	2ae7c91d1e34279fcc90fdee067837028045a922c786c55c0d6e0fb08b539190	133	51.95
	4	82ed73ae08e2efe8498d795a2fe685a730a5c2fdaec6dd8cc8ad2171d7ee662b	116	45.31
	5	3bae189d094240cf7ca3a5ffcf9846f056d078b4ba10f76d092b146290632a26	137	53.51
	6	145759fe7d944ed8adaa126d7d0107cef75326f757812c56872a39f50d7818cc	121	47.26
	Average	-	128.00	50.00
Structure 2	1	f39457de07d62bea3fb35b5698ec008e004db03197b77a7e30e821a6a8499119	-	-
$n_r = 24$	2	cb5dc81199de92b10ebf54d31185f37676ba5ca36d077d91723dda34150275e1	140	54.68
	3	9a0d013b3132a1db0ada8a5aa59ce1a49d38137760d7dc81cf91b77ff73545ac	140	54.68
	4	ef73910049a7a86ace7103c7d8f537fdfab9eab130c81f0d264c2b370400f67b	122	47.65
	5	2087a2da6dcf4187ad407532ce2207c14673ff0e56d512fa35b76009bde698c6	128	50.00
	6	006b3905b48157204b5a2c0922cdb1a869a297e3add562abc442ff0a8f2dd941	143	55.85
	Average	-	134.60	52.57

TABLE 4.7 – Sensitivity of hash value to the message for the proposed structures with 256-bit length of the hash values

	Length of hash values	B_i	$HD_i\%$
Structure 1	256	137.60	53.75
	512	266.00	51.95
Structure 2	256	128.00	50.00
$n_r = 8$	512	204.40	39.92
Structure 2	256	134.60	52.57
$n_r = 24$	512	254.20	49.64

TABLE 4.8 – A comparison of average B_i and $HD_i(\%)$ for message sensitivity

	Message variants	Hexadecimal hash values	B_i	$HD_i\%$
Structure 1	1	d53280d1f7a652977e7943472ea34a343746f09f6c8ea084f0b9d5009fecf467	-	-
	2	a3614a0d3d7d77cffbde676045f5abf4add0f46ec9ed08e293e2a96118bbb364	124	48.43
	3	9cc68e614f3ce3161ece75dc8474d31f7a080fb30b7edf239334fd485cb5e8ca	131	51.17
	4	5a2502125bc452c8d7ac3c4f20de5ee4f422219839bbfabf1a22923b2a87cb96	130	50.78
	5	ac84f96d784967e643d750f9c15184ab4e6a93c408bf5eca22585f99eb98fa31	146	57.03
	Average	-	132.75	51.85
Structure 2	1	d3a15d8621f3fec42dca5abf7077091f96275130fcef4e21a1521d81470245ae	-	-
$n_r = 8$	2	5e148302c03950dffe19911bd144c5713ed1c8750bee6c8324b338e9cb2635ed	121	47.26
	3	f5d2f5ae0db1c67d5a85f47994ea894db129241c07a361a4c9cc1c90ec0fb1c1	122	47.65
	4	18eae0eac4dcdedc01b8d55e231119e1d5286bb2fa08f107d8a13db82e984feb	124	48.43
	5	b56c8b1b210b34cb5a41948d7e1b16ba90614af2c1c4d64ee59e54790be40831	128	50.00
	Average	-	123.75	48.33
Structure 2	1	f39457de07d62bea3fb35b5698ec008e004db03197b77a7e30e821a6a8499119	-	-
$n_r = 24$	2	d920e5ea9ae97a63fc75bb205733bc329464c5c67f868620d4c081321797f8c6	141	55.07
	3	dce025ba7f9fb1b72d2754eeeafb696740d691fd3129744bf6f549c25cd8b158	115	44.92
	4	c5e3e27affb359a4648039f8201e029213eb9345f730cf66b3aef40c805b65db	119	46.48
	5	182bb7760e4708c3464bbaed011154a9d903f06be1d73d9ea68dd3da7e9f7718	130	50.78
	Average	-	126.25	49.31

 $TABLE\ 4.9-Sensitivity\ of\ hash\ value\ to\ the\ secret\ key\ for\ the\ proposed\ structures\ with\ 256-bit\ length\ of\ hash\ values$

	Length of hash values	B_i	$HD_i\%$
Structure 1	256	132.75	51.85
	512	252.50	49.31
Structure 2	256	123.75	48.33
$n_r = 8$	512	265.50	51.85
Structure 2	256	126.25	49.31
$n_r = 24$	512	256.00	50.00

TABLE 4.10 – A comparison of average B_i and $HD_i(\%)$ for key sensitivity

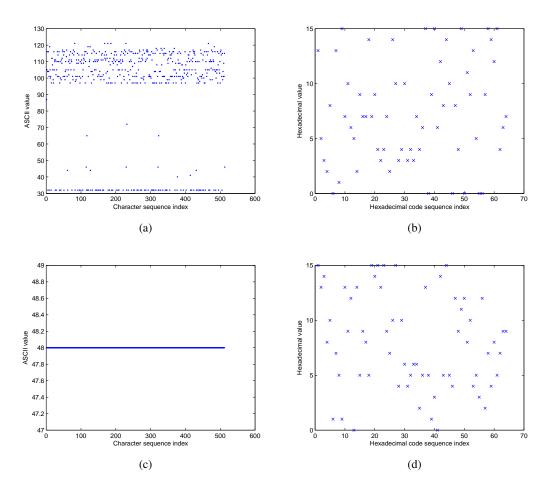


FIGURE 4.8 – Distribution of hash value for **Structure 1** with 256-bit hash value length

Additionally, we can observe that the diffusion is extremely stable, whatever the hash value length and the used structure, because both \bar{B} and P are very close to the ideal values (\bar{B} = 128 bits for 256-bit hash value length, \bar{B} = 256 bits for 512-bit hash value length, and P = 50% for all structures), while ΔB and ΔP are very small. For different number of tests (J = 512, 1024, and so on), similar results are obtained for the two structures with their different hash value lengths.

Also, to show that the values of B_i are centered on the ideal values 128 bits and 256 bits (for u = 256 bits and u = 512 bits, respectively), we draw the two histograms B_i (see Fig. 4.9 and Fig. 4.10) of **Structure 1**. We obtain similar results for **Structure 2**.

4.3.3 Cryptanalysis

In the literature, exist known attacks, which can be applied to unkeyed or keyed hash functions. Bertoni et al. [54] demonstrate the dependency of these attacks on the hash value length u for the unkeyed hash function and on the hash value length u and the secret key length |K| for the keyed hash function.

		Length o	f hash values
		256	512
Structure 1	B_{min}	101	217
	B_{max}	155	293
	$ar{B}$	128.10	256.20
	\boldsymbol{P}	50.04	50.04
	ΔB	7.96	11.20
	ΔP	3.11	2.18
Structure 2	B_{min}	99	214
$n_r = 8$	B_{max}	156	291
	$ar{B}$	127.70	255.90
	\boldsymbol{P}	49.88	49.98
	ΔB	8.22	11.37
	ΔP	3.21	2.22
Structure 2	B_{min}	99	215
$n_r = 24$	B_{max}	154	296
	$ar{B}$	127.88	255.53
	P	49.95	49.90
	ΔB	8.02	11.41
	ΔP	3.13	2.23

TABLE 4.11 – Diffusion statistical results for the two proposed structures, with the two lengths of hash values, and J = 2048 tests

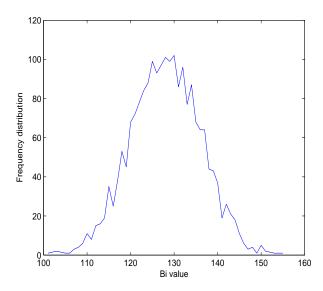


FIGURE 4.9 – Histogram of B_i for **Structure 1** with 256-bit hash value length, and J = 2048 tests

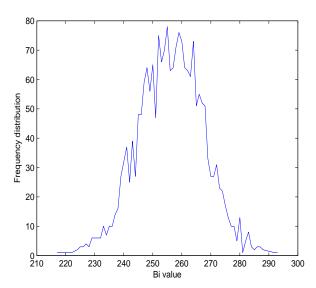


FIGURE 4.10 – Histogram of B_i for **Structure 1** with 512-bit hash value length, and J = 2048 tests

Normally, if the secret key is compromised, then the system is completely compromised (during the key life time) [51, 120]. In the following, we demonstrate the robustness of the proposed two structures against these known attacks.

4.3.3.1 Brute force attacks

The brute force attacks are on the hash value h and on the secret key K (namely, *Exhaustive key search attack*). The attacks on the hash value can be ordered from the easiest one to the hardest one:

- 1. Collision resistance attack
- 2. Preimage attack and Second preimage attack

Exhaustive key search attack [118, 124]

For the two proposed structures, in the *Exhaustive key search attack*, the attacker needs $2^{|K|-1} = 2^{159}$ tries. So, this attack is ineffective.

Collision resistance attack (*Birthday attack*) [122]

In this case, the attacker tries to find two messages (M, M'), which hit the same hash value h. The smaller expected workload required by an attacker to break the collision resistance property is approximately $2^{u/2}$.

Preimage and Second preimage attacks [121]

In the *Preimage* attack, for a known value h, the attacker tries to find its original message M: H(M) = h.

In the Second preimage attack, the attacker knows the hash value h for a given message M, and tries to find another message M' that generates the same hash value h. For these two attacks, the smaller expected workload required by an attacker to break the collision resistance property is approximately 2^u .

In conclusion, to realize the attack on the hash value for the two proposed structures with the minimum length used (u = 256 bits), the minimum workload required by an attacker is 2^{128} attempts, which is infeasible.

4.3.3.2 Cryptanalytical attacks

The cryptanalytical attacks try to find specific weaknesses in the structure of a hash function, and perform on it some attacks, with an amount of effort less than the brute force attack. In the following paragraphs, we consider the two most common cryptanalytic attacks of the literature on the proposed hash functions [125, 153]:

- 1. Length extension attack (Padding attack)
- 2. Meet-in-the-middle preimage attack
- 3. *Joux attack* (*Multi-collision attack*)
- 4. Long message second preimage attack
- 5. Herding attack

Length extension attack [126, 127]

In our proposed hash functions, the secret key *K* is not pre-pended to the message *M*, but used as an input for the *CS* to produce the necessary supplies to *CNN*. Then, such an attack cannot be conducted.

Meet-in-the-middle preimage attack (MITM)[129, 130]

The *Meet-in-the-middle preimage* attack is a generic cryptanalytic approach, originally applied to the cryptographic systems based on block ciphers (chosen-plaintext attack). In 2008, *Aoki* and *Sasaki* [130] noticed that the *MITM* attack could be applied to hash functions, to find preimage, second preimage, or collision for intermediate hash chaining values instead of the hash value h. This attack has successfully broken several designs. As our hash functions are preimage resistant, the minimum effort (with u = 256 bits) to succeed the *Meet-in-the-middle* attack with probability 0.632 is $2^{u/2} = 2^{128}$ tries.

Joux attack [136]

Joux claimed that, using t calls, we can build 2^t -collision for a given hash function H. Furthermore, Joux observed that, for two independent hash functions H and G and a given message M with H(M) = h and G(M) = g, the concatenation of the two obtained hash values (h||g) is not more secure against collision attacks, preimage resistance attack, and second preimage attack than any of the two hash functions taken separately.

Message	St	tructure	Struc	ture 2 - <i>n</i>	$a_r = 8$	Structure 2 - $n_r = 24$			
length	HT	HTH	NCpB	\overline{HT}	HTH	NCpB	HT	HTH	NCpB
513	0.0058	27.41	124.33	0.0019	104.81	30.24	0.0029	100.65	28.20
1024	0.0102	49.25	60.68	0.0039	115.78	24.45	0.0039	72.10	51.78
2048	0.0190	36.90	93.56	0.0078	115.90	24.43	0.0087	102.86	27.08
4096	0.0336	52.08	53.28	0.0156	104.67	33.38	0.0175	92.64	35.27
10^{4}	0.0849	48.84	63.51	0.0371	124.75	22.44	0.0419	101.10	30.71
10^{6}	8.2666	55.05	50.30	3.5986	130.45	21.21	4.0537	112.70	24.56

TABLE 4.12 – Hashing time, hashing throughput, and number of needed cycles to hash one Byte for **Structures 1** and **2** with 256-bit length hash values and 2048 random tests

Long message second preimage and Herding attacks [137]

For the Long message second preimage attack [138], the attacker can find a second preimage for a message M of 2^b blocks with $b \times 2^{u/2+1} + 2^{u-b+1}$ effort. For the Herding attack [139], the needed work by the attacker to find 2^t collisions is $2^{u-t-1} + 2^{u/2+t/2+2} + t \times 2^{u/2+1}$.

4.3.4 Speed analysis

We estimate the computing performance of the two proposed structures with their hash value lengths for different message lengths. Then, the average hashing throughput *HTH* (MBytes/second) and the needed number of cycles to hash one Byte *NCpB* (cycles/Byte) are calculated as follows:

$$HTH (MBytes/s) = \frac{|M|(MBytes)}{HT(s)}$$
 (4.12)

$$NCpB (cycles/Byte) = \frac{CPUspeed(Hz)}{HTH(Byte/s)}$$
 (4.13)

where HT (second) is the average hashing time.

The calculation is done in C code, using a computer with a 2.9 GHZ Intel core i7-4910MQ CPU with 4 GB of RAM running Ubuntu Linux 14.04.1 (64-bit) operating system. We give in Tables 4.12, and 4.13, the average HT, the average HT, and the average NCpB for the two structures with their hash value lengths. When the overhead related to the structures becomes negligible (from 10000 data bytes), we observe that whatever the length of the hash values (256 or 512 bits), the hash throughput of **Structure 2** is just over twice compared to **Structure 1**. Also, we remark that whatever the structure, the hash throughput with 256-bit hash value length (in this case r = 1088 bits) is approximately twice with 512-bit hash value length (in this case r = 576 bits). Indeed, when r is increased the hash time of the absorbing phase is decreased. Additionally, we show in Fig. 4.11 the HTH for the two structures with their hash value lengths.

Message	St	Structure 1				Structure 2 - $n_r = 8$				Structure 2 - $n_r = 24$			
length	HT	HTH	NCpB		\overline{HT}	HTH	NCpB		HT	HTH	NCpB		
513	0.0097	19.68	172.47		0.0043	53.16	54.61		0.0043	41.65	75.04		
1024	0.0180	26.93	103.42		0.0073	52.42	57.64		0.0087	42.87	78.30		
2048	0.0336	26.84	107.66		0.0141	65.65	42.32		0.0161	52.71	57.99		
4096	0.0698	28.30	98.48		0.0278	56.87	55.19		0.0336	54.85	54.32		
10^{4}	0.1621	27.57	101.87		0.0712	65.50	42.49		0.0761	58.02	47.82		
106	15.6166	29.53	93.67		6.6293	68.97	40.12		7.8032	59.95	46.16		

TABLE 4.13 – Hashing time, hashing throughput, and number of needed cycles to hash one Byte for **Structures 1** and **2** with 512-bit length hash values and 2048 random tests

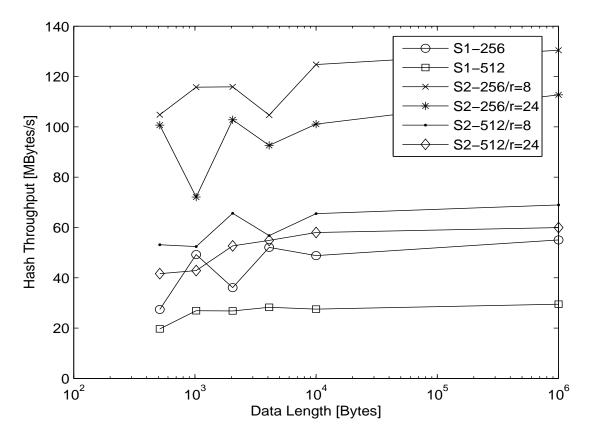


FIGURE 4.11 – Comparison of *HTH* for **Structure 1** and **Structure 2** - n_r = **8/24 rounds** with 256/512-bit hash output lengths

Hash function	Number of hits ω			ω		Absolute difference d		
	0	1	2	3	Mean	Mean/character	Minimum	Maximum
Structure 1	1806	229	13	0	2715.39	84.85	1695	3831
Structure 2 - $n_r = 8$	1787	244	17	0	2584.51	80.76	1654	3759
Structure 2 - $n_r = 24$	1824	213	11	0	2665.24	83.28	1642	3784
SHA3-256 [7]	1818	211	19	0	2776.16	86.75	1686	3895

TABLE 4.14 – Comparison in terms of collision resistance of the proposed structures with the standard *SHA-3* for 256-bit hash values length

Hash function	Number of hits ω					Absolute difference d			
	0	1	2	3	4	Mean	Mean/character	Minimum	Maximum
Structure 1	1572	419	51	6	0	5414.34	169.19	3911	7062
Structure 2 - $n_r = 8$	1607	371	67	3	0	5478.30	171.19	3874	6871
Structure 2 - $n_r = 24$	1600	399	46	2	1	5233.34	163.54	3767	6606
SHA3-512 [7]	1593	418	35	2	0	5502.66	171.95	3933	7106

TABLE 4.15 – Comparison in terms of collision resistance of the proposed structures with the standard *SHA-3* for 512-bit hash values length

4.3.5 Performance comparison with the standard hash function SHA-3

We give below the computing performance comparison of our proposed hash functions with the standard hash function SHA-3 in terms of robustness and speed. To the best of our knowledge, we mention that we do not find until now any work about chaos-based hash function using Sponge construction in the literature. In Tables 4.14, 4.15, 4.16, 4.17, and 4.18, we compare the obtained statistical results (collision resistance, diffusion, and message sensitivity) of our proposed chaos-based hash functions with the standard SHA-3 for the two hash output lengths 256 and 512 bits. After carefully analyzing the values of these tables, we can conclude that all our obtained statistical results are close to those of standard SHA-3. In Table 4.19, we give a comparison in terms of the needed number of cycles to hash one byte (NCpB) of the proposed chaos-based hash functions with the standard SHA-3 for 2048 tests. We observe that globally the performance of SHA-3 in terms of NCpB is better than that obtained by the proposed chaosbased hash functions. For example, for the long messages (1 MB), the NCpB obtained by SHA-3, whatever the hash length value, is 7 times less than the NCpB of the structure 1, but is only less than 3 times of the NCpB obtained by Structure 2 - $n_r = 8$. However, our simulations were done in sequential implementation without optimization. So, with a parallel implementation (with 50 output neurons) using optimized calculation, the performance computing will be at least similar to that of SHA-3. It can be even better than that of *SHA-3* when using **Structure 2 -** $n_r = 8$.

Hash function	B_{min}	B_{max}	$ar{B}$	P (%)	ΔB	$\Delta P~\%$
Structure 1	101	155	128.10	50.04	7.96	3.11
Structure 2 - $n_r = 8$	99	156	127.70	49.88	8.22	3.21
Structure 2 - $n_r = 24$	99	154	127.88	49.95	8.02	3.13
SHA3-256 [7]	101	153	128.05	50.02	8.01	3.13

TABLE 4.16 – Comparison of the statistical results of diffusion for the two proposed structures with the standard *SHA-3* for 256-bit hash values length

Hash function	B_{min}	B_{max}	$ar{B}$	P (%)	ΔB	$\Delta P~\%$
Structure 1	217	293	256.20	50.04	11.20	2.18
Structure 2 - $n_r = 8$	214	291	255.90	49.98	11.37	2.22
Structure 2 - $n_r = 24$	215	296	255.53	49.90	11.41	2.23
SHA3-512 [7]	221	288	255.82	49.96	11.08	2.16

TABLE 4.17 – Comparison of the statistical results of diffusion for the two proposed structures with the standard *SHA-3* for 512-bit hash values length

	Length of hash values	B_i	$HD_i\%$
Structure 1	256	137.60	53.75
	512	266.00	51.95
Structure 2	256	128.00	50.00
$n_r = 8$	512	204.40	39.92
Structure 2	256	134.60	52.57
$n_r = 24$	512	254.20	49.64
SHA3-512 [7]	256	124.00	48.43
	512	248.00	48.43

TABLE 4.18 – Comparison of average B_i and $HD_i(\%)$ for message sensitivity of the two proposed structures with the standard SHA-3 for 256 and 512 bits hash values length

Message length	Struc	ture 1	Structu	re 2 - $n_r = 8$	Structure 2 - $n_r = 24$		SHA-3	
	256	512	256	512	256	512	256	512
513	124.33	172.47	30.24	54.61	28.20	75.04	13.53	59.39
1024	60.68	103.42	24.45	57.64	51.78	78.30	32.12	48.83
2048	93.56	107.66	24.43	42.32	27.08	57.99	27.10	41.22
4096	53.28	98.48	33.38	55.19	35.27	54.32	15.92	13.82
10^{4}	63.51	101.87	22.44	42.49	30.71	47.82	13.28	13.43
106	50.30	93.67	21.21	40.12	24.56	46.16	6.92	12.95

TABLE 4.19 – Comparison of NCpB of the proposed structures with the standard SHA-3 for 256 and 512 bits hash values length

4.4 Conclusion

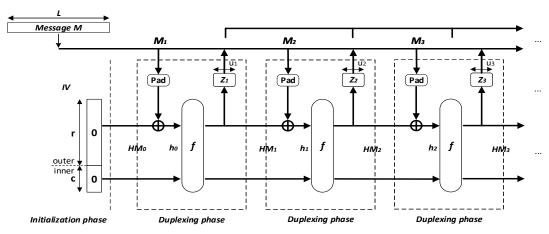
We designed, implemented and analyzed the security and computing performance of the two proposed keyed CNN hash functions based on Sponge construction with two hash output lengths 256 and 512 bits. The obtained results, in terms of statistical analyses and cryptanalytical attacks, are similar to those obtained by the standard SHA-3. For the computing performance, the obtained results of our proposed structures are less than the standard SHA-3 due to the sequential implementation. In parallel implementation, using 50 output neurons, the computing performance of **Structure 2 -** $n_r = 8$ will be better than the standard SHA-3. Then, the proposed keyed-Sponge CNN hash functions can be used in data integrity, message authentication, and digital signature applications. Our future work will focus on the XOFs, based on the keyed-Sponge CNN (CNN-SHAKE), where the hash output length will be variable. Also, we will implement a new duplex construction based on CNN (CNN-DUPLEX) that will be used in authenticated encryption application.

Chapter 5

Duplex construction-based chaotic neural networks for authenticated encryption

5.1 Work under construction

In this chapter, we are currently working on the design of a *CNN-DUPLEX* structure (see Fig. 5.1) which allows the alternation of input and output blocks at the same rate as the *Sponge* construction, similar to a full-duplex communication (one call to the chaotic function per input block) [63, 152]. This work will be adapted for using in Authenticated Encryption with Associated Data (*AEAD*) applications, and will be published as a new research paper in a journal with impact factor.



IV: Initial Value, r: rate, c: capacity, f: function

FIGURE 5.1 – General structure of the *Duplex* construction

CONCLUSIONS AND PERSPECTIVES

In this thesis, we studied the problem of designing, implementing, and analyzing the secure keyed chaotic neural network (*KCNN*) hash functions based on the *Merkle-Dåmgard* and *Sponge* constructions. These proposed *KCNN* hash functions are suitable for data integrity, message authentication, digital signature and authenticated encryption.

In chapter 1, we presented the fundamental concepts of hash function primitives. We began by introducing the foundation principles and basic concepts of hash functions as well as the two major categories of modern unkeyed and keyed hash functions. First, we described the standard *SHA-2* that was based on the *Merkle-Dåmgard* construction. Then, we presented the standard *SHA-3* that was based on the *Sponge* construction.

In chapter 2, we introduced the main characteristics of chaotic maps and neural networks that are suitable for building new chaotic neural network hash functions. These main characteristics are sensitivity to minute changes in initial conditions, random-like behavior, non-linearity, data diffusion, one-way, and parallel implementation. Then, we provided the state-of-the-art versions of certain chaos-based hash functions in the literature.

In Chapter 3, we presented our first contribution. It consists of realizing two new KCNN hash functions based on the Merkle-Dåmgard construction. First, we introduced the necessary padding rule of the input message used in our proposed KCNN hash functions. Second, we realized the three output schemes CNN-Matyas-Meyer-Oseas, $Modified\ CNN$ -Matyas-Meyer-Oseas, and CNN-Miyaguchi-Preneel that precede the generation of the final output hash value h. Third, we explained the proposed chaotic system based on a Discrete Skew Tent map (DSTmap) with one recursive cell (delay equal to 1) running over a finite precision (N = 32). The chaotic system takes a secret key K with length equal to 160 bits to generate the necessary Key Stream (KS) that supply the layers of CNN. Then, we proposed the first structure of keyed hash functions based on a two-layer CNN. Each layer is composed of eight neurons, where each one uses a chaotic activation function. The chaotic activation function consists of two coupled chaotic maps: a DSTmap and a DPWLCmap.

In order to increase the hash throughput while keeping the necessary security requirements, we replaced the output layer neural network in the two-layer CNN by a combination of non-linear functions used in the standard SHA-2. These non-linear functions are iterated n_r times in order to achieve the security requirements. After several experimental tests, we chose the number of rounds n_r equal to 24 for more robustness and equal to 8 for a compromise between robustness and hash throughput.

Finally, we studied the performance of the two proposed keyed hash functions in terms of security (statistical tests, cryptanalytical attacks) and speed and, subsequently, compared the obtained results with other chaos-based hash functions from the available literature, as well as with the standard *SHA-2*.

In Chapter 4, we presented our second contribution. It consists of designing and implementing, in a secure manner, two new KCNN hash functions based on the Sponge construction. First, we introduced the general structure of these two proposed keyed-Sponge CNN hash functions (KSCNN), characterized by the bitrate r, the capacity c, and the intermediate hash values of 1600-bit length. These KSCNN utilize the same chaotic system as described in chapter 3. Second, we presented the three types of keyed-Sponge functions such as Outer keyed-Sponge (OKS), Inner keyed-Sponge (IKS), and Full-State Keyed Sponge (FKS). Third, we described in detail the first proposed structure based on a two-layer CNN and the second structure based on a one-layer CNN, followed by a combination of non-linear functions. For the second KSCNN, after several experiments, we chose a number of rounds n_r equal to 8 and 24 rounds for the same reasons as elucidated in chapter 3. The two KSCNN hash functions are composed of three phases: Initialization phase, Absorbing phase, and Squeezing phase. In the initialization phase, we padded the input message M of arbitrary length to a bit-string with a length that is a multiple of the bitrate r. In the absorbing phase, the entire message is absorbed, message block by message block of r-bit size. In the squeezing phase, we squeezed out the hash value h when the desired length hash value is greater than 1600 bits.

The input layer of the two proposed KSCNN is composed of five neurons while the output layer of the first structure is composed of eights neurons. For the two proposed KSCNN, we realized two variants of hash value lengths: 256 bits (r = 1088, c = 512) and 512 bits (r = 576, c = 1024). To produce the intermediate hash values of 1600 bits, the output layer of each structure is iterated seven times. Finally, we provide the statistical and cryptanalytical analysis, and the computing performance measures of the proposed KSCNN. We observed that the obtained performance is close to those of the standard SHA-3.

In Chapter 5, we worked on the structure of the *KCNN-DUPLEX*, integrating the proposed *KCNN-Sponge* hash functions for use in an Authenticated Encryption with Associated Data (*AEAD*) application.

In future studies, we plan to further develop the design of the proposed *KCNN-DUPLEX* schemes and to analyze their performance. Moreover, we also plan to realize a parallel implementation of the proposed hash functions and to design lightweight *CNN* hash functions. In addition, we intend to realize a library of hash functions based on the proposed structures. Furthermore, a user guide to help developers implement their applications under the proposed chaos-based hash functions will also be developed.

Appendix A

Synthèse des travaux réalisés : Conception, mise en œuvre et analyse de fonctions de hachage avec clé basées sur des cartes chaotiques et des réseaux neuronaux

A.1 Contexte et objectifs

Les fonctions de hachage sont des primitives les plus utiles en cryptographie. En effet, elles jouent un rôle important dans l'intégrité des données, l'authentification des messages, la signature numérique et le chiffrement authentifié. Une fonction de hachage calcule une empreinte de taille fixe relativement petite, à partir d'un message de taille arbitraire, nettement plus grande. Cette empreinte, appelée aussi condensé ou simplement haché, dépend de tous les bits du message et est utilisée comme représentant compact du message concerné. Une fonction de hachage cryptographique H doit vérifier au moins les deux propriétés d'implantation suivantes :

- 1. Compression : *H* mappe un message d'entrée *M* de longueur arbitraire a un haché *h* de longueur fixe.
- 2. Simplicité de calculs : étant donnée la fonction de hachage *H* et un message d'entrée *M*, la valeur *H*(*M*) doit être facile à calculer.

En plus, H doit vérifier au moins les trois propriétés cryptographiques suivantes :

1. Résistance à la pré-image : étant donné un haché h choisi aléatoirement, il est impossible de trouver un message M tel que H(M) = h.

- 2. Résistance à la seconde pré-image : étant donné un message M choisi aléatoirement, il est impossible de trouver un message M' tel que H(M) = H(M').
- 3. Collision: il est informatiquement impossible de trouver deux messages M, M', tels que $M \neq M'$ et H(M) = H(M').

Dans la littérature, de nombreuses structures sont utilisées pour construire différentes fonctions de hachage, telles que la structure de Merkle-Dåmgard utilisée dans le standard SHA-2, la structure d'Éponge utilisée dans le standard SHA-3, et d'autres structures non-standardisées comme $Wide\ Pipe$, $Fast\ Wide\ Pipe$, et Haifa. D'autre part, en ajoutant une clé secrète, les fonctions de hachage sans clé deviennent des fonctions de hachage avec clé. Ces fonctions de hachage avec clé sont surtout utilisées dans l'authentification des données. Il existe plusieurs méthodes pour intégrer la clé secrète, mais la plus connue est celle qui concatène la clé secrète avec le message M, puis réalise le hachage de l'ensemble $K \parallel M$.

Le standard actuel est *SHA-2*, mais récemment, certaines faiblesses ont pu être trouvées. Pour cette raison, un concours public a été lancé en 2008 afin de promouvoir un nouveau standard. En 2015, la fonction *KECCAK* a gagné cette compétition et elle est ainsi devenue la nouvelle norme, nommée *SHA-3*.

A partir de 2002, plusieurs travaux de recherche sont apparus sur la réalisation de fonctions de hachage cryptographique basées sur des cartes chaotiques et des réseaux neuronaux. La combinaison des cartes chaotiques et des réseaux neuronaux permettent intrinsèquement d'augmenter le degré de sécurité des fonctions de hachage. En effet, un système chaotique est une fonction non-linéaire qui se caractérise par des caractéristiques importantes pour la sécurité, telles qu'une sensibilité aux conditions initiales, et des propriétés statistiques similaires aux systèmes pseudo-aléatoires. D'autre part, les réseaux neuronaux ont des propriétés appropriées pour construire des fonctions de hachage telles qu'une non-linéarité, une implémentation parallèle, une diffusion efficace de données, une fonction de compression à sens unique et une flexibilité significative.

Notre apport dans cette thèse concerne la conception, la réalisation et l'analyse de fonctions de hachage basées sur des cartes chaotiques et des réseaux neuronaux s'appuyant sur la construction de *Merkle-Dåmgard* et celle d'Éponge.

A.2 Contributions

A.2.1 1 ère contribution : conception, mise en œuvre et analyse de fonctions de hachage basées sur des cartes chaotiques et des réseaux neuronaux utilisant la construction de *Merkle-Dåmgard*

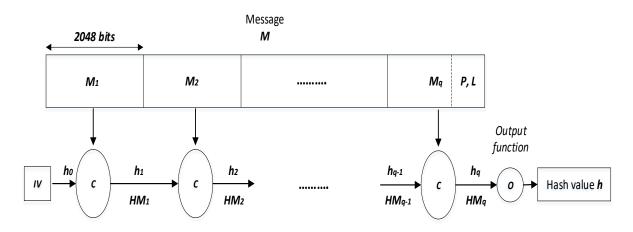
La construction de *Merkle-Dåmgard* est un algorithme d'extension de domaine qui a été largement utilisé dans la conception de nombreux algorithmes connus de hachage de la première génération, tels que *MD5*, *SHA-1* et *SHA-2*. Son succès venait de sa preuve de sécurité simple et efficace. En particulier, il est possible de prouver que si la fonction de compression est résistante aux collisions, alors ça sera le

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cas aussi pour la fonction de hachage elle-même construite à partir de la même structure.

La figure A.1, montre la structure de la construction Merkle-Dåmgard où le message M, après avoir été préparé (opération pad), est divisé en q blocs M_j , $(j=1,\ldots,q)$ de taille fixe $|M_j|$ bits chacun (dans notre cas, nous avons choisi $|M_j|=2048$ bits). La fonction de compression de la structure Merkle-Dåmgard est représentée par la fonction C qui prend en entrée un couple de deux variables la valeur d'état h_i , $(i=0,\ldots,q-1)$ (appelée variable de chaînage ou haché intermédiaire) et le bloc de message M_j , $(j=1,\ldots,q)$ de taille 2048 bits. Pour i=0, h0 est une valeur initiale, notée IV (pour Initial Value en anglais). Pour chaque couple d'entrée (h_i,M_j) où $i=0,\ldots,q-1$ et $j=1,\ldots,q$, la fonction de compression C, calcule de manière itérative une nouvelle variable d'état h_j , $(j=1,\ldots,q)$ de taille 256 bits.

Une fois tous les blocs du message traités, la dernière valeur de chaînage h_q désignera le haché du message M. Il est aussi possible d'appliquer à h_q une fonction de finalisation O afin d'obtenir la valeur du haché final h.



P: Padding, **L**: Length of the message **M**, **C**: Message compression function

FIGURE A.1 – Construction de la structure de Merkle-Dåmgard

Rembourrage (Padding)

Pour permettre l'utilisation d'un message d'entrée M de longueur arbitraire, il suffit de rajouter à cette dernière une série de bits pour la rendre divisible par 2048. Cette opération est appelée rembourrage (padding en anglais) qui sert à rendre la longueur du message M multiple de la taille $|M_i|$. Le rembourrage, connu sous le nom "MD-Strengthening", doit être injectif pour éviter les collisions qui réduisent le niveau de sécurité de la fonction de hachage par des attaques statistiques. Le rembourrage utilisé dans cette partie de la thèse consiste à ajouter à la fin du message M, une série de zéros de taille v suivis par 64 bits représentant la valeur de la longueur du message initial. Le nombre de bits de v est donné par

l'équation suivante :

$$v = |M_i| - mod[(L+64), |M_i|]$$
(A.1)

Dans la figure A.2, nous représentons les trois schémas de sortie des fonctions de compression proposées basées *CNN* (Chaotic Neural Networks). Ces schèmes sont, *Matyas-Meyer-Oseas*, *Matyas-Meyer-Oseas modifié*, et *Miyaguchi-Preneel*. Le système chaotique utilisé est donné dans la figure A.3. Il est formé d'une carte chaotique Skew Tent discrète (*DSTmap*) avec une seule cellule récursive. Deux structures de *CNN* sont proposées, une structure avec deux couches *CNN* et une structure avec une couche *CNN* suivie d'une combinaison de fonctions non-linéaires.

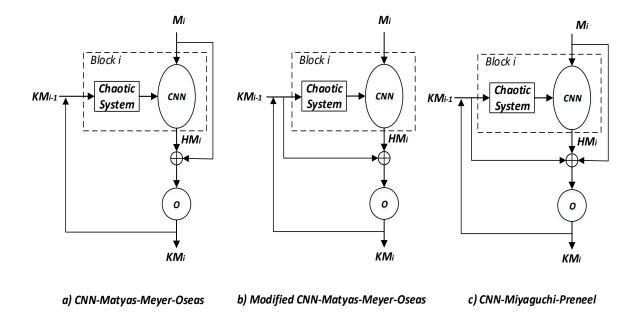


FIGURE A.2 – Trois schémas de sortie de fonctions de compression *Merkle-Dåmgard* proposées basées sur CNN

A.2.1.1 Fonction de hachage chaotique CNN à clé construite avec deux couches

Dans cette structure, chaque couche est composée de huit neurones (voir Fig. A.4), dont chacun utilise une fonction d'activation chaotique détaillée en figure A.5. Cette dernière comprend une carte Skew Tent discrète (*DSTmap*) et une carte Piecewise Linear Chaotic discrète (*DPWLCmap*). Pour maintenir le caractère aléatoire de la sortie et avant la génération du premier échantillon utile, chacune de ces cartes chaotiques est itérée au départ 30 fois.

Dans le cas de schéma de sortie MP par exemple, le système chaotique de chaque $block_i$ utilise une clé d'itération d'entrée notée par KM_i , (i = 1, ..., q - 1), qui est le résultat de l'addition modulo 2 de la valeur de la fonction de hachage HM_i , du bloc du message M_i et de la clé d'itération du bloc précédent

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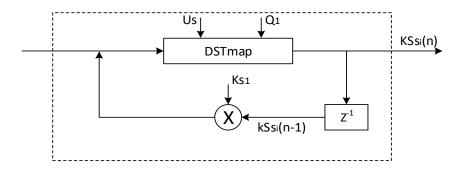


FIGURE A.3 – La structure du système chaotique

 KM_{i-1} . La clé secrète K, de taille 160 bits, est le KM_0 et est utilisé par le premier bloc M_1 du message d'entrée.

Le processus de calcul effectué dans les neurones de la couche de sortie est similaire à celui effectué dans les neurones de la couche d'entrée.

A.2.1.2 Fonction de hachage chaotique CNN à clé construite avec une couche neuronal suivie par une couche formée d'une combinaison des fonctions non-linéaires

Afin d'augmenter le débit de hachage tout en respectant les exigences de sécurité nécessaires, nous avons remplacé la couche de sortie, de la structure précédente à deux couches, par une combinaison de fonctions non linéaires utilisées dans le standard SHA-2 (voir Fig. A.6). Ces fonctions non linéaires sont itérées n_r fois pour répondre aux exigences de sécurité. Après de nombreux tests expérimentaux, nous avons choisi un nombre d'itérations égal à 24 pour plus de sécurité et 8 pour un compromis entre sécurité et débit de hachage.

Analyse des Performances

Nous avons évalué les performances de deux fonctions de hachage à clé proposées, en termes de sécurité (tests statistiques, attaques cryptanalytiques) et de débit. Ci-dessous nous présentons quelques résultats obtenus par les deux structures proposées. Dans les Tables A.1 et A.2, nous donnons respectivement le nombre de collisions w (hits) et les résultats statiques de la diffusion, pour les trois schémas de sortie utilisés. Dans la figure A.7, nous montrons les distributions du haché du message entier et du message constant "00...0", pour la première structure avec le schéma de sortie MP. Enfin, nous avons comparé les résultats obtenus à d'autres fonctions de hachage de la littérature, ainsi qu'au standard SHA-2.

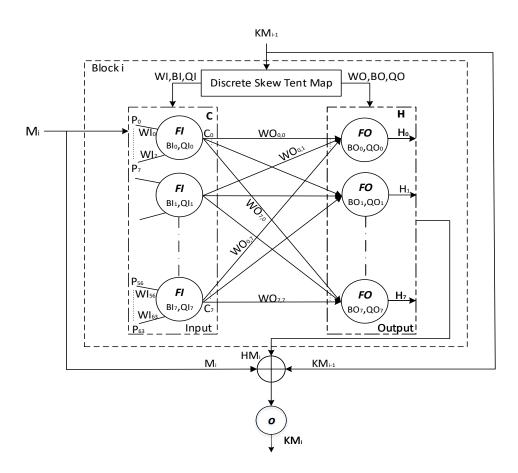


FIGURE A.4 – Fonction de hachage *CNN* à clé, construite avec deux couches et utilise un schéma de sortie MP

A.2.2 2ème contribution : conception, mise en œuvre et analyse de fonctions de hachage avec clé basées sur des cartes chaotiques et des réseaux neuronaux en utilisant la construction d'Éponge

La construction Éponge est capable de produire des sorties de taille arbitraire. Contrairement à la construction Merkle-Dåmgard qui est basée sur une fonction de compression, la construction Éponge repose sur une transformation itérée de permutations opérées sur des blocs de taille fixe b = 1600 bits. Le hachage d'un message M se déroule de la manière suivante. On commence par rembourrer le message avec un rembourrage injectif et on découpe ensuite le résultat de cette opération en blocs $M_1, ..., M_q$ de taille fixe. Ensuite, les b bits de l'état interne sont initialisés avec la valeur 0. La procédure se déroule en deux étapes successives (voir Fig. A.8) :

1. Etape absorption : Dans cette étape, les blocs sont absorbés de façon itérative. Le premier bloc

A.2. Contributions

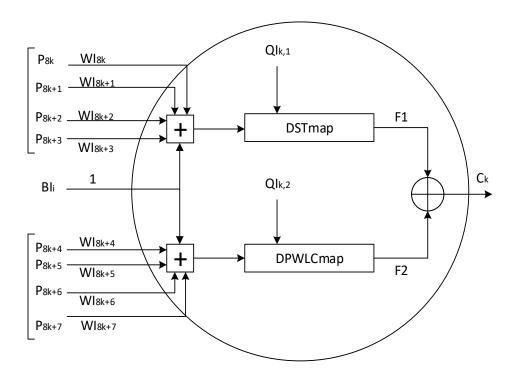


FIGURE A.5 – Structure détaillée du k^{eme} neurone de la couche d'entrée de deux fonctions de hachage proposées

 M_1 est combiné à l'aide d'un OU exclusif avec l'état interne. La transformation f est ensuite appliquée au résultat de cette opération. Puis, le deuxième bloc de message M_2 est ajouté à l'état interne et la transformation f est de nouveau appelée. La même procédure est répétée jusqu'à ce que tous les blocs de message soient absorbés.

2. Etape d'essorage : Pendant cette étape, des blocs Z_i sont extraits à partir de l'état interne i comme indiqué sur la figure A.8. La taille des blocs Z_i extraits, peut être choisie par l'utilisateur.

En général, les fonctions de hachage basées Sponge utilisant une clé, intègrent celle-ci soit dans la valeur initiale *IV* (Inner Keyed Sponge *IKS*), soit dans le message d'entrée (Outer Keyed Sponge *OKS*), soit dans la valeur initiale avec absorption de b bits (Full-state Keyed Sponge *FKS*).

A.2.2.1 Fonction de hachage chaotique CNN à clé basée sponge construite avec deux couches

La figure A.9, représente l'architecture générale basée sponge de deux structures *CNN* de fonctions de hachage à clé proposées. La première structure est composée de deux couches neuronales.

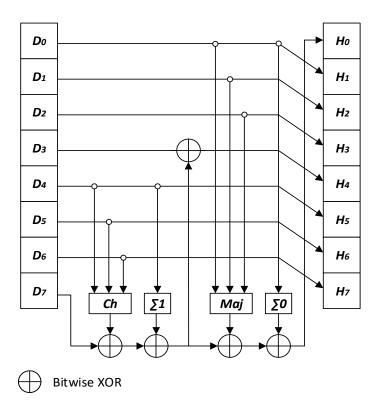


FIGURE A.6 – Les fonctions non linéaires

A.2.2.2 Fonction de hachage chaotique CNN à clé basée sponge construite par une couche de réseaux neuronaux suivie par une couche comprenant une combinaison des fonctions non-linéaires

Comme dans le cas de la construction de *Merkle-Dåmgard*, et pour les mêmes raisons citées plus haut, nous avons utilisé comme couche de sortie une combinaison de fonctions non linéaires pas très différentes de celles utilisées dans le standard *SHA-2*.

Analyse des Performances

Nous avons estimé les performances de deux fonctions de hachage à clé proposées, en termes de sécurité et de débit, et nous présentons ci-dessous quelques résultats obtenus par les deux structures proposées. Dans les Tables A.3 et A.4, nous donnons le nombre de collisions w (hits) et les résultats statistiques de la diffusion respectivement pour la première structure avec les deux longueurs de hachage. Dans la figure A.10, nous montrons les distributions du haché du message entier et du message constant "00...0", pour la première structure avec une longueur de hachage égale à 512. Enfin, nous avons comparé les résultats obtenus à d'autres fonctions de hachage de la littérature, ainsi qu'au standard *SHA-3*.

A.2. Contributions

	Output schemes	Number of hits of			ω
		0	1	2	3
Structure 1	MMO	1833	200	15	0
	MMMO	1799	237	12	0
	MP	1803	232	13	0
Structure 2	MMO	1825	207	15	1
$n_r = 8$	MMMO	1800	237	10	1
	MP	1817	215	16	0
Structure 2	MMO	1817	225	6	0
$n_r = 24$	MMMO	1810	230	7	1
	MP	1815	226	7	0

Table A.1 – Nombre de collusion ω obtenus par les deux structures proposées avec les trois schémas de sortie pour 2048 tests

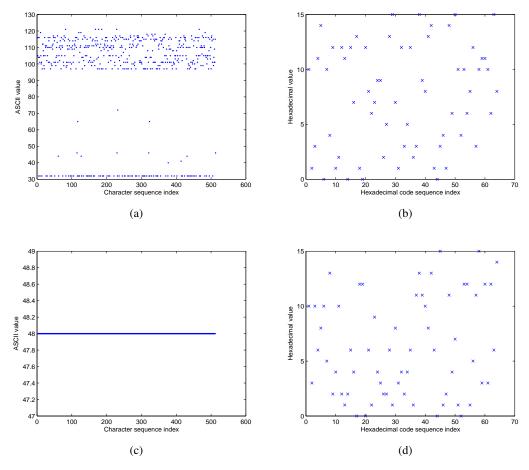
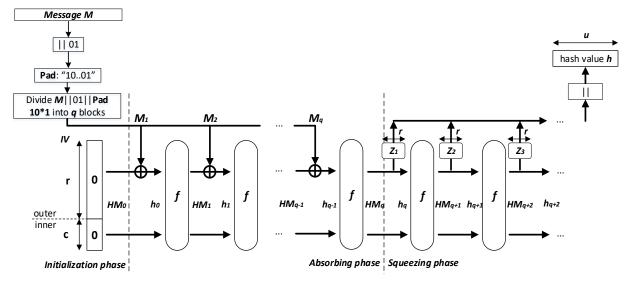


FIGURE A.7 – Distributions du haché pour le message entier et le message constant pour la structure 1 avec le schéma de sortie MP

		Ou	tput schen	nes
		ММО	MMMO	MP
Structure 1	B_{min}	98	98	100
	B_{max}	158	158	154
	$ar{B}$	127.98	127.90	127.95
	P	49.99	49.96	49.98
	ΔB	8.01	8.12	8.03
	ΔP	3.13	3.17	3.13
Structure 2	B_{min}	99	98	103
$n_r = 8$	B_{max}	157	154	157
	$ar{B}$	128.31	128.18	127.97
	P	50.12	50.07	49.99
	ΔB	8.03	8.17	8.01
	ΔP	3.13	3.19	3.13
Structure 2	B_{min}	101	103	100
$n_r = 24$	B_{max}	155	156	157
	$ar{B}$	127.81	127.70	127.88
	P	49.92	49.88	49.95
	ΔB	8.23	8.06	7.94
	ΔP	3.21	3.15	3.10

TABLE A.2 – Résultats statistiques de la diffusion pour les deux structures proposées



 $\emph{IV:}$ Initial Value, \emph{r} : rate, \emph{c} : capacity, \emph{f} : function

FIGURE A.8 – Schéma général de la construction Éponge

A.2. Contributions

	Length of hash values	Number of hits ω				
		0	1	2	3	4
Structure 1	256	1806	229	13	0	0
	512	1572	419	51	6	0
Structure 2	256	1787	244	17	0	0
$n_r = 8$	512	1607	371	67	3	0
Structure 2	256	1824	213	11	0	0
$n_r = 24$	512	1600	399	46	2	1

TABLE A.3 – Nombre de collusions w obtenues pour les deux structures proposées avec les deux longueurs de hachage pour 2048 tests

		Length of hash values		
		256	512	
Structure 1	B_{min}	101	217	
	B_{max}	155	293	
	$ar{B}$	128.10	256.20	
	P	50.04	50.04	
	ΔB	7.96	11.20	
	ΔP	3.11	2.18	
Structure 2	B_{min}	99	214	
$n_r = 8$	B_{max}	156	291	
	$ar{B}$	127.70	255.90	
	P	49.88	49.98	
	ΔB	8.22	11.37	
	ΔP	3.21	2.22	
Structure 2	B_{min}	99	215	
$n_r = 24$	B_{max}	154	296	
	$ar{B}$	127.88	255.53	
	P	49.95	49.90	
	ΔB	8.02	11.41	
	ΔP	3.13	2.23	

TABLE A.4 – Résultats statistiques de la diffusion pour les deux structures proposées, avec les deux longueurs de hachage pour 2048 tests

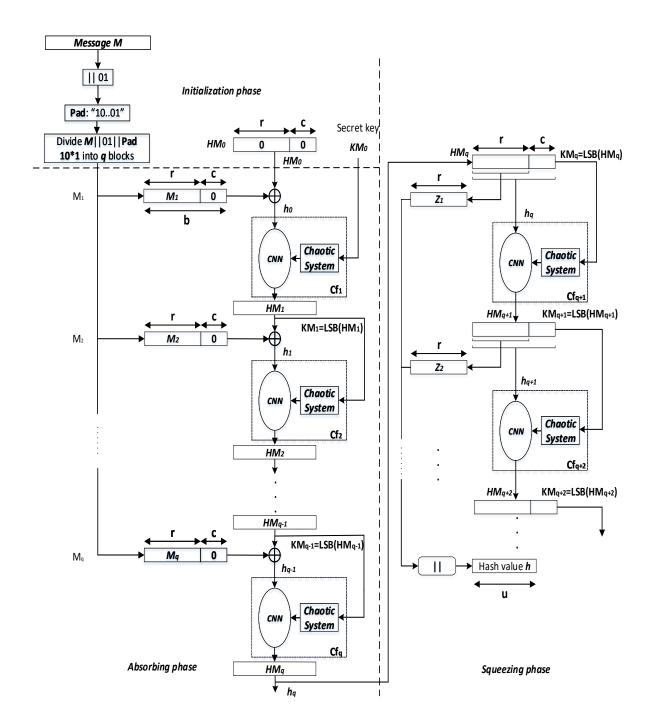


FIGURE A.9 – Structure générale des deux fonctions de hachage *CNN* proposées avec une clé basée sur la fonction éponge

A.3 Travail en cours de réalisation

Nous travaillons actuellement à la réalisation d'une structure CNN-DUPLEX (voir Fig. A.11). Elle traite chaque block du message après rembourrage et fournit en sortie un ensemble de bits souhaitées (u_i)

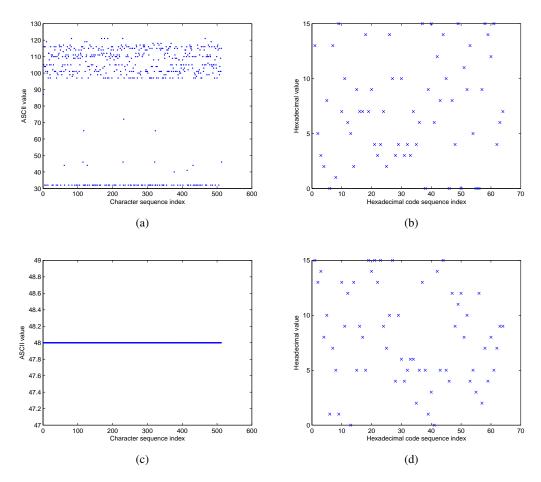
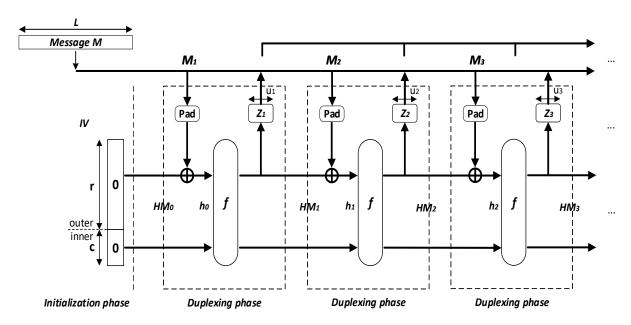


FIGURE A.10 – Distributions du haché pour le message entier et le message constant pour la structure 1 avec une longueur de valeur de hachage de 256 bits

extrait de la valeur de chainage intermédiaire. Nous adapterons cette structure pour réaliser le chiffrement authentifié avec des données associées.

Mots clés : Fonctions de hachage avec clé, Réseaux neuronaux chaotiques, Structure *Merkle-Dåmgard*, Fonction Éponge, Cryptanalyse.



IV: Initial Value, r: rate, c: capacity, f: function

FIGURE A.11 – Schéma général de la construction *Duplex*

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École Doctorale Sciences et Technologies

Titre : Conception, mise en œuvre et analyse de fonctions de hachage avec clé basées sur des cartes chaotiques et des réseaux neuronaux

Mots clés: Fonctions de hachage avec clé, Réseaux neuronaux chaotiques, Structure Merkle-Dåmgard, Fonction Éponge, Cryptanalyse.

- Résumé: Les fonctions de hachage sont des primitives les plus utiles en cryptographie. En effet, elles jouent un rôle important dans l'intégrité des données, l'authentification des messages, la signature numérique et le chiffrement authentifié. Ainsi, la conception de fonctions de hachage sécurisées est cruciale. Dans cette thèse, nous avons conçu, implanté et analysé les performances de deux architectures comprenant deux structures chacune fonctions de hachage avec clé basées sur des cartes chaotiques et des réseaux neuronaux (KCNN). La première architecture s'appuie sur la construction Merkle-Dåmgard, tandis que la seconde utilise la fonction Éponge. La première structure de la première architecture est formée de deux couches KCNN avec trois schémas de sortie différents (CNN-Matyas-Meyer-Oseas, CNN-Matyas-Meyer-Oseas Modifié et

CNN-Miyaguchi-Preneel), tandis que seconde structure est composée d'une couche KCNN suivie d'une couche de combinaison de fonctions non linéaires. La première structure de la deuxième architecture est formée de deux couches KCNN avec deux longueurs de hachage 256 et 512 bits. La seconde structure est comparable à celle utilisée dans la première architecture. Le système chaotique est utilisé pour générer les paramètres du KCNN. Les résultats obtenus par les tests statistiques, ainsi que l'analyse cryptanalytique, démontrent la sécurité des fonctions de hachage KCNN proposées. Enfin, nous travaillons actuellement sur la structure KCNN-DUPLEX intégrant les fonctions de hachage KCNN proposées (basées Éponge) pour leur utilisation dans une application de chiffrement authentifiée.

Title: Design, implementation and analysis of keyed hash functions based on chaotic maps and neural networks

Keywords: Keyed hash functions, Chaotic Neural Networks, Merkle-Dåmgard structure, Sponge function, Cryptanalysis.

- Abstract: The hash functions are the most useful primitives in cryptography. They play an important role in data integrity, message signature authentication, digital and authenticated encryption. Thus, the design of secure hash functions is crucial. In this thesis, we designed, implemented, and analyzed the performance of two architectures, each with two keyed hash function structures based on chaotic maps and neural networks (KCNN). The first architecture is based on the Merkle-Dåmgard construction, while the second uses the Sponge function. The first structure of the first architecture consists of two KCNN layers with three different output schemes (CNN-Matyas-Meyer-Oseas, Modified CNN-Matyas-Meyer-Oseas and CNN-Miyaguchi-Preneel).

The second structure is composed of a KCNN layer followed by a combination layer of nonlinear functions. The first structure of the second architecture is formed of two KCNN layers with two hash value lengths 256 and 512. The second structure is similar to that used in the first architecture. The chaotic system is used to generate KCNN parameters. The results obtained by the statistical tests, as cryptanalytical well as the analysis, demonstrate the security of the proposed KCNN hash functions. Finally, we are currently working on the KCNN-DUPLEX structure integrating the proposed KCNN hashing functions (Sponge-based) for use in an authenticated encryption application.